

Republic of the Philippines  
Office of the President  
**PHILIPPINE RACING COMMISSION**



# **RULES AND REGULATIONS ON HORSE RACING**

**With amendments as of May 2022**

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**CHAPTER 1**  
**THE COMMISSION: ITS PURPOSE, JURISDICTION AND ITS OFFICERS**

**SECTION 1. DECLARATION OF PURPOSE**

The PHILRACOM shall promote and direct the accelerated development and continuous growth of horse racing not only in furtherance of the sports development program of the government, but also in order to ensure the full exploitation of horse racing as a source of revenue and employment.

**SECTION 1.1 COMPOSITION AND TERM OF OFFICE**

The PHILRACOM shall be composed of a Chairman and six (6) Commissioners. The term of office of the Commissioners shall be four (4) years; provided that no vacancy shall be filled except for the unexpired portion of any term, and provided further, that any Commissioner whose term of office has expired shall remain in office until his successor shall have been duly appointed and qualified.

**SECTION 1.2 MEETINGS AND QUORUM**

The Commissioners shall meet regularly once a week and as often as the exigencies of the service may demand, upon the call of the Chairman or upon request of three (3) Commissioners. The vote of at least four (4) members shall be necessary for the adoption of any rule, resolution, or decision of the Commission.

**SECTION 2. JURISDICTION**

Generally, the Commission shall have the exclusive jurisdiction and control over every aspect of the conduct of horse racing, including but not limited to the framing and scheduling of races, the construction and safety of racetracks, the allocation of prizes and the security of racing, and such other powers, duties and functions as provided for under Presidential Decree No. 420 and other applicable laws, decrees, directives, executive orders and Rules and Regulations.

**SECTION 2.1 POWERS AND FUNCTIONS**

The PHILRACOM shall have the following powers and functions:

- A. To enforce all laws, decrees, directives, executive orders, and letters of instructions relating to horse racing:

- B. To adopt and prescribe Rules and Regulations relating to horse racing;
- C. To review, modify, approve and disapprove the Rules and Regulations issued by persons and entities concerning the conduct of horse races held by them;
- D. To issue permits and licenses and to impose and collect fees for the issuance of such licenses and permits to persons or entities required to obtain the same;
- E. That in case of cancellation of a scheduled race by any racing club due to lack of declared entries, unfit racetrack, defective starting gate, photo patrols of video recording and communications, track lights, and such other exceptional or unwarranted circumstances, then the concerned racing club shall immediately notify the PHILRACOM not later than twelve o'clock noon (12:00 noon) of the final declaration and drawing of lots initially verbally to be followed in writing signed by the Racing Manager or any authorized representative of the club concerned and in such eventuality, the PHILRACOM may transfer the race to another racing club who is scheduled to hold races per official approved schedule of races.**

#### **MECHANICS OF TRANSFER OF CANCELLED RACES**

- 1. For Club designation/identification A B & C, assuming that the races are cancelled on Club "A" and the immediate next regular calendar of races is at "B", then club "B" will be the venue for the cancelled races. For brevity, Club "B" will eventually hold two (2) consecutive racing days. One (1) acquired and another one (1) originally scheduled;**
- 2. The Drawing of Lots "Bolahan" will immediately transferred from the cancelled Club "A" to the alternate Club "B" with drawing of Lots to start at 12:01 p.m. onwards (as stipulated on Resolution 14-13, the determination of cancellation of races on Club "A" has a deadline up to 12:00 noon);**
- 3. The COPIA to be used will be that of the late COPIA of the alternate Club "B";**
- 4. The following schedule shall be strictly observed:**

- a) The opening of the declaration box will be at exactly 10:00 a.m.;
- b) Cut-Off time for the declaration will be at 11:00 a.m.;
- c) Confirmation as to number of race groupings completed will be at 12:00 noon.

5. Racing clubs are prohibited to draw races simultaneously and are allowed to draw their respective races a day before the scheduled racing day. However, if they will be able to complete race for their two (2) racing days schedule per week, then they will be permitted to do so. (As per Board Resolution No. 14-13)

- F. To register race horses, their owners, and associations or federations pertaining to the industry;
- G. To regulate the construction and maintenance of racetracks and to grant permits and/or licenses for the holding of races;
- H. To supervise all race meetings to assure the integrity of the races at all times, and to order the suspension and/or cancellation of any racing event in case of violation of any law, decree, directive, ordinance, or Rules and Regulations;
- I. To prohibit the use of improper devices, prohibited substances or other means to enhance or diminish the racing performance of horses;
- J. To approve the annual budget of the PHILRACOM and such supplemental budgets as may be necessary;
- K. To enter into contracts involving obligations chargeable to or against the funds of the PHILRACOM;
- L. To impose fines, penalties, and forfeitures on erring parties under the Rules and Regulations which shall accrue to the funds of the PHILRACOM;
- M. In its discretion or upon petition of any interested party, after notice and hearing, to modify, suspend or revoke any permit or license for failure to comply with and/or for violation of any provision of law, decree, directive, executive order or Rules and Regulations issued there under; provided, that for good cause and without notice and hearing, the PHILRACOM may order the temporary suspension of such permit/license whenever such step, in the judgment of the PHILRACOM, shall be necessary



for the best interest of the racing industry and the public;

- N. To summon parties, issue subpoena, or require the production of such documents, books, papers, contracts, records, or statement of accounts as may be necessary to a just determination of any matter under investigation;
- O. To have access to all stands during racing days, to inspect matters related to betting, the track, grandstand, jockeys' quarters, and such other premises within the racing clubs;
- P. To perform such other duties and exercise all other powers incidental or necessary to the accomplishment of the aims and objectives of the PHILRACOM;
- Q. In case of threats of whatever nature indicating or leading to the cancellation of a scheduled race by any racing club due to State of Emergency situations, the PHILRACOM, for the protection of the interest of the government with respect to horse racing in the country, may order the waiver of rules and restrictions, such as but not limited to:**
  - **Reduction of minimum in betting numbers for a race to run**
  - **Reduction of minimum number of days of rest for runners**
  - **Adjustments on the time limits or deadline in declaration, drawing of lots and other**
  - **Relaxing the time restrictions on race operations such as entries in saddling paddocks, parade, post time and the likes**
  - **Other restrictions that will defeat the spirit of the intents and purpose of this resolution**  
**(As per Board Resolution 26-13)**

## **SECTION 2.2 MOTU PROPRIO POWER OF THE PHILRACOM**

The PHILRACOM shall investigate and decide a case motu proprio or upon the complaint filed before it. In case of abuse of discretion or grave error on the part of the Board of Stewards and/or other PHILRACOM licensees in rendering its decision, the same may be investigated and decided motu proprio by the PHILRACOM.

## **SECTION 2.3 DECISIONS, ORDERS AND RULINGS OF PHILRACOM**

All decisions, orders and rulings of the PHILRACOM shall be rendered after due investigation, with notice to all parties concerned, except when a summary proceeding is necessary to maintain the integrity of horse racing with due process being observed in all cases.

## **SECTION 2.4 DESIGNATION OF ANY MEMBER OR OFFICER TO CONDUCT HEARINGS**

The PHILRACOM may designate any of its Commissioners or officers to conduct hearings and investigations on any matter pending before it.

## **SECTION 2.5 NOT BOUND BY TECHNICAL RULES**

The PHILRACOM shall not be bound by the technical rules on evidence, procedures, as well as forms and formalities. It may resort to all and every fair and reasonable means to ascertain facts for a just determination of the case before it. Circumstantial evidence, whenever necessary, shall be sufficient to support a decision, ruling or order

## **SECTION 2.6 PHILRACOM DECISION**

In view of the rulings of the Board of Stewards, the PHILRACOM may affirm, modify, reverse or increase or decrease the penalty imposed by the Board of Stewards as it may deem proper.

## **SECTION 2.7 AUTOMATIC REVIEW**

All cases wherein the Board of Stewards recommends the banning or revocation of license of any person licensed or registered by the Commission shall be automatically reviewed by the PHILRACOM.

## **SECTION 2.8 APPEAL TO THE PRESIDENT**

All the decisions or rulings of the PHILRACOM are final unless appealed to the Office of the President of the Philippines within seventy-two (72) from the receipt of such decision, order or ruling by the aggrieved party. The decisions, orders or rulings of the PHILRACOM shall be immediately executor pending appeal, unless stayed by the order of the President.

### **SECTION 3. OFFICERS**

The Executive Officers of the PHILRACOM shall consist of the Executive Director and such other officers as the PHILRACOM may appoint or designate from time to time.

#### **SECTION 3.1 CHAIRMAN**

The Chairman shall be the presiding officer of the Commission in all its meetings. In the event of the permanent or temporary incapacity of the Chairman, the Vice-Chairman, or, in his absence, any officer as may be designated by the Chairman, shall exercise the powers and perform the duties of the Chairman, until such time as his successor shall have been duly appointed and qualified or his incapacity no longer exists.

#### **SECTION 3.2 EXECUTIVE DIRECTOR**

The PHILRACOM shall appoint an Executive Director who shall be the head of the office. He shall have overall supervision of every aspect of the conduct of horse racing. He shall perform such other duties and exercise such other powers as may be determined by the PHILRACOM.

Those who are directly involved in the conduct of horse racing shall be under the immediate supervision of the Executive Director.

#### **SECTION 3.3 ASSISTANT (DEPUTY) EXECUTIVE DIRECTOR (DIRECTOR FOR RACING)**

The PHILRACOM shall appoint a Deputy Executive Director (Director for Racing) who shall assist the Executive Director in the exercise of the powers and the performance of the duties of his office. In the event of the permanent or temporary incapacity of the latter, the former shall assume the office of the Executive Director, until such time as his successor shall have been duly appointed and qualified or his incapacity no longer exists.

### **SECTION 4. OTHER OFFICERS**

The PHILRACOM shall appoint other officials and employees as it may deem necessary to assist the PHILRACOM in the performance of its powers, duties and functions. They shall perform such other duties and functions as may be assigned to them from time to time.

#### **SECTION 4.1 COMMISSION STEWARDS**

These are officers or employees of the PHILRACOM licensed as Stewards, who shall serve as observers during the deliberations of the Board of Stewards. They shall act as panel members if need be, as when the number of panel members falls below the required minimum. They shall make a separate report to the Commission on their observations regarding the races.

#### **SECTION 4.2 PHILRACOM RACING OFFICIAL AND CHIEF RACING OFFICER**

There shall be a PHILRACOM Racing Official and Chief Racing Officer (Chief, Field Inspection Division) whose duties and functions shall be:

- A. To have supervision and control over the PHILRACOM personnel assigned at the racetrack and to see to it that their duties are faithfully fulfilled;
- B. To attend all races and watch the events, and to report to the Board of Stewards and to the PHILRACOM any anomaly, irregularity or infraction of the rules committed therein;
- C. To render a report, in writing, to the PHILRACOM on the results of each race together with any incident thereof and irregularity or infraction of the Rules and Regulations committed by jockeys, trainers, horse owners and personnel of the Club as well as such occurrences noted during the day, with written recommendations in connection with the action taken by the Stewards on what has been reported to them;
- D. To act as a member of the Board of Judges in case of incapacity of any of its members;
- E. To perform other duties which the PHILRACOM may assign to him from time to time.

#### **SECTION 4.3 PHILRACOM VETERINARIANS**

There shall be at least two (2) PHILRACOM Veterinarians who shall have the following duties:

- A. To make a physical examination of race horses entered in the races and to determine whether or not such horses are suffering from any defect that may disqualify them from taking part in the races for which they are declared;

- B. To observe during race days whether or not horses are inspected properly by the Club Veterinarian before a race to determine their soundness and physical fitness, and to make an ocular inspection of the entries after their running and report on his findings thereof, if any;
- C. To express, when required, his concurrence if and when the Club Veterinarian finds that a horse is unfit to race and recommends that it be withdrawn at any time before the start of the race; such concurrence must be based on the PHILRACOM Veterinarian's own similar findings and stated in a certification to that effect;
- D. To attend and supervise the presentation of entries during race day;
- E. To be at the starting gate with the Club Veterinarian and observe the entries thereat; if a horse is requested by its rider for inspection, they must render a decision immediately after examination without causing a delay in the start of the race;
- F. Upon the establishment of a complete laboratory by the Clubs, to prescribe any laboratory test necessary for a race horse;
- G. To disqualify Novato horses that are blind in both eyes or afflicted with moon blindness or suffering from infectious disease;
- H. To report to the PHILRACOM and to the Board of Stewards any irregularity, anomaly of infraction discovered by him,

#### **SECTION 4.4 PHILRACOM PHYSICIAN**

There shall be a licensed PHILRACOM Physician who shall have the following duties:

- A. To certify as to the physical fitness of jockeys at their required semi-annual physical examination;
- B. To issue medical clearances for anyone as required by PHILRACOM;
- C. To supervise and certify that adequate first aid facilities and equipment are provided for in the clinics of all racing clubs during any racing day;
- D. To assist the Club Physician in any and all emergency cases that may occur during a race day.

#### **SECTION 4.5 PHILRACOM HANDICAPPERS**

There shall be PHILRACOM Handicappers whose duties shall be:

- A. To report and recommend to the PHILRACOM any other matters regarding handicapping;
- B. To screen all horses and jockeys participating in a race;
- C. To keep a complete record of each race horse indicating its name, sex, color, age, marks, owner and trainer, its performance after every race together with the weight and classification of the jockey who rode it, the kind of course run, the distance of the race, the time negotiated, the place and manner of its arrival at the finish line, its characteristics, vices or traits and such other data or information necessary to determine its strength, ability and performance;
- D. To perform such other duties that the PHILRACOM may assign from time to time.

#### **SECTION 4.6 FIELD RACING INSPECTORS**

The PHILRACOM shall appoint the necessary field inspectors to watch the event during each race day. They shall be assigned too different places of the racetrack. It shall be their duty to implement the assignments given to them by the Racing Official and to submit the necessary reports.

UNIT II  
**RULES AND REGULATIONS GOVERNING HORSE RACING  
PARTICIPANTS**

CHAPTER 2  
**RACING CLUBS: THEIR OFFICIALS, QUALIFICATIONS,  
POWERS AND DUTIES**

**PR 1. LICENSE TO HOLD RACES**

Racing Clubs shall not be permitted to hold any race without first securing a license from the PHILRACOM and/or permit to hold the same.

**PR1-A. RACING CLUBS AND OTHER ENTITIES**

For failure to comply with the provisions of the rules and regulations or with the resolutions, orders or decisions of the PHILRACOM, a Racing Club or entity authorized to conduct or hold races in the Philippines shall be punished by a fine of not less than Five Thousand Pesos (Php 5,000.00) but not more than Fifty Thousand Pesos (Php 50,000.00) and/or by the revocation or suspension of its license after due notice or hearing.

Furthermore, RACING CLUBS be ORDERED to start the race on time and to limit, if not totally eradicate, the time delay in the races. In the event that a Racing Club, without any valid reason, incurs accumulated delay of twenty-five (25) minutes up to the last race per racing days, a penalty of Five Thousand (Php. 5,000.00) shall be imposed on the said Racing Club, and if the said accumulated delay goes beyond twenty-five (25) minutes or if the Racing Club repeatedly incurs delay of at least twenty-five (25) minutes, then the PHILRACOM may impose a penalty higher than Five Thousand Pesos (Php. 5,000.00) but not more than Fifty Thousand Pesos (Php. 50,000.00).

For this purpose, the determination of time delay by the PHILRACOM Field Office is Final. **(As per Board Resolution no. 13-19)**

**PR 1-B. CANCELLATION OF RACES (As per Board Resolution 19-17)**

**For cancellation of races without justifiable cause, the Racing Club shall pay a fine of not less than Five Thousand Pesos (P5,000) and not more than (P50,000) after due notice and hearing. In case the cause for the cancellation of races is not resolved before the drawing of lots for the next scheduled racing day, PHILRACOM may re-assign said scheduled racing day to the other racing clubs.**

## **PR 2. CLUB RULES AND REGULATIONS**

Racing Clubs shall promulgate their own internal rules and regulations provided that the same do not conflict with any law, decree, ordinance or other rules and regulations. Provided further that they shall be submitted to the PHILRACOM for approval prior to enforcement, and provided that these rules and regulations shall be published in a newspaper of general circulation. Failure to comply with this requirement shall be ground for revocation or suspension of license to operate.

## **PR 3. CLUB FACILITIES AND EQUIPMENT**

Racing Clubs shall provide the following:

- A. Adequate and well-maintained racetrack and grandstand-  
The Racing Clubs shall see to it that the racetrack and grandstand are always in good and safe condition. If the racetrack in a particular club has physically deteriorated so much so that it endangers the safety and lives of jockeys and participating horses, or if any of its grandstands appear patently dangerous for the occupancy of the racing public, the PHILRACOM may temporarily suspend racing in the club and order its temporary transfer to another club until such time that the racetrack or grandstand has been renovated and placed in good and safe condition;
- B. Well-maintained Starting Gate apparatus for use during official races;
- C. Well-maintained Starting Gate apparatus for use in the training or schooling of registered horses during the work-out time approved by the Commission;
- D. Well-maintained exercise track for the use of all PHILRACOM-registered horses at the approved work-out time;
- E. Totalizator system with linked computers and adequate betting terminals for the registration of bets and the computation of odds and dividends;



- F. Operational photo-finish devices to determine the arrival of horses at the finish line;
- G. Well-ventilated and lighted observation posts furnished with chairs and working tables in specified areas, and a room for the use of PHILRACOM field employees;
- H. Accurate weighing machine for jockeys and horses;
- I. Centralized communication system with loudspeakers controlled by the Board of Stewards connecting all outposts, towers, totalizator control rooms, ticket sales division room, PHILRACOM box, starting gate, public announcer, clinic, jockeys' quarters, broadcast control room and the saddling paddock;
- J. Photo patrol equipment with high-resolution broadcast –quality cameras and video-recording equipment;
- K. Well-equipped jockeys' quarters exclusively for their use, with canteen and relaxation equipment;
- L. Sirens and/or other devices controlled by the Board of Stewards to announce any race protest, inquiry, or annulment of races;
- M. Bulletin boards and monitors conspicuously located to indicate sale of tickets, betting odds or dividends and other pertinent announcements;
- N. Saddling enclosures or paddocks for horses participating in a race;
- O. Well-equipped medical clinic and at least two (2) ambulances with two (2) paramedics each;
- P. Adequate drug-testing facilities;
- Q. Adequate lighting facilities and stand-by power supply;
- R. First-Aid equipment and medicine for horses such as analgesics, antibiotics, anesthetics, intravenous fluids, syringes, needles, intravenous sets etc;
- S. Stunning gun for the destruction of horses and a readily-available low-bed trailer for the removal of the horse from the track;
- T. Shoe plates of different sizes for emergency replacement;
- U. All jockeys are required to use colored caps in accordance with the registered racing color of the horse owner. (As per Board Resolution No. 29-21)**
- V. Such other facilities, devices and instruments required by law or by the PHILRACOM to raise public confidence in the

sport and to minimize infractions in the Rules and Regulations of Racing.

**(As per Board Resolution No. 18-19)**

#### **PR 4. CLUB OFFICIALS AND PERSONNEL**

No Racing Official shall be allowed dual **duties in one Racing Club and no Racing Official or employee shall be licensed by the PHILRACOM who will hold multiple positions in one Racing Club.** Officials, **employees or the Racing Club** who will fail to comply with or violate the provisions of these rules and regulations or with the orders resolutions and decisions of the PHILRACOM shall be dealt with accordingly as the circumstances of the case may require **or may result in the cancellation of their license. (As per Board Resolution No. 11-13)** The lack or absence of Racing Officials may result in the cancellation of the races and/or imposition of fine on the Racing Club as may be determine by the PHILRACOM Board. The Racing Club may be allowed to hire foreigners for the positions listed below, provided that they submit the necessary documents required by law.

The Racing Clubs shall have the following personnel:

- A. Racing Manager and Assistant Racing Manager;
- B. Board of Stewards;
- C. Board of Judges;
- D. Paddock Steward/Assistant Paddock Steward;
- E. Handicappers/Assistant Handicappers;
- F. Veterinarians/Assistant Veterinarians;
- G. Starters/Assistant Starters/Apprentices;
- H. Clockers;
- I. Clerk of Scales/Assistant Clerk of Scales;
- J. Physicians;
- K. Nurses;
- L. Security Personnel;
- M. Outriders;
- N. Race Callers;
- O. Starting Gate Helpers;
- P. Farriers; and
- Q. All other personnel deemed necessary for the proper conduct of the races, all of whom shall be duly licensed and registered by the PHILRACOM.

#### **PR 4-A. RACING MANAGER**

The Racing Manager must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, a

graduate of at least a four (4)-year collegiate course and has passed the licensure examination given by the PHILRACOM.

#### **PR 4-B. DUTIES AND FUNCTIONS OF THE RACING MANAGER**

1. To act as the Officer-In-Charge of the preparation, scheduling and holding of races as determined and approved by the PHILRACOM;
2. To see to it that no suspended jockey/s or any disqualified horse/s takes part in the races and that every race runs as scheduled;
3. To ensure that person/s banned by PHILRACOM shall not be allowed inside the club premises;
4. To ensure that unvaccinated horses as well as those without the necessary clearances which the PHILRACOM may prescribe shall not be admitted into the club premises;
5. To monitor the conduct and behavior of all club employees;
6. To make the necessary change/s in the racing program and to report such to the Board of Stewards before the start of the race;
7. To have supervision over all racing facilities and devices and to ensure that these have been fully examined and in good condition prior to the start of the first race.

#### **PR 4-C. ASSISTANT RACING MANAGER**

The Assistant Racing Manager must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, graduate of at least a four (4)-year collegiate course and has passed the licensure examination given by the PHILRACOM.

##### **PR 4-C.1 DUTIES OF THE ASSISTANT RACING MANAGER**

He shall assist the Racing Manager in the exercise of his duties. In the event of a temporary or permanent incapacity of the Racing Manager, he shall assume the duties and responsibilities of the same.

#### **PR 4-D. PENALTIES FOR WILLFUL VIOLATION OF PHILRACOM RULES COMMITTED BY THE RACING MANAGER**

If, after notice and hearing, the Commission finds that the Racing Manager or Assistant Racing Manager has willfully violated any of the PHILRACOM Rules other than those provided under PR 74, or refused to follow a positive directive given by the Commission in writing, or has committed an act punishable by the Revised Penal Code within the premises of the racing club or in the performance of or in connection with his duties, ***he shall be made liable to pay a fine in an amount not exceeding Fifty Thousand Pesos (50,000.00)***, at the discretion of the Commission, without prejudice to other penalties that the Commission may impose under the Rules, such as suspension or revocation of license. ***(As per Board Resolution Nos. 16-15<sup>1</sup> and 16-A-15)***

**In the event that in race where there are six (6) horses originally declared and any horses is scratched from the race due to wrong grouping of horses or in which horses that are included in the lineup although still on vacation, or under suspension, the Racing Manager and Club Handicapper shall be fined a minimum of Five Thousand Pesos (Php5,000.00) each, for every violation. (As per Board Resolution No. 47-15)**

#### **PR 5. BOARD OF STEWARDS**

A Steward must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, a graduate of at least a four (4)-year collegiate course or with five (5) year experience relevant to the position, and must pass the licensure examination given by the PHILRACOM. The Board of Stewards shall have supervision and control over the conduct of the race during race days.

#### **PR 5-A. COMPOSITION OF THE BOARD OF STEWARDS**

The Board of Stewards shall be composed of the Chairman and four (4) members who shall be licensed

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<sup>1</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

and accredited by the PHILRACOM. **At all times, the quorum of the Board of Stewards shall be five (5). (As per Board Resolution No. 30-15<sup>2</sup>)** A majority vote of the Stewards shall be necessary for a valid act, ruling or decision of the Board. The reason for dissenting vote shall be placed on record and duly reported. **In case of incapacity of the Chairman and/or member during a particular racing day, the Racing Manager shall designate a licensed Steward and, in case of his absence a licensed Cadet Steward to fill up the vacancy. (As per Board Resolution No. 18-11)**

#### **PR 5-B. COMPENSATION OF THE BOARD OF STEWARDS**

The compensation of the Board of Stewards shall be on the account of the Racing Clubs.

#### **PR 5-C. STEWARDS IN SESSION**

The Board of Stewards shall remain in session and shall not adjourn until after the last race, and until its report on the conduct of races held by the Racing Club shall have been submitted to the Racing Manager and transmitted by fax, e-mail or any other means of communication to the Commission and to the other Racing Clubs and a copy furnished to the assigned PHILRACOM Field Officer at the end of the racing day. The following rules shall be observed by the Board of Stewards in the exercise of its authority:

1. The meeting of the Board of Stewards shall be presided over by the Chairman. In the event that the Chairman is absent or otherwise incapacitated, the Racing Manager shall designate a Chairman from the regular Stewards present and a Cadet Steward shall assume the function of the regular Steward who shall have been designated as Acting Chairman.

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<sup>2</sup> *The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.*

2. While in session, Stewards shall not be allowed to leave their watch. The use or possession of cellular phones, internet wristwatches, beepers and other similar system of electronic communications, except handheld radios or intercoms accredited by the Commission, shall not be allowed inside the Stewards' Stand, Board of Judges' Stand, Jockeys' Quarters, Paddocks and Computer Room. Such devices used or brought to these areas shall immediately be confiscated as evidence by an agent of the Commission or of the Racing Club and turned over to the Commission at its principal office within twenty-four (24) hours from the time it was confiscated and the same shall be forfeited in favor of the Commission. Anyone caught using or in possession of cellular phones, internet wristwatches, beepers and other similar system of electronic communications in violation hereof shall, after notice and hearing, be liable for the following penalties:

OFFENSE	PENALTY
1 <sup>st</sup> Offense	Three (3) months suspension and/or a fine of not more than Thirty Thousand Pesos (Php30,000.00)
2 <sup>nd</sup> Offense	Six (6) months suspension and/or a fine of not more than Sixty Thousand Pesos (Php60,000.00)
3 <sup>rd</sup> Offense	One (1) year suspension and/or a fine of not more than One Hundred Thousand Pesos (Php100,000.00)

Provided that the penalties abovementioned are without prejudice to the revocation of the offender's license by the Commission as well as to the filing of appropriate criminal charges if the evidence so warrants.

Finally, all Racing Clubs are hereby mandated to install close circuit (CCTV) cameras and audio equipment at the Stewards' Stand, Judges' Stand,

**Jockeys' Quarters, Paddocks and Computer Room in such a manner that full view of the said places is afforded by said CCTV cameras, and footage from the same shall be viewed exclusively by the management of the Racing Club and/or by the Commission. To this end, the Commission shall be given on-line access to the live recording of the CCTV cameras and audio equipment. (As per Board Resolution No. 17-15)**

3. All Racing Officials in the Stewards' Stand must be properly attired at all times wearing baron tagalong, polo barong or long sleeved polo shirt and tie.

#### **PR 5-D. DUTIES AND POWERS OF THE STEWARDS**

The Board of Stewards shall have the following duties and powers;

1. To make, alter or vary all or any of the arrangements for the conduct of any race meeting under their control.
2. To enter upon and control all lands, booths, buildings, stands, enclosures and other places used for the purposes of the meeting and to expel or exclude any person from the same.
3. To regulate and control, inquire into and adjudicate upon the conduct of all officials, unlicensed persons, person attendant to or connected with a horse and all other persons attending to a race meeting, who in their opinion, is guilty of improper conduct and/or behavior.
4. To punish any person who commits a breach of the Rules, refuses or fails to obey any proper direction of any official or whose conduct or negligence has led or could have led to the breach of Rules.
5. To order the examination of any horse for the purpose of ascertaining its age or identity, or for any purpose connected with the Rules.
6. To order, upon the recommendation of the veterinarians, the withdrawal of a horse found unfit to run before the start of the race or horses which in their opinion could cause unreasonable delay.

7. To order the Club Veterinarian to extract blood, saliva and/or urine from any race horse for detection of any prohibited substance.
8. To order any test to determine whether alcohol or drugs are present in any sample taken from a jockey prior to or after riding in an official barrier trial or race, or from any groom, trainer, owner and racing officials and personnel.
9. To order the withdrawal from the race any horse that had been fitted with unauthorized racing plates, equipment or paraphernalia, and to impose the corresponding penalty upon the trainer.
10. To postpone the races whether before or after the commencement of the meeting to a later time of the day or to a later date if by concession, the track is deemed unsafe as a consequence of inclement weather or in other cases or urgent necessity.
11. To relieve of his duties at any time during the hours or racing as they deem necessary a Judge, the Starter, the Clerk of Scales, the Clocker or any other official, and appoint a substitute for any such official, if possible.
12. To appoint any official necessary for the conduct of a meeting if the Committee of the Club has failed or neglected to do so and, at the request of or with the approval of the Committee of the Club, to appoint a deputy or assistant to any such official or both a deputy and assistant, provided that the appointee is licensed by the PHILRACOM to fill the position.
13. To control the opening and closing of the ticket sales for every race. In case of power failure or unforeseen incident and the electronic computer fails to function, the Board of Stewards shall determine the time for closing of the sales of tickets; the signal to start the race shall not be given by the Board until the sum total of tickets sold on each horse has been **posted and announced on the public broadcast system. The Board shall also closely monitor the number of operational OTB's per race and include the same and other related incidents in its daily report. (As per Board Resolution No. 25-16)**
14. To investigate and decide on all incidents related to the conduct of races



15. To observe due process in applying, imposing and enforcing the penalties provided herein against erring jockeys, trainers, horse owners and their horses, track officials and employees and other persons who in any way perform duties connected with horse racing, subject to these rules and regulations.
16. To give the Starter the order to commence loading.
17. To punish any person obstructing them in the exercise of their duties and powers.
18. To submit a written report at the end of each race meeting and duly signed by all the Stewards present for the day.
19. To declare the cancellation of races due to lack of entries. Regular races are automatically cancelled wherein two (2) horses are left after the withdrawal of the other entries. In cases wherein the cancellation resulted from the negligence of any Racing Club official, the Club shall refund all the expenses incurred by the owners affected by the cancellation (trailer fee, inscription and declaration fees). In cases wherein the cancellation is due to unjustifiable withdrawal of other entries, the Club shall require the owners of such horses to reimburse the same amount the Club paid the affected entries. Non-payment within two (2) weeks shall result to the suspension of the withdrawn horses for three (3) months without prejudice to any sanction that shall be imposed by the Commission on the official or Club concerned.
20. To annul any race before horses have reached the finish line by reason of a bad start or any other incident that may warrant such action.
21. To exclude from or deny access to the Stewards' Stand any person not officially connected therewith, except the Chairman or any Commissioner of the PHILRACOM and such official or personnel thereof who may be officially assigned or authorized to be there.

#### **PR 5-E. PENALTY METED BY THE STEWARDS**

1. The Chairman and/or any member of the Board of Stewards may summon any owner, trainer and/or jockey or any party during a racing day in the exercise of their authority over the conduct of races. During the

investigation, the party summoned shall be informed of the reason for the investigation and given the opportunity to defend himself. In the case of jockeys summoned immediately after the race, the video tape of the race shall be replayed in their presence; the same procedure shall be observed upon the request of the horse owners and/or trainers.

2. Immediately after any investigation, the Board shall sit en banc to deliberate and decide on the case. All unauthorized persons, including the party being investigated, shall be excluded from the deliberations.
3. The Board of Stewards shall not be bound by technical rules on evidence. It may resort to all and every fair and reasonable means to ascertain the facts for a just determination of the case before it.
4. Proceedings of the Board of Stewards shall be summary in nature. Any decision by the Stewards shall be rendered only after due hearing, with notice to all parties concerned, unless a summary proceeding is necessary. Before any decision is rendered, the Stewards must have a substantial evidence of the guilt of the party investigated. The Stewards shall not sign the log book until after all investigations on any inquiry conducted shall have been concluded.
5. Any penalty or punishment meted out in a decision, order or ruling by the Board of Stewards shall be final and executor unless an appeal or a motion for consideration has been filed with the PHILRACOM.
6. The Board of Stewards shall, on their own or by a complaint before it, investigate, take appropriate action and impose the corresponding penalty or penalties in accordance with these rules and regulations on all cases of infractions, violations, offences, irregularities and anomalies committed during any racing day within or outside the premises of the Racing Club, by horse owners, trainers, jockeys, Racing Club officials and employees, or any person who in any manner performs duties connected to or related to the conduct of races.

#### **PR 5-F. DECISIONS BY THE BOARD OF STEWARDS**

The majority vote of the members present shall constitute a valid decision. In case of doubt, the vote shall be given in favor of the party under investigation. The decision of the Board shall be recorded in detail in the log book; dissenting votes shall likewise be recorded and duly signed by the dissenting member.

Public announcement of the suspensions or other penalties shall be made by the Board of Stewards through the public address system as well as television and radio coverage.

#### **PR 5-G. PENALTIES FOR WILLFUL VIOLATION PHILRACOM RULES, GRAVE ABUSE OF DISCRETION OR GROSS NEGLIGENCE COMMITTED BY STEWARDS**

**If, after notice and hearing, the Commission finds that any or all members of the Board of Stewards have willfully violated any of the PHILRACOM Rules other than those provided under PR74, or gross negligence amounting to dereliction of duty in the performance of their functions, or have committed an act punishable by the Revised Penal Code within the premises of the Racing Club or in the performance of or in connection with their duties, they shall be made liable to pay a fine in an amount not exceeding Fifty Thousand Pesos (Php50,000.00), at the discretion of the Commission, without prejudice to other penalties that the Commission may impose under the Rules, such as suspension or revocation of license. (As per Board Resolution Nos. 16-15<sup>3</sup> and 16-A-15)**

#### **PR 6. CADET STEWARDS**

A Cadet Steward must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, and must be a graduate of a four (4)-year college course or its equivalent of five (5) years experience relevant to the position. During his cadetship, he shall be assigned at least four (4) racing weeks each in the saddling paddock, starting gate, weighing scale and outposts and

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<sup>3</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

he must pass the evaluation and examination given by the PHILRACOM. His functions and duties shall be as follows:

- A. To sit with the Board of Stewards during racing days and assist in the conduct of race meetings;
- B. To exercise all the powers, duties and authority conferred upon the Stewards by the Rules;
- C. To take part in the deliberations of the Board of Stewards; however, he shall not be entitled to vote except when acting in the place of a **Steward of the day** who is absent or is unable to perform as such; **(As per Board Resolution No. 49-15<sup>4</sup>)**
- D. To represent and act as an extension of the Board of Stewards in the field or at any point within the premises of the Racing Club, to observe, investigate or conduct inspection whenever called upon by the Board to do so or whenever the circumstance so warrants;
- E. To perform such other function that may be required of him by the Commission.

#### **PR 6-A. REQUIREMENTS FOR APPLICANTS FOR CADET STEWARDSHIP**

- 1. He must undergo an orientation seminar on the Rules and Regulations at least twice a week for four (4) consecutive weeks, with the remaining three (3) days in the week reserved for study time;
- 2. He must pass the practical examination, including VTR viewing, to be given by the Director for Racing;
- 3. He must pass the written examination handled by the Regulation and Licensing Division. A screening committee to be chaired by a Commissioner designated as Chairman of the Stewards Committee shall take charge of selecting candidates for Stewardship.

All documents of the successful applicants shall then be endorsed to the Board of Commissioners through

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<sup>4</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

the Executive Director for final disposition. Each applicant approved by the Board shall be required to undergo at least one (1) year actual Cadetship and shall be licensed as Cadet Steward, before being approved by the Board to be licensed as a regular Steward.

In the event of a serious actual or imminent threat of failure to conduct races due to the lack of licensed Stewards, the Commission, in the exercise of its mandate, shall declare an emergency and appoint/license on a temporary basis a Cadet Steward or Stewards to complete the roster as provided by law.

The interim appointed Steward/s shall perform his/their duties until such time that a regular Steward/s shall have qualified.

The Board, in meritorious and exceptional cases, may reduce the Cadetship requirement upon recommendation of the Racing Clubs.

## **PR 7. OFFICIALS IN THE STEWARDS' STAND**

*Only the following persons are permitted in the Stewards'/Judges' Stands:*

- A. Racing Stewards;*
- B. Racing Judges;*
- C. Commission Stewards;*
- D. Trainee/Cadet Stewards;*
- E. Secretary and/or Clerk assigned to duty;*
- F. Racing Official of the day;*
- G. Chief Racing Officer (PHILRACOM)*
- H. All other official and personnel of the Commission with official business with the Stewards or Judges.*

## **PR 8. BOARD OF JUDGES**

A judge must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit and a graduate of a four (4)-year college course or five (5) years experience relevant to the position and has passed the examination given by the PHILRACOM.

### **PR 8-A. COMPOSITION OF THE BOARD OF JUDGES**

On every racing day, there shall be a Board of Judges composed of a Chairman and two (2) members, all of

whom shall be appointed and whose compensation shall be paid by the Racing Club concerned, from among those licensed by the PHILRACOM. In the event of the temporary incapacity of any of its members, the PHILRACOM Chief Racing Officer, or any other licensed judge, shall fill in and assume the duties and responsibilities of the same.

#### **PR 8-B. DUTIES AND FUNCTIONS OF THE BOARD OF JUDGES**

1. To determine the win (1<sup>st</sup> place), the place (2<sup>nd</sup> place), the show (3<sup>rd</sup> place), the fourth place horse and subsequent places of horses where such placing is warranted;
2. To submit its decision to the Board of Stewards for notation. However, in cases of disqualification of horses arising from an infraction of the Racing Rules, the decision of the Board of Stewards shall prevail.

#### **PR 8-C. DETERMINATION OF WINNERS/ PLACERS**

1. In determining the winners, the Board of Judges shall take into account the position of the noses of the horses at the time they reached the finish line. If necessary, the photograph of the arrival (photo finish) and/or video footage of the race shall be consulted as an aid in determining the results of the race, If both are unavailable or unclear, the Board of Judges shall declare a dead heat,
2. The decision of the Board of Judges in declaring a dead heat is final and unappealable.

#### **PR 9. PADDOCK STEWARD**

He must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, graduate of at least a four (4)-year college course or equivalent of five (5) years experience relevant to the position and has passed the examination given by the PHILRACOM. He should be knowledgeable in the identification and use of the different horse paraphernalia and horse shoe.

#### **PR 9-A. DUTIES AND FUNCTIONS OF THE PADDOCK STEWARD**

1. To enforce all the rules governing the saddling paddock and report to the Board of Stewards any violation of said rules and irregularities that may committed therein together with his recommendations;
2. To inspect the horses at the saddling paddock, and to see to it that are fitted with the approved standard equipment;
3. To exclude any person not authorized to be present therein;
4. To supervise and control the behavior, appearance and activities of owners, trainers, jockeys, jockeys' helpers and grooms who are accompanying the participating horses.

#### **PR 9-B. PENALTY FOR PADDOCK STEWARD**

For failure to comply with the provisions of these Rules and Regulations or with the orders, resolutions or decisions of the PHILRACOM or the Board of Stewards, and for imprudence or negligence in the performance of his duties, a Paddock Steward shall be penalized by the PHILRACOM Board.

#### **PR 9-C. ASSISTANT PADDOCK STEWARD**

His qualifications are the same as those of the Paddock Steward. He shall assist the Paddock Steward in his duties and functions. In the absence of the Paddock Steward, he shall assume the position of the former.

#### **PR 10. CLUB HANDICAPPER**

He must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit and a graduate of at least a four (4)-year college course or with five (5) years experience relevant to the position.

#### **PR10-A. DUTIES AND FUNCTIONS OF THE CLUB HANDICAPPER**

1. To be present during race days from the start of the first race to the end of the last race;
2. To keep a complete record of each horse including the name, sex, color, age, height, marks, owner and trainer, its performance after every race with the weight and classification of the jockey who rode it, the kind of course ran, distance of the race, the time

- negotiated, the place and manner of its arrival at the finish line, its characteristics, vices or traits and other such data or information necessary to determine its strength, ability, performance and other disability;
3. To receive inscription of horses;
  4. To prepare the list of inscribed horses; (racing copia)
  5. **To accept the duly and completely and filled up declaration form of horses. No requests shall be entertained during the declaration of horses; (As per Board Resolution Nos. 46-15<sup>5</sup> and 50-15<sup>6</sup>)**
  6. To prepare the line-up of horses for drawing of lots;
  7. To review and check the entries for the final draft and to make the necessary corrections;
  8. To submit to the Racing Manager the final draft for approval;
  9. To, explain, when required by the PHILRACOM, any changes made in the racing program;
  10. To furnish the other Racing Clubs concerned the list of jockeys and horses under suspension or any disability;
  11. To assign weights to all participating horses in all invitational/stakes races prior to the declaration of entries.

#### **PR 10-B. ASSISTANT HANDICAPPER**

He shall have the same qualifications as those of the Club handicapper. He shall assist in the performance of the duties and functions of the Club Handicapper and assume the position in case of the absence of the latter.

#### **PR 10-C. PENALTIES FOR WILLFUL VIOLATION OF PHILRACOM RULES BY CLUB HANDICAPPERS**

**If, after notice and hearing, the Commission finds that the Club Handicapper has willfully violated any of the PHILRACOM Rules other than those provided under PR 74, or has refused to follow positive directive**

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<sup>5</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

<sup>6</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.



given by the Commission in writing or has committed an act punishable by the Revised Penal Code within the premises of the Racing Club or in the performance of or in connection with his duties, he shall be made liable to pay a fine in an amount not exceeding Twenty Thousand Pesos (Php20,000.00), at the discretion of the Commission, without prejudice to other penalties that the Commission may impose under the Rules, such as suspension or revocation of license. *(As per Board Resolution Nos. 16-15<sup>7</sup> and 16-A-15)*

In the event that in race where there are six (6) horses originally declared and any horses is scratched from the race due to wrong grouping of horses or in which horses that are included in the lineup although still on vacation, or under suspension, the Racing Manager and Club Handicapper shall be fined a minimum of Five Thousand Pesos (Php5,000.00) each for every violation. *(As per Board Resolution No. 47-15)*

#### **PR 11. CLUB VETERINARIAN**

The Racing Club shall have at least **three (3)** Board-certified Veterinarians licensed by the PHILRACOM to practice their profession during race days. They should have sufficient background on horsemanship and experience in Equine Lameness and Medicine. Their duties and responsibilities are the following: ***(As per Board Resolution No. 28-15<sup>8</sup>)***

- A. To be present in the racetrack from the start of the first up to the last race of the day and during official barrier trial races;
- B. To inspect the horses at the saddling paddock prior to the race and determine its soundness or fitness to participate in the said race, informing immediately the PHILRACOM Veterinarian and the Board of Stewards any unsound or unfit horse, so that proper measures can be taken thereon;

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<sup>7</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

<sup>8</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

- C. To be present at the starting gate during every race to be able to immediately give his professional opinion/judgment on horses that may be requested for inspection;
- D. To inspect and/or handle horses at the finish line after each race and to recommend the suspension of horses found lame or have suffered epistaxis and other medical conditions;
- E. To inspect and issue veterinary certificates to horse that have been withdrawn from the race upon the request of the owner and/or trainer or upon the order of the Board of Stewards;
- F. To render immediate assistance during emergency to a race horse in distress in the racetrack. However, in the absence of the owner or his representative, the veterinarians are authorized to do the necessary steps to prevent the further suffering of the horse;
- G. To order, with the concurrence of the PHILRACOM Veterinarian, the removal of racing plates or any equipment which, in their opinion, is unsuitable, unsafe or ineffective and recommend to fine or suspend the person responsible for the use of the same;
- H. To notify the PHILRACOM Veterinarian and the Board of Stewards about the immediate destruction of any race horse that met an accident during the race, in order to alleviate the suffering of the horse;
- I. To subject a horse to examination or investigation if, in his opinion, the same is under the influence of prohibited drugs and or stimulants. He shall immediately report his findings and recommendation to the PHILRACOM veterinarian and to the Board of Stewards;
- J. To report in writing any injured race horse to the Commission, regardless of the degree of its lameness or injury. To this end, the club veterinarians shall furnish the Commission a daily report of injured/lame horses, including those encountered during morning workouts;**
- K. To issue clearances to horses found lame/injured during morning workouts before declaration for a barrier race; and**
- L. To perform such other acts connected with or related to his office as may be required by the PHILRACOM or the Racing Club.” (As per Board Resolution No. 27-16)**

#### **PR 11-A. ASSISTANT CLUB VETERINARIAN**

A Board-certified veterinarian without sufficient equine experience shall be given a temporary license as an assistant Club Veterinarian. To be certified, he shall be required to undergo an apprenticeship program for a period that shall be determined by the PHILRACOM Veterinarian, depending on his performance. Thereafter, he shall then be evaluated by a panel to be formed by the Commission to determine his readiness for the position of Club Veterinarian. For unsatisfactory performance, the following guidelines shall apply:

1. He may be given an eight (8) - week extension of his apprenticeship upon his or the Club's request and to undergo further training.
2. He shall be re-evaluated after this period by the same panel.
3. Should he obtain another failing mark, this will mean revocation of his license as Assistant Club Veterinarian.
4. He may be allowed to re-apply after a six (6)- month period

The Assistant Club Veterinarian shall assist the Club Veterinarian in the performance of the former's duties and functions. However, he is not allowed to sign a suspension form or to issue a veterinary certificate.

#### **PR 11-B. REQUIREMENT FOR CLUB AND ASSISTANT CLUB VETERINARIANS**

1. Endorsement letter from the Racing Club;
2. Photocopy of the Official Transcript of Records or College Diploma;
3. Photocopy of Professional Regulation Commission Certificate and updated identification card;
4. Professional Tax Receipt;
5. Certification from former employer, if any.

#### **PR 12. CLUB STARTER**

He must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, a high school graduate or with Five (5) years experience relevant to the position, and has passed a written and/or practical examination given by the Commission.

#### **PR 12-A. DUTIES AND FUNCTIONS OF THE CLUB STARTER**

1. To have full control in the loading of horses when given the order by the Board of Stewards and to start the race immediately afterwards;
2. To recommend to the Board of Stewards the exclusion from the race of any horse which shows obstinate hostility or viciousness that may delay the start unnecessarily;
3. To recommend and supervise the schooling of vicious horses;
4. To recommend to the Board of Stewards the imposition of penalties to jockeys and starting gate helpers for insubordination or disobedience to their orders;
5. To immediately notify the Board of Stewards in case of a false start or any untoward incident that may warrant the annulment of the race;
6. To give all orders necessary for securing or effecting a good start;
7. To faithfully keep a logbook of all the traits and characteristics of every horse, particularly their behavior at the starting gate; and
8. To perform such other acts as may be required by the PHILRACOM.

#### **PR 12-B ASSISTANT CLUB STARTER**

The same qualifications as those of the Club Starter. He shall assist the Club Starter in his duties and functions and assume the position in the absence of the latter.

#### **PR 13. CLOCKER**

He must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, at least a high school graduate or with five (5) years experience relevant to the position, and has passed the practical examination given by the PHILRACOM.

#### **PR 13-A. DUTIES AND FUNCTIONS OF THE CLOCKER**

1. To obtain and record the individual time of each horse from start to finish;

2. To keep a record of the time registered in every race including the quarter clocking and to report the same to the Board of Stewards;
3. To obtain the time taken by a horse to leave the starting gate stall and to report to the Board of Stewards those who fail to jump out within two (2) seconds; and
4. To perform such other acts as may be required by the PHILRACOM or the Racing Club.

#### **PR 14. CLERK OF SCALES**

He must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, at least second year in college or with two (2) years experience relevant to the position, and has passed the examination given by the PHILRACOM.

##### **PR 14-A. DUTIES AND FUNCTIONS OF THE CLERK OF SCALES**

1. To check the attendance and record the body weight of participating jockeys;
2. To take, read and record the weights of participating jockeys one (1) hour or two (2) races before the scheduled race and to report to the Board of Stewards riders who cannot meet the assigned handicap weight;
3. To take, read and record the weight of participating jockeys **before and** after each race and to report any discrepancy to the Board of Stewards; **(as per Board Resolution No. 29-16)**.
4. To inform the Board of Stewards of any protest lodged by the jockey, trainer and/or owner;
5. To check the tack used by the jockeys before and after the race and to report to the Board of Stewards riders using substandard and/or unauthorized riding paraphernalia. Helmet, whip and undercloth are excluded during the weigh in and weigh out.

#### **PR 15. CLUB PHYSICIAN**

A Racing Club shall have at least **one (1)** Physician duly authorized to practice his profession and is registered with the PHILRACOM.

##### **PR 15-A. DUTIES AND FUNCTIONS OF THE CLUB PHYSICIAN**

1. To be present at the medical clinic before the start of the first race up to the end of the last official race;
2. To see to it that the clinic and ambulances are well-equipped to handle emergency treatment. The same shall also be subject to periodic inspection of the PHILRACOM personnel or its representative;
3. To render immediate assistance to jockeys and other persons in distress within the compound and premises of the Racing Club during racing days and to submit a report to the Board of Stewards and to the PHILRACOM. In case of jockeys, the report shall include diagnosis and if possible, the rider's body weight;
4. To issue medical clearance in the absence of or upon the authorization of the PHILRACOM Physician.
5. To perform such other acts connected with or related to their positions as may be required by the PHILRACOM and Racing Club.

#### **PR 16. CLUB NURSE**

A Racing Club shall have at least one (1) Nurse duly authorized to practice his profession and registered with the PHILRACOM.

##### **PR 16-A DUTIES AND FUNCTIONS OF THE CLUB NURSE**

1. To be present at the medical clinic before the start of the first race up to the end of the last race;
2. To assist the Club Physician in the performance of the latter's duties.

#### **PR 17. RACE CALLER**

He must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, at least a high school graduate or with sufficient experience/skill relevant to the position.

##### **PR 17-A. DUTIES AND FUNCTIONS OF THE RACE CALLER**

1. To announce the race schedule for the day, the participants and all accompanying information in accordance with the official racing program;
2. To announce in detail the progression of the race;

3. To observe at all times proper decorum in the conduct and discharge of his duties and functions;
4. To announce and disseminate all other information and discharge other acts as may be required by the PHILRACOM, Board of Stewards or the Racing Club.

The PHILRACOM has the option to administer a practical examination for applicant, if necessary.

#### **PR 18. STARTING GATE HELPER**

**The Racing Clubs must have at least twenty five (25) properly trained starting gate helpers for every race. (As per Board Resolution No. 14-16)**

He must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, knowledgeable in the correct handling and managing of race horses, and must have undergone seminar/training on the subject matter. He must have a recommendation from the Field Inspection Division (FID) of the PHILRACOM.

#### **PR 18-A. DUTIES AND FUNCTIONS OF THE STARTING GATE HELPER**

1. To assist the jockeys during the parade at the track, if necessary;
2. To assist in the loading of entries into their respective stalls of the starting gate apparatus;
3. To hold/restrain the horses while inside the starting gate apparatus prior to the start of the race;
4. To return all horses' paraphernalia left with them or whips they have gotten hold of to the respective grooms or jockeys after the race;
5. To handle only horses that had been numerically assigned to them.

#### **PR 19. FARRIER**

He must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, and with sufficient experience/skill relevant to the position. He is required to present endorsement letters from three (3) trainers and/or owners.

#### **PR 19-A. DUTIES AND FUNCTIONS OF THE FARRIER**

1. To be present at the saddling enclosure or paddock during racing days for the purpose of rendering proper

and immediate assistance to horses requiring simple remedial attention;

2. To be present at the starting gate during racing days to render proper and immediate assistance to horses in an emergency when directed to do so by the Starter.



**CHAPTER 3**  
**HORSE OWNERS, TRAINERS, JOCKEYS, EXERCISE RIDERS  
AND GROOMS: THEIR DUTIES, QUALIFICATIONS AND  
OTHER REQUIREMENTS**

**PR 20. HORSE OWNERS**

A Horse Owner is a person in whose name the certificate of ownership or transfer certificate of a race horse is registered. The term "person" shall include a natural person, a partnership or a corporate entity. It shall also mean a person who holds, in whole or in part, any right, title or interest in a horse, or any lessee of a horse, who has been duly a currently valid owner's license as a person responsible for such horse.

**PR 20-A. QUALIFICATIONS AND REQUIREMENTS  
FOR APPLICATION OF HORSE OWNERSHIP**

**PR 20-A.1 FOR APPLICANTS 21 YEARS OLD AND  
ABOVE**

- a. **Must have an annual net income of at least Php800,000.00 as reflected in the latest Bureau of Internal Revenue (BIR) stamped received Income Tax Return (ITR). If the ITR shows insufficient income, he/she must submit evidence of supplementary income. A bank statement and transaction history for the last six (6) months may also be accepted in lieu of the ITR.**
- b. Must be of good moral character and must not have been convicted of any crime of moral turpitude;
- c. Must own or lease at least one (1) race horse with an existing agreement with a licensed trainer;
- d. Endorsement letter from the Chairman or President of a **PHILRACOM-accredited** Horse Owners' Association; **(As per Board Resolution No. 24-16)**
- e. Personal interview by the entire Board or designated Committee;
- f. Must submit the National Stud Farm Certificate of horse, Certificate of Ownership of Large Cattle (COLC), Certificate of Transfer of Large Cattle (CTLC), Deed of Sale, Illustrated racing color,

and two (2) copies of recent photo (1 copy size 1X1 and 1 copy size 2X2)

- g. The Board of PHILRACOM, in the exercise of its discretion, may require submission of additional requirements to prove the capacity of the applicant to acquire, support, and maintain a thoroughbred race horse. (As per Board Resolution No. 08-20)**

**PR 20-A.2 FOR APPLICANTS BELOW 21 YEARS OLD**

- a. Must be a daughter, son or ward of a licensed horse owner;
- b. Undertaking filled by the parent or legal guardian assuming responsibility for meeting all financial, contractual or other obligations related to racing;

All horses under the minor's name will be considered as coupled entries to the parent's/guardian's horses.

**PR 20-A.3 SPOUSE**

A spouse may apply for a separate horse owner's license provided that he/she satisfies the requirements under PR 20-A.1 or PR 20-A.2, whichever is applicable.

**PR 20-A.4 OWNERSHIP BY SINGLE PROPRIETORSHIP**

The applicant must submit the following:

- a. Certificate of Registration with the Department of Trade and Industry;
- b. Income Tax Return for the last three (3) years/ Financial Statement;
- c. Recent photo of authorized signatory (two copies size 1X1)
- d. National Stud Farm certificate of horse;
- e. Certificate of Ownership of Large Cattle (COLC);
- f. Certificate of Transfer of Large Cattle (CTLC);
- g. Deed of Sale;
- h. Illustrated racing color.

**Upon renewal of the registration/license, the single proprietorship shall submit the latest (ie current or previous year's) mayor's permit. (As per Board Resolution No. 32-20)**

#### **PR 20-A.5 OWNERSHIP BY PARTNERSHIP**

The applicant must submit the following:

- a. Papers of agreement/partnership with the designated representative/signatory (first party);
- b. Certificate of Registration with the Department of Trade and Industry (DTI) or Securities and Exchange Commission (SEC)
- c. Income Tax Return for the last three (3) years/Financial Statement
- d. Recent photo of authorized signatory (two copies size 1X1)
- e. National Stud Farm Certificate of horse;
- f. Certificate of Ownership of Large Cattle (COLC);
- g. Certificate of Transfer of Large Cattle (CTLC);
- h. Deed of Sale;
- i. Illustrated racing color;
- j. Articles of Partnership.

#### **PR 20-A.6 OWNERSHIP BY CORPORATION**

The applicant must submit the following:

- a. Articles of Incorporation and By-laws;
- b. Certificate of Registration with the Department of Trade and Industry (DTI) or Securities and Exchange Commission (SEC);
- c. List of officers and stockholders;
- d. Latest audited financial statement;
- e. Certification/designation of authorized corporate signatory on racing matters issued by the Corporate Secretary;
- f. Recent photo of authorized signatory (two copies size 1X1)
- g. National Stud Farm Certificate of horse;
- h. Certificate of Ownership of Large Cattle (COLC);
- i. Certificate of Transfer of Large Cattle (CTLC);
- j. Deed of Sale;
- k. Illustrated racing color.

**Upon renewal of the registration/license, the corporation shall submit the latest (ie current or previous year's) General Information Sheet (GIS) submitted to and stamped received by the Securities and Exchange Commission (SEC). (As per Board Resolution No. 32-20)**

## **PR 21. OWNERSHIP THROUGH LEASING**

Horses may be leased provided the contract is registered with the PHILRACOM to be binding on third parties. All the rights, obligation, responsibilities and liabilities of a horse owner shall be enjoyed and shouldered by the lessee as if he were the owner of the horse himself. Horses owned by the lessee and the horse leased by him shall considered as coupled entries.

### **PR 21-A. LEASING REGULATIONS**

Horses may be raced under lease provided that the lease agreement is annexed to the horse's registration certificate and is approved by the PHILRACOM. Validity of a lease for the purpose of racing a horse may be suspended temporarily or voided by the PHILRACOM at any time. No lease may be approved for racing purposes except in the following circumstances:

1. Lessee is a licensed owner;
2. Each of the signatures of the lessors on the lease agreement is subscribed and sworn to before a notary public;
3. Lease term is no more than one (1) year, unless sooner terminated by claim, or retirement of subject horse;
4. Conditions of the lease specify, as to the parties of the lease, whether the subject horse can be entered in a race to be claimed. If agreeable to lessor that the subject horse may be entered to be claimed, conditions of the lease must specify the minimum prize for which the subject horse can be entered, and the name of the payee of the claiming prize;
5. Conditions of the lease specify that upon the claim of the subject horse, the lease shall terminate and all the rights in and to such horse shall pass to claimant as a bona fide purchase;
6. After viewing the full ownership of such leased horses and the interest of all persons involved in such lease, the PHILRACOM finds that such lease:
  - a. Completely divests lessors or sub-lessors of further control or direction of the racing performance of such horse while under lease; and
  - b. The resultant program-listing of lease would not mislead the betting public by reason of the absence in

the program-listing of the name of a person or persons possessing a beneficial horse.

#### **PR 22. ATTORNEY-IN-FACT**

- A. Only the horse owner shall grant and/or appoint an attorney-in-fact (either a licensed trainer or groom) in the absence of his duly designated trainer. The appointed attorney-in-fact shall exercise his authority whenever the trainer is absent or indisposed.
- B. Only one (1) attorney-in-fact shall be designated by the horse owner. The Special Power of Attorney together with the information sheet and the NBI Clearance of the authorized person shall be submitted to the Legal Division for examination.
- C. The Chief of the Legal Division shall examine the documents and approve the same if in order. The PHILRACOM shall have the prerogative to reject the attorney-in-fact. If approved, the appointment of attorney-in-fact shall be valid only for that calendar year.
- D. The horse owner shall be held answerable for all acts of his agent and/or attorney-in-fact even if the said acts were performed in the agent's personal capacity.
- E. The penalty to be imposed upon a horse owner whose agent has violated the rules and regulations of the Commission shall be determine by the Board or its duly authorized employees depending on the gravity of the offense committed.

#### **PR 23. PERSONS PROHIBITED FROM OWNING RACE HORSES**

Under penalty of revocation of license or permit, the following are prohibited from owning race horses directly or indirectly: Racing Clubs or other entities licensed to hold or conduct horse racing in the Philippines, Racing Managers, Stewards, Judges, Paddock Stewards, Handicappers, Starters, PHILRACOM Executive Director, Deputy Executive Director, PHILRACOM employees, Jockeys and Apprentices, and such other persons as the PHILRACOM may determine.

#### **PR 24. COMPENSATION PAID BY HORSE OWNERS**

The horse owner shall pay as compensation to his trainer a minimum of nine percent (9%) and to his jockey a minimum of

twelve percent (12%) of the prize awarded to his horse in an ordinary race.

#### **PR 25. RULES GOVERNING COUPLED ENTRIES**

Horses running in a particular race will be coupled under the following conditions:

- A. Horses owned by the same person;
- B. Horses owner by husband and wife;
- C. Horses owned by parent and children below 21 years old;
- D. Horses owner by siblings who are both below 21 years old;
- E. Horses owned by legal guardian and ward below 21 years old;
- F. Horses owned by a person and a corporation whose majority stock is owned by the former;
- G. Horses owned by a person and a business partnership in which the former is a partner;
- H. Horses owned by a person and a business entity owned by the same;
- I. Horses owned by a lessee and lessor;
- J. Horses owned by a corporation or business entities whose majority stockholder or owner is the same person.

**For the purpose of this rule a majority stockholder is defined as a shareholder who owns more than 50% of corporation's shares. (As per Board Resolution No. 32-20)**

#### **PR 26. REQUIREMENTS FOR TRANSFER OF OWNERSHIP**

- A. Notarized copy of Deed of Sale;
- B. Photocopy of the Certificate of Transfer of Large Cattle (CTLC);
- C. Passport with updated data on Equine Flu Vaccination and Coggin's test results and other test that may be required by the PHILRACOM;
- D. Duly accomplished form of transfer of ownership;
- E. Payment of transfer fee.

#### **PR 26-A. REQUIREMENTS FOR TRANSFER OF TRAINERSHIP**

- A. Duly accomplished transfer of trainership form;
- B. Payment of Transfer fee.

A trainer whose license has been suspended may be allowed to re-transfer the horse/s in his trainership provided that a written authority by the horse owner/s is submitted to the PHILRACOM.

#### **PR 27. PENALTIES FOR WILLFUL VIOLATION OF PHILRACOM RULES COMMITTED BY HORSE OWNERS**

**If, after notice and hearing, the Commission finds that a horse owner has willfully violated any of the PHILRACOM Rules other than those provided under PR 74 and PR 75, or has committed an act punishable by the Revised Penal Code within the premises of the Racing Club or in the performance of or in connection with his duties, he shall be made liable to pay a fine in an amount not less than Five Thousand Pesos (Php5,000.00) but not more than Fifty Thousand Pesos (Php50,000.00), at the discretion of the Commission, without prejudice to other penalties that the Commission may impose under the Rules, such as suspension or revocation of license. (As per Board Resolution No. 21-15<sup>9</sup>)**

#### **PR 28. TRAINERS**

A trainer, or one who is licensed to train horses, shall act as the authorized representative of the horse owner before the PHILRACOM and the officials of the Racing Club.

A horse trainer who is appointed member of the PHILRACOM Board must take a leave of absence during his term of office and cannot participate in any official race. He shall also refrain from being present in the Stewards' Stand, Saddling paddock, Jockeys' Quarters and other similar restricted areas during races where an immediate family member is a participant, either as owner, jockey or trainer. Likewise, he shall inhibit himself from participating in Board deliberations and investigations involving an immediate family member.

#### **PR 28-A. QUALIFICATIONS OF TRAINERS**

He must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, **must be literate**, has undergone an apprenticeship program for at least one

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<sup>9</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

(1) year under a curriculum established and approved by the Philippine Racing Commission Board and has passed the examination given by the Philippine Racing Commission. *(As per Board Resolution No. 36-15<sup>10</sup>)*

In case the applicant trainer is a licensed horse owner, he shall be exempt from undergoing the apprenticeship program under a curriculum established by the Commission subject to the following conditions:

1. The horses under his trainership shall be limited to those he owns, or those that are owned by corporations of which he has majority share, or those horses owned by his family, or those horses owned by an identified horse owner; and
2. He shall not be entitled to receive any benefits given by the Trainer's Association to its members and whatever benefits granted to trainers under the Trainer's Fund.

With the licensed horse owner complying with the other requirements, he shall be given a Trainer's Special Permit, renewable every year and after payment of the corresponding licensee fee for trainers. The names of the horses and the owners (limited to the entities mentioned above) shall be indicated in the Trainer's Special Permit that shall be issued." *(As per Board Resolution No. 06-2022)*

#### **PR 28-B. DUTIES AND RESPONSIBILITIES OF A TRAINER**

1. To declare horse/s under his trainership as fit to participate in a race and to ensure that the entries in the declaration sheet are **complete, accurate**, correct and updated; *(As per Board Resolution No. 46-15<sup>11</sup>)*

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<sup>10</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

<sup>11</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.



2. To attend or send a representative to the drawing of lots whenever his horse is entered to take part in a race;
3. To report the medications to be used by his horse;
4. To request the use or change of racing paraphernalia;
5. To be present at the racetrack two (2) races before and to remain in the premises until the end of his horse's scheduled run;
6. To supervise the saddling of his horse at the paddock and to ensure that only authorized paraphernalia are used;
7. To give instructions to his jockey as may be necessary to ensure the best performance of his horse;
8. To submit the horse's passport to the PHILRACOM for updating, with complete record of Equine Influenza vaccination of all horses under his care within seven (7) days from the date of vaccination;
9. To submit the Coggin's Test result within seven (7) calendar days from the date of release of such and other test results that may required by the PHILRACOM;
10. To ensure that his horse is properly shod before entering the racetrack premises;
11. To submit to the PHILRACOM any other clearances or certificates that may be required;
12. To report to the Board of Stewards as soon as practicable any condition that may affect the running of the horse in a race or any change in riding instructions;
13. To ensure that the horses under his care are brought to the track two (2) races or one (1) hour before the scheduled run by a licensed groom wearing the proper uniform;
14. To notify the Stewards as soon as practicable the withdrawal of his horse from the scheduled race;
15. To notify the PHILRACOM regarding vacation of horses under his care.
16. **To notify the jockey and obtain the latter's consent to ride horse(s) under his care before declaration thereof. (As per Board Resolution No. 40-16)**

- 17. To notify the PHILRACOM in writing of the death of the owner of the horse under his trainership within seven (7) days after the death of the owner, attaching a certified true copy of death certificate. (As per Board Resolution No. 31-18)**

#### **PR 28-C. PENALTIES FOR WILLFUL VIOLATION OF PHILRACOM RULES COMMITTED BY HORSE TRAINERS**

**If, after notice and hearing, the Commission finds that the horse trainer willfully violated any of the PHILRACOM Rules other than those provided under PR 74 and PR 75, or has committed an act punishable by the Revised Penal Code within the premises of the Racing Club or in the performance of or in connection with his duties, he shall be made liable to pay a fine in an amount not exceeding Twenty Thousand Pesos (Php20,000.00), at the discretion of the Commission, without prejudice to other penalties that the Commission may impose under the Rules, such as suspension or revocation of license. (As per Board Resolution Nos. 16-15<sup>12</sup> and 16-A-15)**

#### **PR 29. JOCKEYS**

Jockeys are persons who professionally ride horses during races and must be licensed by the PHILRACOM.

A jockey who is appointed member of the PHILRACOM Board must take a leave of absence during his term of office and cannot participate in any official race. He shall also refrain from being present in the Stewards' Stand, Saddling Paddock, Jockeys' Quarters and other similarly restricted areas during races where an immediate family member is a participant, either as owner, jockey or trainer. Likewise, he shall inhibit himself from participating in Board deliberations and investigations involving an immediate family member.

#### **PR 29-A. QUALIFICATIONS OF A JOCKEY**

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<sup>12</sup> *The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.*

The jockey must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, at least a high school graduate and weighing not more than fifty-two (52) kilograms.

#### **PR 29-B DUTIES AND RESPONSIBILITIES OF A JOCKEY**

1. To attend to or send a representative during the drawing of lots; (?)
2. To be present one (1) hour or two (2) races before the scheduled race for his attendance and body weight confirmation and to be within the jockeys' quarters' premises until his last ride, including barrier trial races;
3. To report to the clinic for medical check-up two (2) races before his scheduled ride;
4. To report to the Board of Stewards any excess ride before the start of the first race of the day;
5. To weigh out in complete racing attire;
6. To be responsible at all times for the behavior and conduct of his horse from the time he mounts to the time he dismounts;
7. To obey all legal orders from the Racing Officials;
8. To observe proper decorum/behavior and dress code within the premises of the paddock;
9. To be responsible for the conduct of his licensed helper while inside the paddock;
10. To be responsible for the fitness and serviceable condition of his equipment and to make sure these are authorized by the PHILRACOM;
11. To take all reasonable and permissible measures throughout the race to win, to obtain the best possible position, and to ride his mount to the finish line without, however, unnecessarily punishing his mount;
12. To unsaddle and weigh in with the required tack by himself;
13. To inform the Clerk of Scales of any protest before weighing in;
14. To leave the jockeys' quarters/paddock within thirty (30) minutes after his last ride;
15. To communicate only with authorized Racing Officials of the PHILRACOM or his trainer/owner inside the paddock area;

16. To inform his owner/trainer of any suspension or order regarding the horse he rode;
17. To be ready at all times while inside the starting gate apparatus;
18. To secure a clearance from the PHILRACOM as required under the "Loss of Confidence "Rule;
19. To submit himself to any investigation that may be conducted by the Board of Stewards and/or the Commission;
20. To report to the Stewards or any PHILRACOM Official any attempt of bribery or race fixing.

#### **PR 29-C. NUMBER OF RIDES**

**A professional jockey shall be allowed to ride a maximum of seven (7) races per racing day and an apprentice jockey shall be allowed to ride a maximum five (5) races per racing day. (As per Board Resolution No. 05-19)**

#### **PR 29-D. DRESS CODE FOR JOCKEYS**

Jockeys required when taking part in a race;

1. To be attired uniformly in white riding pants, black leather boots with tan upper brim or band, a standard "crash" helmet or skull cap and the correct racing color;
2. To use a standard whip that shall not be more than Twenty Eight (28) inches from tip to tip, and carried only in his hand from the parade to the finish;
3. To use cinch (girth/*cincha*) and surcingle (*sobrecincha*) with any kind of racing saddle;
4. To use a safety vest that has been approved by the International Federation of Horseracing Authorities and whose weight is included in the official weight:
  - a. European Standard EN13158:2000 Level 1
  - b. JRA Standard (DESCENTE)
  - c. ARB Standard 1998
  - d. Satra Jockey Vest Standard Document M6 Issue 3
  - e. ASTM F2681-08
5. To use a helmet that has been used by the International Federation of Horseracing Authorities:

- a. European Standard EN1384:1996, EN1384:1997 and PASO15:1994
- b. JRA Standard (ARAI)
- c. Australian Standard AS/NZS 3838 2003
- d. USA Standard ASTM F11 63-01

#### **PR 29-E. SUBSTITUTION OF A JOCKEY**

Substitution of a declared jockey is mandatory in cases of accidents, illnesses or incapacity of a jockey, overweight as certified by the Clerk of Scales, **any valid or justifiable reason as requested by the owner or trainer approved by the Board of Stewards, (As per Board Resolution No. 29-14)** and/or other justifiable reasons as determine by the Board of Stewards. In cases wherein the owner, trainer or authorized representative fails to appear before the Board of Stewards for a change of jockey despite three (3) summonses, the Board shall have the authority to withdraw the horse from the race.

1. In case wherein, after leaving the saddling paddock, the jockey refuses to mount the horse that has been certified by the veterinarians as fit to race, **a substitution of jockey shall be allowed provided that the trainer and replacement rider shall execute a waiver of liability. (as per Board Resolution No. 09-15)** However, if no change is made, no penalty shall be imposed on the original rider and the horse.
2. On the day of the race itself, professional jockeys shall only be substituted by other professional jockeys. In the same manner, apprentice jockeys shall only be substituted by apprentice jockeys. In no way shall professional jockeys be substituted by apprentice jockeys and vice versa except that an apprentice jockey may be substituted by a professional jockey in the event that there is absolutely no available substitute apprentice jockey. **(As per Board Resolution No. 14-21)**
3. A jockey whose mount has been withdrawn from a race shall not be allowed to substitute for a rider declared on the same race.
4. A jockey who is incapable to ride on his first declared ride due to accident, illness or any fortuitous incident

and subsequently is substituted by another jockey, cannot ride on the rest of his mounts for the day.

5. A jockey who falls from his horse **or suffers any injury** during a race but was declared initially fit to ride may opt to be replaced on his next ride. He, however, may still be allowed to ride on his remaining mounts upon approval of the attending physician. **(As per Board Resolution No. 25-14)**
6. Changes of jockeys shall be allowed in all Stakes Races, including Sweepstakes Races, provided the request is made before the first race of the same day for proper dissemination to the racing public. Jockeys are allowed to ride in a race before a Stakes Race. In the case of a jockey-change, a written consent of the jockey to be substituted is required.
7. Only jockeys whose suspension is two (2) weeks or less shall be allowed to be a substitute rider on the day of his suspension. He shall also still be allowed to substitute in the succeeding days provided that his case is on appeal.
8. A letter or written note signed by either horse owner or trainer shall be presented to the Board of Stewards if the reason for change of jockey is **any valid or justifiable reason as requested by the owner or trainer. (As per Board Resolution No. 29-14)** The jockey involved shall not be allowed to ride for three (3) months on all horse registered to the particular owner.
9. Jockeys who have an excess weight of one (1) kilogram during the initial weigh out shall be automatically replaced.

#### **PR 29-F. ALLOWING A SUSPENDED JOCKEY TO RIDE AND HIS ELEGIBILITY FOR DECLARATION**

A suspended jockey shall **only** be allowed to ride in the following instances:

1. If he has already been declared for a race prior to his suspension, provided that in the case of Stakes Races, the period between the date of his suspension and the race wherein he was previously declared shall be counted in the computation of his suspension.

2. In case of the jockey has appealed his suspension, in which case, the jockey shall only serve his suspension on the day after a final ruling by the Commission upholding or modifying the penalty is made. (As per Board Resolution No. 01-17)
3. In case the scheduled race of the suspended jockey shall fall on the day after the last day of his suspension, he shall be eligible for declaration even if he still serving his suspension on the day of the declaration. (As per Board Resolution No. 10-17)

Failure of the Handicapper to implement this provision shall mean a corresponding penalty that will be determined by the PHILRACOM Board.

**PR 29-G THE PRESENCE OF A JOCKEY AND THE MANDATORY PRESENCE OF THE AUTHORIZED NPJAI REPRESENTATIVE DURING THE RAFFLE OF NUMBERS (DRAWING OF LOTS)**

The presence of a jockey and/or an authorized NPJAI representative is required during the raffle of numbers for declared horses, which shall come out in the official programs. All declared jockeys are required to confirm their rides through their authorized representative. No changes shall be allowed after the authorized representative has signed the final "*copia*". Violation of this provision shall subject the concerned to any penalty as may be determined and imposed by the PHILRACOM.

**PR 29-H SUBMISSION OF PRIORITY LIST**

Jockeys are required to submit to the NPJAI authorized representative the priority list of their rides, indicating the horse that they shall ride when there is a double jockey or when the number of rides is over the allowable number for a race day. The jockey must keep an open line for communication for this purpose.

**PR 29-I MEDICAL EXAMINATION FOR JOCKEYS**

All jockeys shall undergo medical examination **once a year in December** to be conducted by the Physician designated by the PHILRACOM, and the later shall be

guided by the findings therein in determining the jockey's fitness to continue riding race horses. **(As per Board Resolution No. 25-13)**

#### **PR 29-J. MEDICAL CLEARANCE**

All jockeys under medical suspension shall be required to obtain a medical clearance from the PHILRACOM Physician or his designated substitute or the Club Physician in the absence of the former before being allowed to ride again. The clearance should be submitted to the Club Handicapper and/or to the Board of Stewards. However, the jockey will only be allowed to ride after three (3) days from his suspension.

#### **PR 29-K PENALTIES FOR WILLFUL VIOLATION OF PHILRACOM RULES BY JOCKEYS**

If, after notice and hearing, the Commission finds that a jockey has willfully violated any of the PHILRACOM Rules other than those provided under PR 74 and PR 76, or has committed an act punishable by the Revised Penal Code within the premises of the Racing Club or in the performance of or in connection with his duties, he shall be made liable to a fine in an amount not exceeding Twenty Thousand Pesos (Php20,000.00), at the discretion of the Commission, without prejudice to other penalties that the Commission may impose under the Rules, such as suspension or revocation of license. *(As per Board Resolution No. 16-15<sup>13</sup> and 16-A-15)*

#### **PR 29-L. SERVICE OF SUSPENSION IMPOSED ON JOCKEYS (As per Board Resolution No. 25-19)**

A jockey shall start serving the penalty of suspension upon receipt of the notice of the decision of the Board of Stewards or the Commission.

In case a jockey prefers to serve the penalty at a later date, the jockey must submit to the Commission a written

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<sup>13</sup> *The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.*



notice indicating the date of the start of the service of the suspension; Provided, that the notice must be received by the Commission within three (3) working days from the receipt of the decision or notice thereof by the jockey; Provided further, that date of the start of the services of suspension must not be later than thirty (30) calendar days from the receipt of the decision or notice thereof; Provided finally, that the rule allowing the jockey to serve the penalty at a later date shall not apply in case the jockey is suspended **pursuant to PR 76. QQ, PR 76. RR and PR 76. SS. (As per Board Resolution No. 21)**

### **PR 30. INACTIVE AND SUSPENDED JOCKEYS**

A jockey who has not ridden an official race for a period of not less than three (3) months shall be considered inactive.

- A. Jockeys who are inactive without justifiable reason shall have their license held in abeyance for verification of reason/s.
- B. A jockey who goes on leave or is inactive for one (1) year shall be required to undergo a refresher course/seminar on the Rules and Regulations before being allowed to ride again.
- C. A jockey who was suspended for twenty four (24) racing and above shall be required to undergo a seminar prior to the issuance of a clearance.
- D. Jockeys who fail to register for a particular year excluding those working overseas shall still be allowed to renew their license provided they pay the registration and corresponding penalties.

### **PR 31. JOCKEYS RIDING ABROAD**

- A. Jockeys who will go abroad shall secure a clearance from the Commission before leaving and upon return.
- B. Jockeys under suspension shall not be allowed to ride in competitive racing abroad
- C. Any jockey whose license in the Philippines has expired by reason of his employment abroad shall be allowed to renew his license without penalty.

### **PR 32. RULE AGAINST RIDING RELATIVE'S HORSE**

No jockey shall be allowed to ride another entry wherein a horse owned directly or indirectly by his spouse, father, mother, brother or sister and any other relative within the second degree of

consanguinity or indirectly through a corporation, partnership or any other entity wherein the relative has financial interest, is also participating in the race.

### **PR 33. JOCKEY'S HELPER**

A helper is a person who attends to the needs of the jockey. He must be duly registered with the Racing Club and the PHILRACOM. He shall be allowed only in areas designated by the Racing Clubs and shall leave the track premises after his jockey's last ride. Before he can be issued a license, he shall be required to submit a current drug test result (obtained not more than one (1) month prior) from a DOH-accredited drug testing laboratory or government hospital.

A jockey shall be allowed one (1) helper if he has a ride in not more than five (5) races and two (2) helpers if he has six (6) or more rides for the day.

### **PR 34. VISITING JOCKEYS**

A foreign jockey who applies to ride in the racetracks of the Philippines may be allowed to do so, provided that:

- A. He secures the necessary permits and/or clearances from the Bureau of Immigration and Deportation (BID) and approved by the Commission;
- B. He gets the necessary clearances from the country where he has been riding for the past two (2) years and that he is duly licensed to ride;
- C. The country of which he is a citizen must, by virtue of reciprocity, allow Filipino jockeys to ride in their jurisdiction under the same terms and conditions;
- D. They shall be subject to Philippine tax Laws.

### **PR 35. APPRENTICE JOCKEYS**

Apprentice jockeys are riders with less than one (1) year riding experience in official races, or have not ridden forty (40) winning horses. They are not allowed to ride vicious horses on official barrier trial races.

An apprentice jockey who fails to register five (5) wins in a period of one (1) year from the date of his first ride shall be dropped from the roster of riders. He, however, may be allowed to apply for a license as an exercise rider.

### **PR 35-A. QUALIFICATIONS FOR APPRENTICE JOCKEYS**

An Apprentice Jockey must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit, at least a high school graduate, not more than 5 feet and 6 inches or 167.5 centimeters in height, weighing not more than 103.5 pounds or 47 kilograms and must be licensed by the PHILRACOM.

### **PR 35-B. WEIGHT ALLOWANCE FOR APPRENTICE JOCKEYS FOR ALL KINDS OF RACES EXCEPT STAKES RACES**

The following weight allowance for apprentice jockeys for all kinds of races, except Stakes Races, shall be strictly observed:

- A. An apprentice jockey shall be given four (4) kilograms weight allowance until he has ridden five (5) winning horses;
- B. After his 5<sup>th</sup> win, he shall be given three (3) kilograms weight allowance until he has ridden twenty five (25) winning horses;
- C. After his 25<sup>th</sup> win, he shall be given two (2) kilograms weight allowance until he has ridden forty (40) winning horses;
- D. After his 40<sup>th</sup> win, he shall be given one (1) kilogram weight allowance, which shall remain until the date that will fall exactly a year after his 5<sup>th</sup> win. After this, he shall be considered as a professional jockey and shall be classified accordingly;
- E. An extension of the foregoing periods of weight allowance shall be given accordingly if he is temporarily incapacitated from riding due to injury, illness, compulsory military or civil service or any other condition that the Commission deems appropriate;
- F. During Stakes Races, apprentice jockeys shall carry the regular handicap weight assigned.

### **PR 36. STUDENT JOCKEYS**

A Student Jockey is enrolled at the Philippine Jockey Academy for training on the proper way of riding racehorses. He must be a Filipino citizen, not less than 17 years old but not more than 26

years old at the date of admission to the Academy, not more than 5 feet 6 inches or 167.5 centimeters in height, weighing not more than 103.5 pounds or 47 kilograms, physically and mentally fit with no history of drug or substance abuse and at least a high school graduate.

### **PR 37. EXERCISE RIDERS**

These persons are not professional, apprentice or student jockeys but are licensed to exercise horses during work-outs.

#### **PR 37-A. QUALIFICATIONS AND REQUIREMENTS FOR EXERCISE RIDERS**

He must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit and must present to the PHILRACOM an endorsement letter from the New Philippine Jockey's Association, Inc. (NPJAI) and from the sponsoring trainer and/or owner.

### **PR 38 GROOM/GROOM'S HELPER**

A Groom or Groom's Helper tends and cares for race horses.

#### **PR 38-A. QUALIFICATIONS AND REQUIREMENTS FOR GROOMS AND GROOM'S HELPER**

He must be a Filipino citizen, of legal age, of good moral character, physically and mentally fit and should be endorsed by a trainer.

**Upon application for a license/permit**, he shall be required **to submit the drug test result obtained** from a DOH-accredited laboratory or government hospital and conducted not more than one (1) **month prior to his application.**

**In case of a groom, he must have been a groom's helper for at least one (1) year as certified by the racing manager.**

#### **PR 38-B. GROOM'S HELPER PERMIT**

The racing clubs shall issue the required permit to groom's helper and the racing clubs shall report to PHILRACOM all permits issued to groom's helper at the end of every month.

## **PR 38-C. RULES FOR GROOMS AND GROOMS' HELPER**

1. All grooms bringing horse inside the racetrack should be in the registered racing color of the horse owner. He is prohibited from wearing a bandana or head scarf but he may wear a cap to protect his head from the elements. He shall also wear closed shoes or boots; wearing slippers or open toed sandals or going barefoot is strictly prohibited. **(As per Board Resolution No. 53-15<sup>14</sup>)**
2. All grooms must secure a license from the PHILRACOM, which they bring when entering the Club premises.
3. All grooms are prohibited from smoking from the time they enter the Club premises with their horse.
4. Grooms under the influence of drugs and alcohol are prohibited from entering the racetrack.
5. Grooms are prohibited from bringing any syringe, drugs or any unauthorized gadgets or instruments inside the Racing Club.
6. Grooms are only allowed one (1) helper while handling a horse inside the racetrack.

**(As per Board Resolution No. 16-19)**

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<sup>14</sup> *The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.*

**CHAPTER 4**  
**REGISTRATION, LICENSING AND ACCREDITATION:**  
**REQUIREMENTS AND FEES**

**PR 39. REGISTRATION OF HORSES, OWNERS AND TRAINERS**

No race horse shall be allowed to participate in any race unless the same, its owner and trainer are registered with the PHILRACOM. An owner who has been inactive for three (3) years shall be considered a new applicant upon reapplication and the necessary documents shall be required of him.

**PR 40. REGISTRATION PROCEDURE FOR HORSES AND OWNERS**

The registration of a race horse and its owner shall be accomplished and filed with the PHILRACOM at any time during the calendar year. It shall contain the following particulars verified in accordance with the National Stud Farm (NSF) Certificate by the owner of such horse, or for the owner, in case the registration is made through the trainer or authorized representative:

- A. Name of the horse, Sex, Color and Date of Foaling;
- B. Name of its Sire and Dam;
- C. National Stud Farm (NSF) Certificate and Date of Issue;
- D. Name of its Trainer and Location of Stable where it may be located;
- E. Name, Personal Data, Pictures, Tax Identification Number (TIN) and Community Tax Receipt (cedula) of the owner;
- F. Favorable recommendation/endorsement from any respectable person known in the horse racing industry.

**PR 41. REGISTRATION PROCEDURES FOR ASSOCIATIONS AND FEDERATIONS**

Associations or Federations of horse owners, breeders, trainers, jockeys and other segments of the racing industry shall not be recognized unless they are registered with the PHILRACOM, provided that the PHILRACOM shall only register associations whose members are exclusive for jockeys, trainers or for horse owners as such, and not associations with mixed membership of jockeys, trainers and/or owners.

**PR 41-A. REGISTRATION PARTICULARS OF AN ASSOCIATION OR FEDERATION**

The application for registration of an association or federation of race horse owners, trainers, jockeys and other segments of the racing industry shall be accomplished and filed during the month of March of every year and shall contain the following particulars verified under oath by the President and the Secretary of the organization:

1. Name and Address of the Organization;
2. Name of its Principal Officers and Date of their last election;
3. Total Membership in Good Standing.

In the case of Racing Programs, accreditation fee shall be charged per Racing Program.

**The resignation of associations and federations, including racing programs, shall be valid only for the calendar year it was made. (As per Board Resolution No. 37-19**

Any violation of this Rule shall merit a corresponding penalty to be imposed by the Commission.

#### **PR 42. THE PHILIPPINE JOCKEY'S ACADEMY**

The school has been established to train and improve the skills of student jockeys and guide them toward becoming duly licensed race horse riders. The Academy shall be under the direct supervision and control of the PHILRACOM.

#### **PR 43. ACCREDITED VETERINARIANS**

Licensed veterinarians practicing their profession in the horse racing industry shall be accredited by the PHILRACOM. They are required to submit the following and pay the annual fee:

- A. Updated Professional Regulation Commission (PRC) Identification card;
- B. Professional Tax Receipt (PTR)
- C. Community Tax Receipt (cedula)

#### **PR 43-A. ACCEPTABLE VETERINARY/VACCINATION CERTIFICATES**

Only those veterinary/vaccination certificates done by accredited veterinarians shall be accepted by the PHILRACOM.

#### **PR 44. HANDICAPPING COMMITTEE**

A Handicapping Committee shall consist of licensed representatives of horse owners, trainers, PHILRACOM Handicappers and handicappers appointed by each Racing Club and other persons designated by the Commission. The Committee shall be headed by a PHILRACOM Commissioner designated by the Board.

#### **PR 45. COMMITTEE ON UNSOUND HORSES**

The Committee shall be composed of one (1) representative from the Jockey's association, one (1) PHILRACOM Veterinarian and one (1) Club Veterinarian. Their duty is to determine the health, fitness and racetrack worthiness of horses during work-outs.

#### **PR 46. RENEWAL OF LICENSE AND REGISTRATION**

Jockeys, Horse Owners, Trainers, Racing Officials and other licensees of the PHILRACOM shall renew their respective licenses on or before the last working day of the month of January every year. Likewise, all registrants of the PHILRACOM except those already provided under this Rule shall renew their registration within the aforementioned period.

The rate of fees for each specific license shall be in accordance with the amount prescribed by the PHILRACOM.

In the event that the last day of January falls on a non-working day, renewal of license or registration shall automatically be extended to the next working day.

Failure of licensees to renew their respective licenses and registrants to renew their registration shall subject them to lose privilege to declare for or to take part in the races until their renewal shall have been faithfully complied with. At the discretion of the PHILRACOM, they may be penalized by the imposition of an additional Fifty percent (50%) of the prescribed registration or license fee. In cases of jockeys whose licensed has expired by reason of his employment abroad, he shall be allowed to renew his license and the corresponding penalty shall be waived.

#### **PR 47. PENALTIES FOR NON-PAYMENT OF FINES**

**The licensee shall pay all fines imposed by the Commission and/or Board of Stewards within fifteen (15) days from the receipt of the order, notice or decision directing the payment**



**of fines. Failure to pay the fines within the given period shall have the corresponding consequences:**

- A. For jockeys, they shall not be eligible for declaration on the next declaration day following **the expiration of the fifteen (15)-day period to pay the fine;**
- B. For trainers, all horses under their care shall not be accepted for declaration on the next declaration day following the **expiration of the fifteen (15)-day period to pay the fine;**
- C. For horse owners, all horses owned by them shall not be accepted for declaration on the next declaration day following the **expiration of the fifteen (15)-day period to pay the fine; and**
- D. For Racing Officials, they shall not be allowed to perform their duties during racing days **after the expiration of the fifteen (15)-day period to pay the fine and until payment of said fine has been made. (As per Board Resolution 02-17)**

#### **PR 48. REGISTRATION AND LICENSING FEES**

**The following are the rates of fees to be paid by the licensees and registrants of the PHILRACOM as well as other fees imposed by it. These fees are all subject to change by the PHILRACOM: (As per Board Resolution No. 15-13)**

<b>A.</b>	<b>LICENSES</b>
1.	Racing Manager Php 6,000.00
2.	Assistant Racing Manager 6,000.00
3.	Racing Steward 3,000.00
4.	Racing Judge 2,000.00
5.	Club Handicapper 2,000.00
6.	Club Veterinarian 1,000.00
7.	Cadet Steward 1,000.00
8.	Paddock Steward 1,000.00

9.	Clerk of Scales		
	1,000.00		
10.	Starter	1,000.00	
11.	Clocker	1,300.00	
12.	Starting Gate Helper		
	300.00		
13.	Farrier	600.00	
14.	Race Caller/Announcer		
	1,000.00		
15.	Club Physician		
	1,500.00		
16.	Club Nurse		
	1,000.00		
17.	Accredited Veterinarian		
	1,000.00		
18.	Horse Owner		
	1,000.00		
19.	Horse Trainer		
	1,000.00		
20.	Jockey	1,000.00	
21.	Apprentice Jockey		
	500.00		
22.	Jockey's Helper		
	100.00		
23.	Groom	100.00	
24.	Groom's Helper		
	50.00		
25.	Exercise Rider		
	50.00		
26.	Student Jockey		
	50.00		
<b>B.</b>	<b>REGISTRATION</b>		
1.	Horse	Php	600.00
2.	Racing Color		
	200.00		
3.	Association of Jockeys		
	6,000.00		
4.	Association of Trainers		
	6,000.00		
5.	Association of Horse Owners		
	10,000.00		

- |    |                                 |          |
|----|---------------------------------|----------|
| 6. | Association of Jockey's Helpers |          |
|    |                                 | 2,000.00 |
| 7. | Association of Grooms           |          |
|    |                                 | 2,000.00 |
| 8. | Commercial Racing Program       |          |
|    |                                 | 6,000.00 |

**C. LATE FEES**

- |    |                              |          |
|----|------------------------------|----------|
| 1. | Late Transfer of Trainership |          |
|    | Php                          | 4,000.00 |
| 2. | Late Transfer of Ownership   |          |
|    |                              | 4,000.00 |
| 3. | Late Registration of Horse   |          |
|    |                              | 2,000.00 |
| 4. | Change in Racing Color       |          |
|    |                              | 400.00   |

**D. OTHER FEES**

- |     |                                |          |
|-----|--------------------------------|----------|
| 1.  | Club Permit Fee                |          |
|     | Php                            | 3,500.00 |
| 2.  | Appeal Fee                     |          |
|     |                                | 1,000.00 |
| 3.  | Transfer of Trainership        |          |
|     |                                | 600.00   |
| 4.  | Transfer of Ownership          |          |
|     |                                | 600.00   |
| 5.  | Seminar Fee                    |          |
|     |                                | 400.00   |
| 6.  | Certification Fee              |          |
|     | a. Local                       | 200.00   |
|     | b. Abroad                      | 400.00   |
| 7.  | Authentication Fee             |          |
|     |                                | 200.00   |
| 8.  | Clearance                      |          |
|     |                                | 100.00   |
| 9.  | Reconsideration after Appeal   |          |
|     |                                | 2,000.00 |
| 10. | Reconsideration without Appeal |          |
|     |                                | 3,000.00 |

UNIT III  
**RULES AND PROCEDURES GOVERNING THE CONDUCT OF  
RACES**

CHAPTER 5  
**REQUIREMENTS AND PROHIBITIONS DURING RACES**

**PR 49. RACING LICENSE**

No person, Racing Club or any other entity shall hold or conduct any horse racing wherein betting in any forms allowed unless a racing Permit or license is secured from the PHILRACOM. A racing license shall specify, among other things, the person, the Racing Club or entity to which it is issued, the place where the races are to be held or conducted, and the day/s in which the races shall be held. Notwithstanding the issuance of license, the same may be suspended or revoked by the PHILRACOM for just cause.

**PR 50. RACING DAYS**

Subject to existing law or decrees and in accordance with the calendar of races for a year previously approved by the PHILRACOM, a person, Racing Club or other entities duly licensed by the PHILRACOM may hold races authorized by the PHILRACOM.

**PR 50-A. SCHEDULE AND MAXIMUM NUMBER OF  
RACES PER WEEK**

**The PHILRACOM has set that the three (3) Racing Clubs shall have two (2) racing days per week and that the race meets shall adopt the following number of races: (As per Board Resolution Nos. 05-13 and 10-13)**

**Tuesday to Thursday - Maximum of ten (10) races**

**Friday - Maximum of eleven (11) races**

**Saturday, Sunday and Holiday - Maximum of twelve (12) races**

**PR 51. INSCRIPTION OF HORSES**

Horses intended to run in a scheduled racing week shall be inscribed, meaning that their names shall be submitted in accordance with set procedures:

- A. Horses participating in a regular race shall be inscribed one (1) week before the day of the race or at such time that the PHILRACOM may determine. For uninscribed horses, it shall be accepted in the next declaration day, provided that a fine imposed by the club is paid;
- B. The official or person designated to receive the inscription paper and fee shall issue a receipt therefore and shall deliver such papers to the Handicapper on the day following the closing of inscription;
- C. The inscription shall contain the names of the registered owner of the inscribed horse, its trainer and such details as may be required by the PHILRACOM or the Racing club;
- D. After the inscription proceedings have been closed, no alteration or change in the inscription paper shall be allowed;
- E. The registered owner of a horse duly inscribed and/or declared but which does not appear in the Racing Program, and for which reason cannot take part in the scheduled race, shall be compensated by the Racing Club concerned in the amount of Two Thousand Pesos (Php2,000.00) if caused by the Racing Officials of the Club;
- F. The inscription fee shall be refunded to the owner or trainer when:
  - 1.The horse has been declared to run and the race is cancelled;
  - 2.When the horse inscribed does not appear in the racing program and therefore cannot participate in the race;
  - 3.When the inscribed horse has been declared but was eliminated due to excess entries.

## **PR 52. DECLARATION OF HORSES IN REGULAR RACES**

Horses are declared when they are confirmed to run in scheduled races. Such declaration shall follow these guidelines:

- A. All Racing Clubs are requires to provide a drop box with padlock for the declaration sheets;
- B. Horse owners, trainers or their authorized representatives are requires to fill up the declaration sheet completely, indicating the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> choices of the race for the horse and the jockey;
- C. Unsigned, incomplete and incorrect declaration sheets shall not be accepted. Handicappers accepting erroneous declaration sheets shall be penalized upon the discretion of the Commission Board in accordance**

**with the existing Rules and Regulations on Horse Racing; (As per Board Resolution No. 46-15<sup>15</sup>)**

- D. In case wherein a horse is left without a rider due to conflict (i.e. same rider for two (2) declared horses) and the declaration form does not state any other option, the said horse shall be removed from the drawing of post positions;
- E. Each Club shall assign a person who shall receive the declaration forms. If a declaration was done through a phone call or by text messaging, the person authorized to accept the declaration must first sign the declaration sheet before placing it inside the drop box.
- F. No more declaration shall be accepted after the cut-off period;
  - MONDAY - for Tuesday and Wednesday races  
10 a.m. (opening of the declaration box)  
Declarations can be extended up to 11 a.m. only if the need arise  
2 p.m. (drawing of post positions)
  - WEDNESDAY - For Thursday and Friday races  
10 a.m. (opening of declaration box)  
Declaration can be extended up to 11 a.m. only if the need arise  
2 p.m. (drawing of post positions)
  - FRIDAY - For Saturday and Sunday races  
10 a.m. (opening of declaration box)  
Declaration can be extended up to 11 a.m. only if the need arise  
3 p.m. (drawing of post positions)

In case of a holiday, the Clubs shall announce the schedules for the declaration and drawing of post positions.

- G. The declaration box shall be opened in the presence of a PHILRACOM representative and the Club Handicapper;
- H. Any request for the addition of entries to the official barrier and vicious races shall be accepted at the PHILRACOM

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<sup>15</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

office only up to 11:30 a.m. of the scheduled day of the race;

- I. The horse's vaccination record must be reported no later than 12:00 noon to be accepted in the day's declaration. Beyond this deadline, the horse shall be considered in the next scheduled declaration day

#### **PR 52-A. LATE NOMINATION FOR PHILRACOM-SPONSORE STAKES RACES**

This shall be allowed up to 72 hours after the deadline, provided that the horse owner pays the fine equivalent to ten percent (10%) of the First Prize.

#### **PR 52-B. RESERVES FOR THE PHILRACOM-SPONSORED STAKES RACES (As per Board Resolution No. 17-19)**

1. A reserve is a race horse on standby which will be allowed to participate in a PHILRACOM-Sponsored Stakes Race in case a horse previously nominated to participate is not declared, or a previously declared entry is withdrawn or otherwise unable to participate in the Stakes Race.
2. After nomination, the Commission shall release the list of nominated entries tentatively ranked based on the horses' earnings. In case the number of nominated entries will exceed fourteen (14) entries, the fifteenth (15th) to twentieth (20th) nominated entries based on their tentative ranking will be considered as reserves and notified thereof.
3. During the declaration, the Commission shall release the final list of nominated entries based on the horses' earnings. In case any of the first (1st) to the fourteenth (14th) entries ranked based on their earnings is not declared, the fifteenth (15th) entry shall be allowed to be declared and so on until the fourteen (14) slots are filled. The priority to declare reserves shall be based on their final ranking according to the horses' earnings.
4. In case the number of declared entries exceeds 14 entries, the fifteenth (15th) to

twentieth (20th) declared entries based on their final ranking will be considered as reserves and they shall be notified thereof. The name of the fifteenth (15th) and sixteenth (16th) entries will be indicated in the racing programs as reserves.

5. In case any of the declared entries to a PHILRACOM-Sponsored Stakes Race is withdrawn or otherwise unable to participate in the race, the reserves shall be allowed to participate and the priority to declare shall be based on their final ranking. The declaration of reserves shall be allowed after the withdrawal of the declared entries and not later than two (2) hours before the Stakes Race. The declaration of a reserve shall be done by submitting an accomplished declaration form and payment of declaration fee. In case the declaration will be made on a weekend or two (2) hours before the race, the trainer shall submit the declaration form with an undertaking to pay the required declaration fee within five (5) days after the race. In case of failure to pay the required declaration fee, all horses registered under the name of the owner of the reserve with unpaid declaration fee shall not be allowed to participate in any future race.”

**PR 52-C. DECLARATION OF NOMINATED ENTRIES FOR PHILRACOM STAKES RACES (As per Board Resolution No. 27-19)**

In case the declaration and drawing of lots fall on different dates, the declaration of all nominated horses shall be accepted during the scheduled declaration day for any of the PHILRACOM Stakes Races; Provided, that all declared horses must be registered, vaccinated, not suspended, and have secured a clearance to run from the Regulation and Licensing Division, if necessary, on the day of the drawing of lots.



### **PR 53. ANNOUNCED RACES**

In case of continuous racing days, only races announced in the corresponding “copia” shall be held or conducted by the Racing Club.

### **PR 54. COUPLED ENTRIES THAT ARE NOT COUPLED IN THE RACING PROGRAM**

If, upon review of the racing program, it is discovered that two (2) entries that should have been coupled were given separate numbers, the following procedures shall be observed:

- A. If no bets have been placed yet, then the two entries shall be coupled and this shall be announced to the racing public;
- B. If bets have already been placed, then the two horses shall be allowed to run as separate entries;
- C. A penalty of not less than Three Thousand Pesos (Php3,000.00) shall be imposed on the Racing Manager for the first offense and shall be doubled every time the offense is committed by the same.

### **PR 55. RULES ON COUPLED ENTRIES**

#### **A. DURING DECLARATION**

In cases wherein horses owned by the same person are declared in the same group, its trainer shall be required to indicate his priority list in the declaration sheet.

#### **B. DURING DRAWING OF POST POSITION**

1. During the first declaration of the week, no coupled runners shall be allowed if there are more than Fourteen (14) entries. Horses that were eliminated shall be given priority for the next declaration.
2. In cases where there are more than Fourteen (14) declared runners and the trainer fails to indicate his priority horse, elimination shall be done by drawing of lots.
3. In cases where there are less than Fourteen (14) runners and the trainer declares three (3) or more runners of the same owner without submitting his priority list, elimination shall be done by drawing of lots.

#### **C. DURING RACE DAY**

1. In regular prized races, the coupled entry of the scratched horse shall be automatically withdrawn from the race with no penalty imposed on it except if

**the Board of Stewards is notified of the scratching before the start of betting, in which case its coupled entry may still participate. (As per Board Resolution No. 37-15<sup>16</sup>)**

2. In Stakes Races, the coupled entry of the scratched horse shall still be allowed to run.

#### **PR 56. RULES ON DISQUALIFICATION OF COUPLED ENTRIES**

- A. A horse that finishes in the money placing shall be disqualified if its coupled entry impedes another runner which, if not for the interference, would have finished ahead of it. It shall then be relegated to the position behind the impeded horse, while the impeding horse shall be disqualified from its position and relegated to last place.
- B. A horse that finishes in the money handily and/or convincingly shall not be disqualified even if its coupled entry impeded another runner. In such case, the element of "CHANCE OF SUCCESS" cannot be applied. The impeding horse shall be disqualified and relegated to the position behind the impeded horse. The ordinary rule of foul riding shall apply.
- C. A horse that finishes in the money placing shall not be disqualified despite the disqualification of its coupled runner due to lack of weight.

#### **PR 57. OFFICIAL PROGRAM**

The names of the Club Racing Officials who are on duty during a racing day shall appear in the racing program. It shall also include the names of the PHILRACOM Board and its Officials. The number of days requested by the Clubs and approved by the Commission shall also be indicated.

The Official Program of the Racing Club shall state the following in every race: the class division of the horses participating, post time, betting pools, the distance, the names and the barrier number of the horses, their age, color and sex, the registered horse owner, the name of its trainer, the racing color and the names of the jockeys and their classifications.

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<sup>16</sup> *The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.*

Changes in the racing program shall be done before the start of the first race and announced through bulletin boards, public address system and radio/television coverage except in the following cases:

- Late change of jockey due to medical condition or failure of assigned jockey to meet the handicap weight
- Cancellation of betting pools due to lack of entries arising from late withdrawal of runners
- Changes in the handicap weight of apprentice jockey as stated in PR 35-B

#### **PR 57-A. COMMERCIAL RACING PROGRAMS**

In order to protect the racing public from erroneous and misleading information, the PHILRACOM shall issue guidelines to regulate the publication of racing programs offered for sale to the public with the primary objective of attaining the accuracy in the listing of the following:

1. Number of races and their distances;
2. The Number and Names of horse participating in each race, their sex, age and color;
3. The Names and Classification of the Riders;
4. Betting pools;
5. The Handicap weights;
6. The Names of the owners;
7. The Names of the Trainers;
8. The Racing Colors;
9. The Results of the previous races.

#### **PR 58. FORMS OF BETTING**

- A. Win- First place only in a designated race.
- B. Place (PLC) - First, Second or Third placers in a designated race.
- C. Forecast (FC) - First and Second place winner in a designated race.
- D. Daily Double (DD) – First place only in two consecutive races. If, for any reason, a horse is withdrawn in the second race of the daily double after the first has been run, the corresponding dividend shall be paid to the holder of the tickets on the winning horse in the first race which is paired with the withdrawn horse.
- E. Extra Double (XD) – First placer only on designated non-consecutive races.

- F. Daily Double + 1 (DD+1) – First place only on three (3) consecutive races.
- G. Trifecta (TRI) – First, Second and Third placers in the correct order of the winning combination.
- H. Quartet (QRT) – First, Second, Third and Fourth placers in the correct order of the winning combination.
- I. Pentafecta (PEN) – First, Second, Third, Fourth and Fifth placers in the correct order of the winning combination.
- J. Supersix (SIX) – First, Second, Third, Fourth, Fifth and Sixth placers in the correct order of the winning combination.
- K. Pick 5 (PK5) – First placers only on Five (5) designated consecutive races.
- L. Pick 6 (PK6) – First placers only on six (6) designated consecutive races with a minimum investment of Two Pesos (Php2.00).
- M. Super Pick 6 (SP6) – First placers only on six (6) designated consecutive races with a minimum investment of Five Pesos (Php5.00). In the event that no bettor has selected the six (6) first place winners, seventy percent (70%) of the net dividend pool shall be carried over to the next SP6 event that has not started or run, and the remaining thirty percent (30%) of the net dividend pool shall be distributed as consolation dividend to the holder of tickets that has selected the most number of winners from the designated six legs/races of the SP6 event. This Rule is different from Pick 6 event because the most number of winners referred to in the SP6 could be in any leg unlike in the Pick 6, which has to be in consecutive order from the first leg.
- N. Winner-Take-All (WTA) – First placers on seven (7) designated consecutive races. If no tickets sold reflect a complete seven (7) winners, then the tickets sold reflecting six (6) winners will be entitled to the corresponding dividends, and so on. If, for any reason, a horse reflected in the bet is withdrawn, the entry that is the first favorite in the daily double race concerned becomes the horse reflected in the said bet.

#### **PR 58-A. SALES OF TICKETS**

The sale of tickets shall begin at the time authorized through tellers and telephone bet operators and shall be closed not later than the opening of the starting gate of

the race concerned. No person shall be allowed to buy tickets inside the ticket booths.

**PR 58-B. HORSE WITH NO TICKETS SOLD ON IT**

When a horse without any ticket sold on it win any of the prizes (First, Second or Third), the corresponding shall be paid to the holders of tickets on the horse which finishes next to it and which qualifies. In the Daily Double, Forecast, Quartet, Winner-Take-All and Extra Double, as well as other forms of exotic and/or sophisticate betting, the Rules of the Racing Club shall apply.

**PR 58-C. REFUND OF BETS**

Whenever, during the sale of tickets for an event, a horse is withdrawn therefrom, all bets made on the said horse shall be refunded to the ticket holders concerned.

**PR 58-D. DIVIDENDS AND REFUNDS**

All dividends from winning tickets shall be paid to the holder thereof on the same day of the event/s concerned. Those unclaimed on the same day may be collected from the Racing Club within the next Twenty (20) working days. Thereafter, the Commission, on its own initiative and discretion or upon the recommendation of the recognized sectors of the racing industry, shall determine the use of unclaimed dividends in furtherance of the declared policy to promote and direct the accelerated development and continued growth of horse racing not only in pursuance of the sports development program but also in order to insure the full exploitation of the sport as a source of revenue and employment, and/or for the benefit of a charitable institution. That the use of and allocation of the unclaimed dividends shall be based on the following proportion:

40% shall be used to augment prize money for horses  
30% shall be used for marketing and promotion of the horseracing industry  
30% shall be used for charitable purposes in the name of the PHILRACOM

Furthermore, that 15at the start of the fiscal year, the Racing Club shall make a monthly report to the PHILRACOM as to the amount of unclaimed dividends and interests thereof, which shall be the basis of the determination prescribed above. (As per Board Resolution Nos. 05-15 and 29-15<sup>17</sup>)

#### **PR 58-E NUMBER OF HORSES IN A RACE**

In all regular races, the minimum number of horses participating shall be six (6) numbered declared entries; provided that:

- (a) In that case of 2YO horses, the Racing Manager has the discretion to allow a race to run with a minimum number of four numbered declared entries; (As per Board Resolution Nos. 66-15<sup>18</sup> and 51-16).
- (b) In the case of Group Race 1 to 4, Condition Race 1 to 6, and Class 1 Rating, the minimum number of horses participating shall be five (5) numbered declared entries

For Stakes Races and racing festivals organized by different accredited racing groups, the minimum allowable number of horses participating shall be five (5) horses.; provided that:

- (a) In case the number of entries falls below the minimum allowable number before the official racing program is printed, the race shall be allowed to run, provided however, it shall not be included in the betting and the winners of said race shall not be eligible to receive the prize of the day.
- (b) In case the number of entries falls below the minimum allowable number after the official racing program is printed, it shall be allowed to run and it shall be included in the betting. (As per Board Resolution No. 50-17)

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<sup>17</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

<sup>18</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

#### **PR 58-F. PERSONS PROHIBITED FROM BETTING**

Under penalty of revocation of license or permit, the following persons are prohibited from betting directly or indirectly in any race held or conducted in the Philippines: Stewards, Judges, Clerk of Scales, Official Clockers, Starting Gate Helpers, Starters, Jockeys, and such other persons as the PHILRACOM or the Racing Club concerned may prohibit.

#### **PR 59. PERSONS PROHIBITED FROM THE RACETRACK**

Under penalty of suspension of license or permit, the Racing Club, through the Racing Manager, shall not admit or allow to remain in its premises on racing days the following persons:

- A. Persons below eighteen (18) years old unless accompanied by their parents or guardian;
- B. Persons carrying deadly weapons or firearms of any description, unless they are peace officers;
- C. Persons under the influence of drugs;
- D. Other persons as the PHILRACOM and Racing Club may determine.

#### **PR 59-A. ANIMALS PROHIBITED WITHIN RACETRACK PREMISES**

The Racing Club must ensure that no stray animals are present in the racetrack and the stables. Non-compliance with this Rule shall subject the Club to a fine, the amount of which shall be determined by the PHILRACOM.

#### **PR 60. PROHIBITION AGAINST MOBILE PHONE USE IN RESTRICTED AREAS**

**The use or possession of cellular, or mobile phones, personal digital assistants, smartphones, and other similar communication devices that use wireless communication network or the internet,** except hand-held radios or intercoms accredited by the Commission, shall not be allowed inside the **following restricted areas: Stewards' and Judges' Stand, Jockeys' Quarters, Paddocks, Starting Gate and Computer Room.** Such devices brought to these areas shall immediately be confiscated as evidence by an agent of the Commission or of the Racing Club and turned over to the Commission at its principal office within 24 hours from the time it was confiscated, and the same shall be forfeited in favor of the Commission.

**Any PHILRACOM Licensee caught in possession of cellular phones or any similar communication device described in the foregoing paragraph within the restricted areas shall be suspended.**

<b>OFFENSE</b>	<b>PENALTY</b>
<b>1<sup>st</sup> Offense</b>	<b>Six (6) months suspension and/or a fine of not more than Thirty Thousand Pesos (Php30,000.00)</b>
<b>2<sup>nd</sup> Offense</b>	<b>One (1) year suspension and/or a fine of not more than Sixty Thousand Pesos (Php60,000.00)</b>
<b>3<sup>rd</sup> Offense</b>	<b>Revocation of license and/or a fine of not more than One Hundred Thousand Pesos (Php100,000.00)</b>

Provided that the penalties above mentioned are without prejudice to the revocation of the offender's license by the Commission as well as to the filing of appropriate criminal charges if the evidence so warrants.

**The Racing Clubs are hereby directed to promulgate rules and regulations with regard to non-licensed personnel who are caught in violation of this resolution. (as per Board Resolution No. 30-16).**

Finally, all Racing Clubs are hereby mandated to install close circuit television (CCTV) cameras and audio equipment at the Stewards' Stand, Board of Judges' Stand, Jockeys' Quarters, Paddock and Computer Room in such a manner that full view of the said places is afforded by said CCTV cameras, and footage from the same shall be viewed exclusively by the management of the Racing Club and/or by the Commission. To this end, the Commission shall be given on-line access to the live recording of the CCTV cameras and audio equipment.

#### **PR 61. PARADE AND LOADING OF HORSES**

- A. Upon signal of the Board of Stewards, the horses shall be mounted simultaneously by their respective jockeys, leave the saddling paddock and parade in numerical order before the Judges' Stand on their way to the starting gate apparatus



- B. At the discretion of the Board of Stewards, a horse may be paraded **or loaded at the starting gate** without its rider or with a twitch but shall be given a corresponding penalty. (refer to PR 65. Vicious Horses) **(As per Board Resolution No. 15-18)**
- C. A distinctive marker posted Thirty (30) meters from the back of the starting gate apparatus shall serve as the boundary of the cordon area of the participating horses.
- D. After the starting gate has been placed across the track, the Board of Stewards shall order the loading of horses into their respective stall without delay.
  - 1. Races with entries not exceeding seven (7) entries should follow the numerical order (1 to 7).
  - 2. Races with more than seven (7) entries, loading shall be in pairs, one each from the lower and upper halves.
- E. Only after all the horses have been loaded and upon the go signal of the Starter's assistant can the Starter open the gate.

#### **PR 62. PROHIBITIONS IN THE STARTING GATE**

- A. **No person except its rider shall be permitted to strike a horse leaving the starting gate;**
- B. **No request for "Last to Enter" shall be considered;**
- C. **No unauthorized person shall be allowed in this area;**
- D. **No front-loading of horses shall be allowed;**
- E. **Only one (1) starting gate helper shall be allowed inside the stall;**
- F. **Including the concise ALLOWED and DISALLOWED assistive device as shown in the diagram:**

**DIAGRAM**  
**ALLOWED AND DISALLOWED ASSISTIVE DEVICES**

**5YO AND ABOVE**  
**(FOALED UP TO DECEMBER 31, 2009)**

<b>INSIDE THE STARTING GATE</b>		<b>OUTSIDE THE STARTING GATE</b>	
<b>PINAYAGAN</b>	<b>BAWAL</b>	<b>PINAYAGAN</b>	<b>BAWAL</b>
• LUBID	• LIP	• IPIT	• LIP TWITCH
• BUNTO	TWITCH	(TENGA	(SIAL)
T	(SIAL)	)	• DE
• DUYAN	• DE	HABANG	KURYENTE
• IPIT	KURYENTE	PINAPASOK	• MEKANIKAL
(TENGA	• MEKANIKA	• LUBID	AT IBA PANG
)	L AT IBA	• BLINDFOLD	KATULAD NA
• DAMO	PANG	• DAMO	GADGET
	KATULAD		
	NA		
	GADGET		

**4YO AND ABOVE**  
**(FOALED BEGINNING JANUARY 1, 2010)**

<b>INSIDE THE STARTING GATE</b>		<b>OUTSIDE THE STARTING GATE</b>	
<b>PINAYAGAN</b>	<b>BAWAL</b>	<b>PINAYAGAN</b>	<b>BAWAL</b>
• BUNTO	• LIP	• IPIT	• LIP TWITCH
T	TWITCH	(TENGA)	(SIAL)
• IPIT	(SIAL)	HABANG	• DE
(TENGA	• DE	PINAPASO	KURYENTE
)	KURYENTE	K	• MEKANIKAL
• DAMO	• MEKANIKA	• LUBID	AT IBA PANG
	L AT IBA	• BLINDFOLD	KATULAD NA
	PANG	• DAMO	GADGET
	KATULAD		
	NA		
	GADGET		

**STANDARD SPECIFICATIONS FOR LUBID:**

**MATERIAL:** ANY SOFT/STRONG AND DURABLE MATERIAL  
(FLEXIBLE/ PLIABLE)

**FINISH:** FLAT AND SMOOTH

*WIDTH: MINIMUM 4 INCHES AND ABOVE*  
*OTHER SPECS: WITH HANDLE ON BOTH ENDS*  
**(As per Board Resolution No. 18-14)**

### **PR 63. MALFUNCTION OF THE STARTING GATE**

If the starting gate apparatus fails to function, the race shall be suspended temporarily for a reasonable and permissible period for repairs as determined by the PHILRACOM and the Board of Stewards. However, if the necessary repairs shall take a considerable length of time in such a way that it will affect and disrupt the schedule of races for that day, the Board of Stewards, with approval from the PHILRACOM, shall cancel the races for that day.

Whenever races are cancelled by the Board of Stewards, the PHILRACOM shall investigate the Racing Club concerned and, if found negligent, shall be assessed a fine based on the number of races that have been cancelled. The Racing Club shall refund all expenses incurred by the horse owners affected by the cancellation of the races (trailer fee, inscription and declaration fees).

Failure of more than two (2) gates to open simultaneously with the other gate shall warrant a declaration of a false start. In such case, the Board of Stewards may order a restart, if possible (i.e. no entries reached the half-mile mark) or cancellation or rescheduling of the race to a later date. The PHILRACOM shall determine the fine to be imposed on the Club for the gate malfunction.

### **PR 63-A. RULES ON NON-STARTERS**

Non-starters are horses whose stall gate fail to open simultaneously, either later than or ahead of the other gates.

1. Any horse that breaks out a gate which refused to open or opened late shall be considered a non-starter, except when it wins, in which case it shall be considered a regular starter.
  - If the horse finishes 2<sup>nd</sup>, 3<sup>rd</sup> or 4<sup>th</sup>, it shall be entitled to the corresponding prize money. However, in the official arrival of entries, its

number shall be excluded and the next horse in the actual arrival shall be declared in its place.

- If it finishes lower than 4<sup>th</sup> place, it shall be entitled to a refund of the inscription, declaration and other fees from the Racing Club. This Rule shall apply to all regular races.
  - In case of a gate malfunction during a Stakes Race, the PHILRACOM shall exercise its discretion on the refund of fees and the imposition of a heavier penalty on the Club.
2. Any horse that breaks ahead of the others shall be declared a non-starter regardless of the position at the finish line. It shall not be entitled to the prize money even if it wins or places in the money (2<sup>nd</sup>, 3<sup>rd</sup> and 4<sup>th</sup>). Nomination and declaration fees shall not be refunded to the owner as well as the riding fee of the jockey. The next horse in the arrival of entries shall be considered for betting purposes.
3. **Whenever a horse is declared a non-starter by reason of the failure of the automatic starting apparatus to function which causes a gate or gates not to open simultaneously with the other gates of the other stalls, the horse owner is entitled to a refund of the fees paid for the horse's participation in the race i.e. declaration fees, trailer and transportation expenses, etc. and the concerned Racing Club shall, in addition to the fees to be refunded to the owner, pay the appropriate penalty in accordance with the following schedule:**
- 1<sup>st</sup> incident – Racing Club concerned shall reimburse/refund to the affected horse owner the amount of Five Thousand Pesos (Php5,000.00), unless the horse is a resident of the Racing Club, in which case, the amount to be reimbursed/refunded by the latter shall only be Three Thousand Pesos (Php3,000.00).**
- 2<sup>nd</sup> incident – Same amount and condition cited in the 1<sup>st</sup> incident but with additional penalty of Five**

**Thousand Pesos (Php5,000.00) payable to the PHILRACOM.**

**3<sup>rd</sup> incident – Same amount and condition cited in the 1<sup>st</sup> incident but with additional penalty of Ten Thousand Pesos (Php10,000.00) payable to the PHILRACOM.**

**4<sup>th</sup> incident – Same amount and condition cited in the 1<sup>st</sup> incident but with additional penalty to be imposed by the PHILRACOM in its discretion. The Racing Club shall also be investigated for proper sanction if the circumstances so warrants.**

**(As per Board Resolution No. 05-12)**

4. The Rules on Handicapping shall prevail on both placers and non-placers as if they finished the race and shall receive the corresponding weight addition or reduction.
5. Bets on a non-starter shall be subject to the existing Rules on betting approved by the Games and Amusements Board.
6. The above rules are not applicable to Sweepstakes Races sponsored by the Philippine Charity Sweepstakes Office.
7. For coupled entries, the above rules shall also apply.

CHAPTER 6.  
**HORSES: CLASSIFICATION, REQUIREMENTS AND SPECIFIC  
GUIDELINES**

**PR 64. CLASSIFICATION OF HORSES**

- A. Local horses are horses bred and foaled in the Philippines.
- B. Island-born horses are horses bred abroad and foaled in the Philippines.
  - **Island-born and local horses that are gelded even prior to its first run are allowed to participate in Novato Barrier Trial. (As per Board Resolution No. 08-11)**
- C. Imported horses are horses bred and foaled abroad. They must not be more than **eight (8)** years old on the date of purchase for them to be allowed to participate in local races. A horse owner is allowed to import horses from other countries only after he has bred or has had in his ownership at least **one (1)** locally-bred horses. **(As per Board Resolution No. 15-17)**

In all cases, horses must be registered with the Stud Book Division of the PHILRACOM and with an updated registration from the Regulation and Licensing Division. If, for any justifiable reason, the PHILRACOM finds that a race horse should be renamed, such naming or change shall be in accordance with the provision thereon of the Stud Book Authority of the Philippines.

**PR 64-A. ELIGIBILITY FOR DECLARATION**

- 1. Island-born and locally bred horses must not be less the two (2) years old and must not be more than fourteen (14) years old by the end of December from the year of foaling. **They are considered retired on the 1<sup>st</sup> day of January of the following year unless they undergo and pass soundness tests for lameness, roaring and epistaxis to be done by a PHILRACOM-accredited veterinarian and validated by a PHILRACOM Veterinarian. The qualified fourteen (14)-year old shall be allowed to run only one (1) official race (except barrier race) every thirty**

- (30) days for the last twelve months of its racing career.
2. Imported horses must not be less than two (2) years old and must not be more than **eight (8) years old by the end of December from the year foaling. They are considered retired on the 1<sup>st</sup> day of January of the following year. (As per Board Resolution No. 38-17)**
  3. Horses must be free from any contagious diseases or illnesses.
  4. Horses must be free from any contagious diseases or illnesses.
  5. Horses must not be blind on both eyes.
  6. Locally bred horses can return to active racing after breeding or foaling, provided the owner updates its registration.
  7. Imported horses that are runners and were bred or covered by a stallion shall be ineligible to return to active racing even if their ages are within the eight (8) year old limit.
  8. Imported horses that arrived in the Philippines in foal (pregnant) or with foal in foot shall be disqualified from racing. It shall be considered for breeding purposes only.
  9. An imported horse that is a registered stallion at the point of origin shall be ineligible to race.

#### **PR 65. VICIOUS HORSES**

All horses under this category shall be declared during the regular schedule of declaration. The Club Handicapper shall permit the addition of entries before the start of the Vicious Barrier Trial Race; however, a late entry fee of one thousand pesos (Php1,000.00) shall be imposed on the trainer of the concerned horse by the PHILRACOM.

Below are the conditions for a horse to be considered vicious:

- A. Refuses to be saddled;
- B. Refuses to mounted or is hostile during the parade;
- C. Refuses to be loaded for two (2) minutes but not more than three (3) minutes; After three (3) minutes the horse shall automatically be scratched from the race;(As per Board Resolution Nos. 24-13 and 31-13)**

- D. Hostile inside the gate for more than two (2) minutes that poses danger to the jockey, causes delay of the race and affects untowardly the behavior of the other horses in the starting gate (automatically scratched from the race); (As per Board Resolution No. 20-13)**
- E. Refused to leave the gate within two (2) seconds;
- F. Vicious at the start (swerving in or out, bucking, refusing to run);
- G. Uncontrollable during the course of the race (swerving in or out, stopping)
- H. Horses loaded at the starting gate without their riders (As per Board Resolution No. 15-18)**

#### **PR 65-A. PENALTIES FOR VICIOUS HORSES**

All offenses shall be meted an indefinite suspension but for not less than **seven (7) days with one (1) barrier trial. (As per Board Resolution No. 18-17)**

#### **PR 65-B. TWO (2) YEAR OLD VICIOUS HORSES**

If a two (2) year old horse falls under this category due to any of the following reasons:

1. Refuses to be saddled;
2. Refuses to be mounted and is hostile during the parade;
3. Refuses to be loaded within three (3) minutes;
4. Hostile inside the gate;

The horse shall be given a penalty of:

- a. 1<sup>st</sup> offense – written warning
- b. 2<sup>nd</sup> offense – one (1) barrier trial
- c. 3<sup>rd</sup> offense – indefinite suspension but for not less than fifteen (15) days with one (1) barrier trial
- d. 4thand succeeding offenses – PHILRACOM discretion

#### **PR 65-C. SAVAGE HORSES**

Horses that resort to biting or any other vice that may pose danger to other runners, persons, or itself shall be penalized:

1. 1<sup>st</sup> offense – indefinite suspension until corrective measures have been adopted. Passing the barrier trial for vicious horses is required before it shall be allowed to run in a regular race.



2. 2<sup>nd</sup> offense – banned from racing.

## PR 66. LAME AND INJURED HORSES

Any horse found lame at the starting gate, during the course of the race and after the races, including barrier trials **and workouts**, shall be given a graduated suspension, as follows:

- A.** Any horses found lame at the starting gate, during the course of the race and after the race, including barrier trials and workouts, shall be temporarily ineligible for declaration. The trainer must submit within seventy-two (72)-hours the result/s of the x-ray and/or ultrasound of the horse and a veterinary certificate stating the specific injury or condition of the horse and the recommended rest period.

The Commission Veterinarians shall impose the appropriate rest period **based on the findings of the veterinarian in accordance with the following matrix:**

		SEAT OF LAMENESS	DIAGNOSIS	MINIMUM MANDATORY REST/RECUPER ATION PERIOD	RECOMMEND ATION
FORELEGS					
HOOF		Bruised Sole	Clinical Examination	7 Days	Radiographic Examination if lameness does not disappear within 7 days.
		Fracture of the Navicular Bone (Distal Sesamoid)	Radiographic Examination	Retirement	Retirement (highly recurrent chronic lameness)
		Pedal bone/Coffin bone/ P3	Radiographic Examination	a. 4 months b. Retirement	If there is chronic joint capsule involvement, it is recommended to retire the horse
		Fracture a. Without joint involvement b. With joint involvement			

PASTERN	Penetrating injuries of the Foot	Physical and Radiographic Examination	a. 2 weeks up to 3 months depending on the severity of the injury as recommended with attending veterinarian'	It has to be handled and treated by licensed veterinarian during the suspension period
	a. Without penetration of the Navicular Bursa			
	b. With penetration of the Navicular Bursa		b. Retirement	
	Lacerations/Traumatic Wound of the Posterior half of the Hoof	Physical Examination	Minimum of 3 weeks depending on the severity of the injury as recommended with attending veterinarian	
	Luxation/Subluxation of the Proximal Interphalangeal joint (PIP)	Physical and Radiographic Examination	Retirement	Retirement or for euthanasia
	Fracture of the 1 <sup>st</sup> Phalanx and 2 <sup>nd</sup> Phalanx	Physical and Radiographic Examination	Retirement	Retirement or for euthanasia
FETLOCK	Osteochondral (chip) fracture of the fetlock joint P1 and/or distal MP3	Physical and Radiographic Examination	At least four (4) months rest period	Surgical removal of the chipped bone. Retirement of owner decided not to subject the horse to surgery
	Fracture of the Proximal Sesamoids (notes on its location)	Physical and Radiographic Examination	a. At least four (4) period b. At least sixteen (16) up to eighteen (18) months of rest period c. Retirement	a. Surgical procedure b. Consider surgical procedure c. Retirement d. Retirement e. Extended
	a. Apical			
	b. Mid Body			
	c. Basal			
	d. Comminuted			
	e. Abaxial			

			d. Retirement	rest with supportive bandage
			e. At least sixteen (16) up to eighteen (18) months of rest period	
	Traumatic rupture of the Suspensory ligament	Physical and Ultrasonographic examination	Minimum of one (1) year rest period	Extended rest minimum of one (1) year and owner may consider retirement of the horse
	Traumatic Arthritis/osteo arthritis of the fetlock joint-True and green osselets	Physical and Radiographic Examination	a. Minimum of four (4) months rest period	HA intra articular for both a and b
	a. True		b. Minimum of six (6) weeks rest period	Corrective shoeing
	b. Green			
	Periostitis Fracture of the metacarpus (Bucked shins; Stress Fracture; Shin Sores)	Physical and Radiographic Examination	a. Minimum of six (6) up to eight (8) weeks rest period	Re - examine shin sores after fourteen (14) to twenty one (21) days after occurrence for a and b.
	a. Tearing of the periosteum (bleeding)		b. Minimum of six (6) months	Can be allowed to participate in the barrier races once the fracture line cannot be seen through radiograph examination
METACARPUS	b. Stress/Tongue/Saucer Fracture			Can be corrected by surgical procedure
	Fracture of the Condyles of the metacarpus (Condylar Fracture)	Physical and Radiographic Examination	Minimum of four (4) rest period	

Complete Fracture of the Cannon bone (3 <sup>rd</sup> metacarpal bone)	Physical and Radiographic (optional) Examination	For euthanasia immediately	For euthanasia immediately
Fracture of the Splint bone (2 <sup>nd</sup> and 4 <sup>th</sup> metacarpal bone) a. Proximal b. Mid body c. Distal	Physical and Radiographic Examination	Minimum of four (4) months rest period for a, b and c.	Surgical procedure is necessary for c, and rest for another four (4) months after surgery
Sprained Suspensory ligaments a. 15% and below damaged b. 16% and above damaged c. Diffused swelling	Physical, Radiographic, and ultrasonographic Examination	a. Minimum of One (1) year b. One (1) year rest period with possibility of retirement c. Minimum of one (1) year	Corticosteroids, HA, etc. treatment may be recommended
Strained Superficial Digital Flexor tendon (Tendinitis) – SDF a. 15% and below damaged b. 16% and above damaged c. Diffused swelling	Physical and ultrasonographic Examination	a. Minimum of one (1) year b. One (1) year rest period with possibility of retirement c. Minimum of one (1) year	Corticosteroids, HA, etc. treatment may be recommended
Strained Deep Digital Flexor tendon – DDF	Physical and ultrasonographic Examination	a. Minimum of one (1) year b. One (1) year rest period with possibility of retirement c. Minimum of one (1) year	Corticosteroids, HA, etc. treatment may be recommended

<b>CARPUS/ KNEE JOINT</b>	Tendon laceration	Physical and ultrasonographic Examination	Retirement	Retirement
	a. Incomplete			
	b. Complete			
	Interarticular	Physical and Radiographic Examination	a. Minimum of four (4) months	Surgical intervention
	Carpal bone chip bone fracture		b. Minimum of one (1) year	
	a. Carpal bone chip			
<b>THE ANTEHACHUIM, ELBOW AND HUMERUS</b>	b. Slab fracture of the Carpal bone			
	Accessory Carpal bone fracture	Physical and Radiographic Examination	Retirement	Retirement
	Carpal joint luxation	Physical and Radiographic Examination	Retirement	Retirement
	Fracture of the Radius,	Physical and Radiographic Examination	For immediate euthanasia	For immediate euthanasia
	Fracture of the Olecranon	Physical and Radiographic Examination	Retirement	Retirement
	Fracture of the Humerus	Physical and Radiographic Examination	For immediate euthanasia	For immediate euthanasia
<b>THE SHOULDER SCAPULA</b>	Paralysis of the Radial Nerve	Physical Examination	Retirement	Retirement
	Bicipital Bursitis-Inflammation of the Intertubercular Bursa	Physical and ultrasonographic Examination	Six (6) to nine (9) months rest period	Stable rest. Controlled walking exercise. Hyaluronan injection may be recommended

THE TARSUS AND TIBIA	Luxation of the Scapulohumeral joint (shoulder)	Physical Examination	For immediate euthanasia	For immediate euthanasia
	Fracture of the scapula	Physical examination	For immediate euthanasia	For immediate euthanasia
	Slab and Sagittal Fracture of the Central and 3 <sup>rd</sup> Tarsal bone	Physical and Radiographic Examination	Eight (8) months for 3 <sup>rd</sup> Tarsal bone fractures. Ten (10) months for Central Tarsal Bone Fractures.	Surgical intervention or retirement depending on fracture configuration
	Interarticular fracture of the Tarsocrural joint	Physical and Radiographic Examination	Minimum of four (4) months rest period	Surgical intervention
	Tibial Stress Fracture	Physical and Radiographic Examination	Minimum of six (6) months rest periods	Can be allowed to participate in the barrier races once the fracture line cannot be seen through radiograph
	Fracture of the Fibula	Physical and Radiographic Examination	Three (3) to six (6) months rest period	Stable rest is recommended
	Diaphyseal and Metaphyseal Tibial Fracture	Physical and Radiographic Examination	For immediate euthanasia	For immediate euthanasia
STIFLE	Intra-Articular Fracture—Femoro-patellar joint; Femoro-Tibial joint	Physical and Radiographic Examination	Minimum of six (6) to twelve (12) months rest period	Surgical intervention (Arthroscopy or arthrotomy)
	Patellar luxation/subluxation	Physical and Radiographic Examination	Minimum of four months	Surgical intervention Lateral release and medial imbrication of fascia lata or

	Fracture of the Patella	Physical and Radiographic Examination	a. Minimum of four (4) months	recession sulcoplasty Stable rest.
	a. Articular		b. Non displaced non articular fractures, minimum of two (2) months	Surgical intervention or retirement if DJD develops
FEMUR AND COXOFEMORAL JOINT	Diaphyseal and metaphyseal Fracture of the Femur etc.	Physical and Radiographic Examination	For immediate euthanasia	For immediate euthanasia
THE PELVIS				
	Iliac Wing Fractures	Physical and ultrasonographic Examination	Minimum of six (6) months	Complete stable rest is recommended
	Tuber Coxal Fractures	Physical and ultrasonographic Examination	Minimum of six (6) months	Complete stable rest is recommended
FRACTURE OF THE PELVIS	Fracture of the Pubis and Ischium	Physical and ultrasonographic Examination	For immediate euthanasia	For immediate euthanasia
	Iliac Shaft Fracture	Physical and ultrasonographic Examination	For immediate euthanasia	For immediate euthanasia

In case the trainer fails to submit the x-ray and/or ultrasound results and the veterinary certificate within **seventy-two (72)** hours, the horse shall be given an automatic rest period of not less than one (1) month. Upon submission by the trainer of the result/s of the x-ray and/or ultrasound of the horse and a veterinary certificate stating the specific injury or condition of the horse and the recommended rest period, the Commission Veterinarians shall impose the appropriate rest period. The rest period imposed on the horse shall be counted starting from the receipt of the

Commission of the test/s and veterinary certificate submitted by the trainer.

- B. Before participating in the official barrier trial, all **horses** found to be limping/lame shall be required to undergo an X-ray and/or ultrasound test and the trainer of the limping/lame horse must submit **veterinary certificate stating** that the horse is fit to participate in the races or exercise.
- C. In all cases, horses shall be required to pass the official barrier trial race and a clearance shall be obtained from the Regulation and Licensing Division **by the owner/trainer. (As per Board Resolution No. 04-20)**
- D. **Before participating in the official barrier trial, all penalized limping/horses shall be required to undergo an X-ray test and the trainer of the limping/lame horse must submit a certification that the horse is fit to participate in the races or exercise; (As per Board Resolution No. 06-18)**
- E. The number of occurrences/offenses shall revert back to zero if the horse does not suffer from lameness for a period of one (1) year. **(As per Board Resolution No. 12-15<sup>19</sup>)**

#### **PR 67. BLEEDERS**

These are horses that suffer from epistaxis or bleeding from either one nostril (unilateral) or both nostrils (bilateral).

- A. **1<sup>st</sup> occurrence – Fifteen (15) calendar day suspension. Veterinary clearance certificate from a PHILRACOM-accredited veterinarian shall be required. One (1) barrier trial and clearance from the Regulation and Licensing Division.**
- B. **2<sup>nd</sup> occurrence – Thirty (30) calendar day suspension. Veterinary clearance certificate from a PHILRACOM-accredited veterinarian shall be required. One (1) barrier trial and clearance from the Regulation and Licensing Division.**
- C. **3<sup>rd</sup> and succeeding occurrences – Sixty (60) calendar day suspension. Veterinary clearance from a PHILRACOM-accredited veterinarian shall be required.**

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<sup>19</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.



**One (1) barrier trial and clearance from the Regulation and Licensing Division.**

**(As per Board Resolution No. 20-15<sup>20</sup>)**

- D. If a horse wins but suffers from epistaxis, it shall be given an indefinite suspension but not less than ten (10) days with one (1) barrier trial. The said occurrence shall be considered and shall still be counted as one (1) occurrence for purposes of imposing therefore.
- E. If a horse suffers from epistaxis during an official barrier trial race, it shall be considered as one (1) occurrence and it shall be penalized accordingly.
- F. The number of occurrences shall revert to zero only if the horse does not suffer from epistaxis for a period of one (1) year.
- G. All suspensions are non-appealable.

**All horses suspended due to bilateral/unilateral epistaxis will be required to undergo “Endoscopy Procedures” within two (2) days after the incident to determine the extent of its internal injury prior to issuance of the Veterinary Clearance Certificate from PHILRACOM-Accredited veterinarians. (As per Board Resolution Nos. 44-15<sup>21</sup> and 44A-15)**

**PR 68. FINISHING 75 METERS BEHIND THE THIRD PLACER**

Horses coming in more than seventy five (75) meters behind the third placer **without justifiable reason** shall be **required to undergo**:

**1<sup>st</sup> occurrence: Thirty (30)-day rest period in case of regular races or a fourteen (14)-day rest period in case of Maiden races;**

**2<sup>nd</sup> and succeeding occurrences: PHILRACOM discretion.**

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<sup>20</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

<sup>21</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

No barrier trial and clearance to run from the PHILRACOM is needed prior to the declaration to run in a regular race. For Stakes races, there shall be no suspension under this rule. **(As per Board Resolution No. 16-21)**

#### **PR 69. DISAPPOINTING RUN OR OUT OF CONDITION**

All horses that run below their normal standard and usual pattern of racing, or perform a disappointing run, shall carry a minimum suspension of forty eight (48) or a maximum suspension of seventy two (72) racing days. Horses suspended under this rule shall retain their handicap weight and grouping. This rule shall not cover horses running under the Maiden races.

The Board of Stewards shall take the following into consideration before making a decision:

- A. Time difference of two (2) seconds or more from the immediate previous outing;**
- B. Must have run within a period of twenty-one (21) days immediately preceding the race**

**The Board of Stewards shall order the immediate examination of the horse after. (As per Board Resolution No. 70-15<sup>22</sup>)**

#### **PR 70. DESTRUCTION OF HORSES**

If a horse suffers a serious or irreparable injury in the racetrack, the Board of Stewards, upon the recommendation of the Club Veterinarian, shall order the horse to be destroyed to avoid further suffering in accordance with Republic Act 8485 or The Animal Welfare Law. The owner and/or trainer shall be summoned and notified of the order. This case is non-appealable.

**A stun gun shall be used in the destruction or euthanasia of horses pursuant to PR 3(S). In case of a defective stun gun or in case where the same is otherwise unavailable, the racing clubs may use approved non-inhalants (barbiturates) in performing the euthanasia without prejudice to any penalty that may be imposed on the racing club.**

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<sup>22</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

The use of firearm in the euthanasia or destruction of horses inside the racetrack or within the premises of the racing club is prohibited. Violation of this probation shall be punished by a fine of not less than Five Thousand Pesos (P5,000.00) after due notice and hearing without prejudice to other penalties that may be imposed under pertinent laws and rules and regulations issued by other government agencies. (As per Board Resolution No. 22-18)

**PR 71. WITHDRAWAL OF HORSES FROM A RACE (As per Board Resolution No. 67-15<sup>23</sup>)**

- A. Any horse withdrawn from any race due to any medical condition shall require a Veterinary Certificate to be submitted before the race or at the time of the withdrawal.**
- B. Any horse withdrawn from any race with a veterinary certificate shall be suspended for a period to be determined by the Club Veterinarian/PHILRACOM Veterinarian/accredited veterinarian, provided that the period of suspension shall not be less than seven (7) days and that the horse concerned shall be required to undergo one (1) barrier trial before it is allowed to race again.**
- C. Any horse withdrawn from any race without a timely veterinary certificate shall be given a minimum suspension of one (1) month and shall be required to undergo one (1) barrier trial as a requirement for declaration.**
- D. In case a horse falls on its back prior to the race, the Board of Stewards shall declare the horse withdrawn. (As per Board Resolution No. 48-16). The horse shall be suspended for seven (7) racing days and shall be allowed to race only if it is certified fit to run by any PHILRACOM accredited veterinarian. (As per Board Resolution No. 26-17)**

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<sup>23</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

- E. Bets on withdrawn horses shall be subject to the existing rules on betting approved by the Games and Amusements Board.
- F. All provisions of prior Board Resolutions that are contrary hereto or inconsistent herewith are deemed repealed or modified accordingly.**

## **PR 72. GUIDELINES ON THE USE OF RACING PARAPHERNALIA**

### **A. SHOE PLATES**

1. Only aluminum plates shall be used by horses in all official races and barrier trials.
2. Aluminum plates shall be securely and properly fitted and must not protrude beyond the perimeter of the hoof.
3. Altering the standard aluminum plates shall not be allowed (adding toe grab, spikes, etc.)
4. The use of standard synthetic commercially available hoof pads shall be allowed. The pads should have an even thickness of not more than six millimeters (6 mm.) Improvised hoof pads such as cut-outs from plastic containers and iron sheets shall not be allowed.
5. In case of corrective shoeing, such as the use of wide-webbed, rolled or rocker, heart bars, egg bars, etc. the trainer or owner shall write a request to the Regulation and Licensing Division of the PHILRACOM, supported by a veterinary certificate stating why the horse needs such corrective shoeing. The PHILRACOM Field Veterinarian shall then inspect the horse and give his recommendation before granting permission to use corrective shoeing. No written request shall be entertained if filed on the day of the scheduled run.
6. The Board of Stewards, upon the recommendation of the PHILRACOM and Club Veterinarians, has the right to withdraw from the race any horse whose racing plates may cause harm or endanger other entries as well as itself.

7. Horses should be brought to the waiting and saddling paddock properly shod.
8. Replacement of a horseshoe inside the waiting and saddling paddock shall not be authorized except in case of emergency repair on the hoof of the racehorse concerned while already quartered thereat.
9. No glue-on shoes or studs shall be allowed.
10. For failing to comply with the above cases, the horse shall be automatically scratched from the race and the trainer shall be penalized as follows:
  - 1<sup>st</sup> offense – Thirty (30) calendar day suspension or a fine of One Thousand Five Hundred Pesos (Php1,500.00)
  - 2<sup>nd</sup> offense – Sixty (60) calendar day suspension or a fine of Three Thousand Pesos (Php3,000.00)
  - 3<sup>rd</sup> offense – Ninety (90) calendar day suspension or a fine of Six Thousand Pesos (Php6,000.00)
  - 4<sup>th</sup> and succeeding offenses – PHILRACOM discretion

#### **B. THE USE OR CHANGE OF RACING PARAPHERNALIA**

1. All Novato horses and non-winners can use whatever bit or tack the trainers deems necessary for the entry to run properly.
2. The paraphernalia that was used by a winning horse shall be recorded as its regular tack (winning form). No change shall be allowed within a month after the win.
3. Any change in the racing paraphernalia shall only be done after the Commission approves a letter of request. A trainer may request for a temporary change if the horse shall run only in a barrier trial.
4. A request for a change, use or removal of paraphernalia shall only be approved after one (1) month has lapsed from the previous approved request for the same. The Commission may allow an earlier change, use or removal but only during barrier trials which may serve as the gauge to determine the effectiveness of the paraphernalia and the approval of which shall still be one (1) month after the previous approved request. (As per Board Resolution No. 07-14)

5. A trainer can only request for a change twice for the same paraphernalia within a period of six (6) months from the date of the first request.
6. In case of savage horses, all gadget and/or tack shall be requested before use. The horse shall undergo a barrier trial when using the gadget or equipment for the first time.

### **C. USE OF CORNELL COLLAR OR THROAT SUPPORT DEVICE**

1. A PHILRACOM-accredited veterinarian must write a letter of request/endorsement certifying that the horse concerned shall benefit from the use of the said collar.
2. The horse shall undergo a barrier trial when using the collar for the first time.
3. The gear shall be subject to inspection by the PHILRACOM Veterinarian before the barrier trial and must be the original "Vet-Aire Cornell Collar" brand of device. No improvised gear shall be allowed.

### **PR 73. RULES ON VACATION OF HORSES**

- A. The trainer shall fill up and submit a vacation slip to the PHILRACOM. The vacation shall commence on the date of its last run.
- B. All horse that are inactive for three (3) months shall undergo a barrier trial before it can participate in a regular race.
- C. The result of the barrier trial shall remain in effect (valid?) for three (3) months.
- D. Only horses belonging to "CLASS DIVISION" with a filed vacation can avail of the handicapping rule of going down after a three (3) month vacation
- E. A horse can avail of a maximum of four (4) groups going down in a continuous vacation exceeding one (1) year.
- F. Trainers shall be fined One Thousand Pesos (Php1,000.00) per horse for failing to submit a vacation slip for horses belonging to these groups:
  - Two (2) and Three (3) year olds
  - Imported horses
  - Class Division 1
- G. **Horses going on vacation**
  - a. Without injury will be allowed a maximum of one (1) group down in four (4) months.
  - b. With injury duly inspected by an accredited veterinarian and validated by the PHILRACOM

**Veterinarian shall be allowed a maximum of three (3) groups down (one (1) group down for every four (4) months). (As per Board Resolution No. 29-13)**

- c. All horses coming from vacation shall be required to secure clearance from the PHILRACOM prior to declaration and participation in regular races. (As per Board Resolution No. 48-15<sup>24</sup>)**

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<sup>24</sup> *The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.*

**CHAPTER 7.**  
**RULES GOVERNING CORRUPT PRACTICES AND OFFENSES**  
**AND THEIR CORRESPONDING PENALTIES**

**PR 74. DEFINITION OF CORRUPT PRACTICES**

Every horse that participates in a race shall run on its own merits. Thus, any person found guilty of any corrupt practice stated below by the PHILRACOM, after due deliberation, shall have his license or permit revoked and may be banned from owning, training or riding race horses, or entering the premises of the Racing Clubs on racing days, in addition to such fines and penalties as may be imposed under Presidential Decree No. 420 and other applicable laws;

- A. Giving, promising, or offering, either directly or indirectly, bribes in any form to Racing Officials or personnel or to any person having access to a race horse, for them to perform any act contrary to the Racing Rules and Regulations;
- B. Soliciting or accepting bribes in any form to perform any act contrary to the Racing Rules and Regulations

For the above offenses A and B, if the offender is a licensee of the PHILRACOM, his license shall be revoked and he shall be banned from entering the premises of the Racing Clubs. Offenders who are non-licensees shall be banned from entering the Club premises.

- C. For administering or injecting drugs or prohibited substances, the offender shall be fined and penalized by:
  - 1<sup>st</sup> offense - Sixty Thousand Pesos (Php60,000.00) and Fifty Two (52) - racing week suspension, banned from the Racing Clubs for the duration of the suspension.
  - 2<sup>nd</sup> offense - Banned
- D. For possessing syringes, hypodermic needles and/or prohibited substance or drugs or any other similar paraphernalia inside the Club premises:



1<sup>st</sup> offense - Ten Thousand Pesos (Php10,000.00) and Twenty-Six (26) - racing week suspension and banned from the Racing Club premises for the duration of the suspension

2<sup>nd</sup> offense - Banned

- E. For refusing to submit bags for inspection by the Club or PHILRACOM Officials, the offender shall be banned from the racetrack.
- F. For misleading the PHILRACOM or the Board of Stewards in any investigation concerning the conduct of racing, the offender shall be given a suspension of:

1<sup>st</sup> offense - Thirty (30) racing days

2<sup>nd</sup> offense - Sixty (60) racing days

offense

3<sup>rd</sup> offense - PHILRACOM discretion

- G. For possessing within the Club premises or using gadgets, electrical, mechanical or otherwise other than the ordinary equipment of such nature as could affect the speed or racing condition of the horse, the offender shall be fined:

1<sup>st</sup> offense - Three Thousand Pesos (Php3,000.00) and one (1) month suspension

2<sup>nd</sup> offense - Thousand Pesos (Php6,000.00) and Two (2) months suspension

3<sup>rd</sup> offense - PHILRACOM discretion

- H. For failing to report immediately to the proper authorities any offer or promise of a bribe or request or suggestion for a bribe or any corrupt or fraudulent practices in relation to a race made inside the Club premises, the offender shall be fined:

1<sup>st</sup> offense - Three Thousand Pesos (Php3,000.00)

2<sup>nd</sup> offense - Six Thousand Pesos (Php6,000.00)

3<sup>rd</sup> offense - PHILRACOM discretion

## **PR 74-A. PENALTIES FOR WILLFUL VIOLATION OF PHILRACOM RULES COMMITTED BY OTHER LICENSEES**

If, after notice and hearing, the Commission finds that a licensee thereof other than a racing manager, steward, club handicapper, horse owner or jockey has willfully violated any of the PHILRACOM Rules other than those provided under PR 74, or has committed an act punishable by the Revised Penal Code within the premises of the Racing Club or in the performance of or in connection with his duties, he shall be made liable to pay a fine in an amount not less than Five Hundred Pesos (Php500.00) but not more than Two Thousand Pesos (Php2,000.00), at the discretion of the Commission, without prejudice to other penalties that the Commission may impose under the Rules, such as suspension or revocation of license. *(As per Board Resolution No. 21-15<sup>25</sup>)*

## **PR 75. ACTS AND/OR OMISSIONS BY HORSE OWNERS AND/OR TRAINERS AND THEIR CORRESPONDING PENALTIES**

A. For instructing his jockey, directly or indirectly, to lose the race wherein his horse shall take part:

- |                         |   |
|-------------------------|---|
| 1 <sup>st</sup> offense | - Sixty Thousand Pesos (Php60,000.00) and Fifty Two (52)-racing week suspension |
| Succeeding offenses     | - PHILRACOM discretion  |

B. For applying or administering prohibited substance/s, directly or indirectly, regardless of personal knowledge:

- |                         |   |
|-------------------------|---|
| 1 <sup>st</sup> offense | - Sixty Thousand Pesos (Php60,000.00) and Fifty Two (52)-racing week suspension, banned from the Club premises for the duration of the suspension |
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<sup>25</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

- 2<sup>nd</sup> offense - Banned
- C. For possessing syringes, needles and/or prohibited drugs while within the premises of the waiting and saddling paddock:
- 1<sup>st</sup> offense - Ten Thousand Pesos (Php10,000.00) and Twenty Six (26)-racing week suspension, banned from the Club premises for the duration of the suspension
- 2<sup>nd</sup> offense - Banned
- D. For failing to run his horse declared in a race without justifiable cause (non-appearance) as determine by the Board of Stewards and without notifying the latter at least four (4) races before the scheduled race, the trainer shall be given the following penalty:
- 1<sup>st</sup> offense - **At least three (3) months suspension and/or a fine of not less than ten thousand pesos (Php10,000.00)**
- 2<sup>nd</sup> offense - **At least six (6) months suspension and/or a fine of not less than twenty thousand pesos (Php20,000.00) (As per Board Resolution No. 21-16)**
- Succeeding offenses - PHILRACOM discretion
- E. For disobeying the orders or regulations of the PHILRACOM and the Racing Club, or for disorderly or improper conduct in his relations with the officials of the PHILRACOM and the Racing Club, or for insulting them when the latter are in the performance of their duties:
- 1<sup>st</sup> offense - Five Thousand Pesos (Php5,000.00) and/or Two to Four (24)-racing week suspension
- 2<sup>nd</sup> offense - Ten Thousand Pesos (Php10,000.00) and/or Four to

- Six (46)-racing week suspension  
 Succeeding offenses - PHILRACOM discretion
- F. For failing to report and register any transfer of horse ownership within seventy (72) from the date of transfer, the new owner shall be fined Two Thousand Pesos (P2,000.00) for every horse.
- G. For failing to report any transfer of trainership of a horse within seventy (72) hours after the notification of the former trainer of the change to the Commission, the owner shall be fined Two Thousand Pesos (Php2,000.00) for every horse.
- H. For failing to report in writing to the PHILRACOM the death of **race** horses under his ownership or trainership **within seven (7) working days from the time of death, (As per Board Resolution No. 35-16)** the owner and/or trainer shall be penalized:
- 1<sup>st</sup> offense - Five Hundred Pesos (Php500.00) and/or Four (4)-racing week suspension
- 2<sup>nd</sup> offense - One Thousand Pesos (Php1,000.00) and/or Six (6)-racing week suspension
- 3<sup>rd</sup> offense - Two Thousand Pesos (Php2,000.00) and/or eight (8)-racing week suspension
- I. For failing to submit the passport with vaccination of his horse within seven (7) calendar days from the date of vaccination, the trainer shall be fined One Thousand Pesos (Php1,000.00)
- J. **For declaring or allowing his horse to run unvaccinated or with expired vaccination, the trainer shall be fined Five Thousand Pesos (Php5,000.00) (As per Board Resolution No. 26-14)**
- K. For bringing his horses to the Club premises unvaccinated or with expired vaccination, the owner shall be fined Five Thousand Pesos (Php5,000.00)
- L. For failing to submit the test results pertaining to diseases declared by the PHILRACOM as a threat to horse racing, the trainer shall be penalized:

- |                         |  |
|-------------------------|--|
| 1 <sup>st</sup> offense | - Five Thousand Pesos (Php5,000.00) and/or One to Two (12)-racing week suspension  |
| 2 <sup>nd</sup> offense | - Ten Thousand Pesos (Php10,000.00) and/or Four to Six (46)-racing week suspension |
| Succeeding offenses     | - PHILRACOM discretion   |
- M. For allowing the use of wrong racing colors by the jockey, the trainer shall be fined:
- |   |                                      |
|---|--------------------------------------|
| 1 <sup>st</sup> offense                 | - One Thousand Pesos (Php1,000.00)   |
| 2 <sup>nd</sup> offense                 | - Two Thousand Pesos (Php2,000.00)   |
| 3 <sup>rd</sup> and succeeding offenses | - Three Thousand Pesos (Php3,000.00) |
- N. For knowingly or willingly falsifying or concealing facts regarding prior racing record, pedigree, identity or ownership of a registered horse, its true physical condition and/or whereabouts when it was declared for participation in a race, the owner and/or trainer shall be assessed to be determined by the PHILRACOM or suspension of not more than two (2) years or revocation of license/permit without prejudice to criminal prosecution under the applicable laws of the Philippines.
- O. For failing to attend or to send a representative to the drawing of lots whenever his horse is taking part, the trainer shall be fined One Thousand Pesos (Php1,000.00)
- P. For using unauthorized racing paraphernalia and/or racing plates, the trainer shall be penalized:
- |                         |  |
|-------------------------|--|
| 1 <sup>st</sup> offense | - One Thousand Five Hundred Pesos (Php1,500.00) and/or Thirty (30)-calendar day suspension |
| 2 <sup>nd</sup> offense | - Three Thousand Pesos (Php3,000.00) and/or Sixty (60)-calendar day suspension             |

- 3<sup>rd</sup> offense - Six Thousand Pesos (Php6,000.00) and/or Ninety (90)-calendar day suspension
- Succeeding offenses - PHILRACOM discretion

- Q. For being absent at the racetrack two (2) races before the scheduled run, the trainer shall be fined Two Thousand Pesos (Php2,000.00)
- R. For bringing his horse to the paddock outside the prescribed time of two (2) races before its run, the trainer shall be fined Two Thousand Pesos (Php2,000.00)
- S. For allowing an improperly dressed or unlicensed groom to bring his horse inside the racetrack, the trainer shall be penalized:

- 1<sup>st</sup> offense - One Thousand Pesos (Php1,000.00)
- 2<sup>nd</sup> offense - Two Thousand Pesos (Php2,000.00)
- 3<sup>rd</sup> and succeeding offenses - **PHILRACOM discretion (As per Board Resolution No. 19-18)**

- T. For declaring an unregistered or suspended horse, or horse that has not been issued a clearance to run in a regular race, the trainer and/or Club Handicapper shall be fined not less than Two Thousand Pesos (Php2,000.00) or at the PHILRACOM discretion (as per Board Resolution No. 04-14)
- U. For failing to submit the vaccination slips of horses belonging to groups of Two (2) and Three (3)-year olds, imported and Class Division 1, the trainer shall be fined One Thousand Pesos (Php1,000.00)
- V. If offenders fail to settle fines with the PHILRACOM within the prescribed period, horses owned and/or trained by them shall not be allowed to be declared.
- W. **For failing to submit a complete, accurate, correct and duly signed declaration sheet, the trainer shall be penalized upon the discretion of the Commission Board**

in accordance with the existing Rules and Regulations on Horse Racing. (As per Board Resolution No. 46-15<sup>26</sup>)

- X. For failing to inform and obtain the consent of the jockey to ride a horse under his trainership/ownership before declaration to participate in a race, the trainer shall be fined Five Hundred Pesos (Php 500.00). (Per Board Resolution No. 41-16)
- Y. For failure to notify the PHILRACOM in writing of the death of the owner of the horse under his trainership within seven (7) days after the death of the owner, the trainer shall be fined One Thousand Pesos (P1,000.00). (As per Board Resolution No. 31-18)

#### **PR 76. ACTS AND/OR OMISSIONS BY JOCKEYS AND THEIR CORRESPONDING PENALTIES**

- A. For failing to report any excess in the assigned weight for his horse prior to riding: Fine of One Thousand Pesos (Php1,000.00)
- B. For not reporting his excess ride/s before the start of the first race: Fine of One Thousand Pesos (Php1,000.00)
- C. For arriving late for his ride, including all official barrier trial races: Fine of One Thousand Pesos (Php1,000.00)
- D. For entering the jockey's quarters or enclosures without having a mount in the meeting or while under suspension at that time.
  - 1<sup>st</sup> offense - Sixty (60)-racing day suspension
  - 2<sup>nd</sup> offense - One Hundred Fifty Six (156)-racing day suspension
  - 3<sup>rd</sup> and succeeding offenses - PHILRACOM discretion
- E. For leaving the jockey's quarters before the completion of all his rides: Fine of

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<sup>26</sup> The implementation of this Board Resolution was enjoined by the Writ of Preliminary Injunction issued by the RTC of Mandaluyong, Br. 213 in Civil Case No. MC16-10330 on August 09, 2016.

1 <sup>st</sup> offense	- One Thousand Five Hundred Pesos (Php1,500.00)
2 <sup>nd</sup> offense	- Two Thousand Pesos (Php2,000.00)
3 <sup>rd</sup> and succeeding offenses	- Four Thousand Pesos (Php4,000.00)

- F. For not leaving the jockey's quarters within thirty (30) minutes after his last ride: Fine of One Thousand Pesos (Php1,000.00) or Six (6)-racing day suspension
- G. For refusing to wear his racing color for the race without justifiable reason as determined by the Board of Stewards: Fine of One Thousand Pesos (Php1,000.00)
- H. For not being properly dressed or for changing in full view of the public: Fine of One Thousand Pesos (Php1,000.00)
- I. For failing to carry his whip properly: Fine of One Thousand Pesos (Php1,000.00)
- J. For taking or borrowing a whip from another rider in a race: Fine of Five Hundred Pesos (Php500.00)
- K. For using a whip that is more than twenty eight (28) inches from tip to tip: Fine of Five Hundred Pesos (Php500.00)
- L. For unnecessarily brandishing, threatening or waving his whip or hand during the race, or grandstanding: Fine of One Thousand Pesos (Php1,000.00)
- M. For using his whip in an excessive, unnecessary or improper manner, or act of cruelly punishing his mount in a race or trial, or in track work or elsewhere (?):

1 <sup>st</sup> offense	- Six to Twelve (6-12)-racing day suspension
2 <sup>nd</sup> offense	- Two Thousand Pesos (Php2,000.00) and/or Twenty Four to Thirty Six (24-36)-racing day suspension
3 <sup>rd</sup> and succeeding offenses	- PHILRACOM discretion

- N. For wearing spurs other than the rounded type: Fine of One Thousand Pesos (Php1,000.00)



O. For failing to report for medical check-up before the first race, two (2) races before his scheduled ride and after every fall:

- |                         |   |
|-------------------------|---|
| 1 <sup>st</sup> offense | - One Thousand Pesos (Php1,000.00) or Six (6)-racing day suspension                       |
| 2 <sup>nd</sup> offense | - Two Thousand Pesos (Php2,000.00) or Twelve to Twenty Four (12-24)-racing day suspension |
| Succeeding offenses     | - PHILRACOM discretion  |

P. For not mounting simultaneously with the other entries: Fine of Five Hundred Pesos (Php500.00)

Q. For not parading in numerical order while going to the barrier: Fine of Five Hundred Pesos (Php500.00)

R. For being late in the parade after all entries have already reached the back of the starting gate, or for any unjustifiable reason that will cause the delay in the loading of horses: Fine of One Thousand Pesos (Php1,000.00)

S. For not keeping his assigned place at the starting apparatus, unless permitted to do so by the Starter: Fine Of One Thousand Pesos (Php1,000.00)

T. **For not being ready inside the starting gate at all times, for** dismounting at the barrier without the Starter's permission, or for disobeying the Starter's orders **(As per Board Resolution No. 31-20)**

- |  |   |
|--|---|
| 1 <sup>st</sup> offense                  | - Five Hundred Pesos (Php500.00) and Six (6)-racing day suspension                      |
| 2 <sup>nd</sup> offense                  | - One Thousand Pesos (Php1,000.00) and Twelve to Eighteen (12-18)-racing day suspension |
| 3 <sup>rd</sup> and succeeding offenses. | - PHILRACOM discretion  |

U. For failing to report any untoward incident to the Starter regarding his mount: Fine of One Thousand Pesos (Php1,000.00)

V. For non-appearance without justifiable cause in all official races including barrier trial:

1<sup>st</sup> offense - Twelve to Twenty Four (12-24)-racing day suspension **or in alternative:**

i. **In case the suspension imposed is 18 days and below, a fine in the amount of Five Thousand Pesos (Php 5,000.00) may be imposed instead.**

ii. **In case the suspension imposed is 19 days and above, a fine in the amount of Ten Thousand Pesos (Php 10,000.00) may be imposed. (As per Board Resolution No. 07-19)**

2<sup>nd</sup> and succeeding offenses - PHILRACOM discretion

W. For communicating, talking, or having contact with persons other than the officials of the PHILRACOM or Club, upon leaving the saddling enclosures or paddock until the finish of the race: Thirty (30)-racing day suspension

X. For disorderly conduct during a race meeting:

1<sup>st</sup> offense - Thirty (30)-racing day suspension

Succeeding offense - PHILRACOM discretion

Y. For being involved in fraudulent combinations or fixed races: Banned

Z. For failing to inform the owner or trainer concerned any suspension or order regarding the horse he rode:

1<sup>st</sup> offense - Six to Twelve (6-12)-racing day suspension

- 2<sup>nd</sup> offense - One Thousand Pesos (Php1,000.00) and/or Twelve to Twenty-Four (12-24)-racing day suspension
- 3<sup>rd</sup> and succeeding offenses - PHILRACOM discretion

AA. For failing to salute the Stewards, Judges or Clerk of Scale on returning to the enclosure:

- 1<sup>st</sup> offense - Five Hundred Pesos (Php500.00)
- 2<sup>nd</sup> offense - One Thousand Pesos (Php1,000.00)
- 3<sup>rd</sup> offense - Two Thousand Pesos (Php2,000.00)

BB. For showing improper or insulting behavior at any time towards PHILRACOM and Club Officials in relation to their duties:

- 1<sup>st</sup> offense - Twelve to Thirty (12-30)-racing days suspension
- 2<sup>nd</sup> and succeeding offenses - PHILRACOM discretion

CC. For not being present or failing to send an authorized representative to the drawing of lots:

- 1<sup>st</sup> offense - Five Hundred Pesos (Php500.00)
- 2<sup>nd</sup> offense - One Thousand Pesos (Php1,000.00)
- 3<sup>rd</sup> and succeeding offenses - Two Thousand Pesos (Php2,000.00)

DD. For failing to submit to the Handicapper his priority list of rides prior to the drawing of lots:

- 1<sup>st</sup> offense - One Thousand Pesos (Php1,000.00)
- 2<sup>nd</sup> offense - Two Thousand Pesos (Php2,000.00)
- 3<sup>rd</sup> and succeeding offenses - Six to Twelve (6-12)-racing day suspension

EE. For not wearing a standard approved vest or for using an unauthorized or modified vest to gain an unfair weight advantage:

- |   |                                     |
|---|-------------------------------------|
| 1 <sup>st</sup> offense                 | - One Thousand Pesos (Php1,000.00)  |
| 2 <sup>nd</sup> offense                 | - Two Thousand Pesos (Php2,000.00)  |
| 3 <sup>rd</sup> and succeeding offenses | - Four Thousand Pesos (Php4,000.00) |

FF. For wearing an unauthorized or unserviceable skull cap as may be determined by the Board of Stewards:

- |   |                                     |
|---|-------------------------------------|
| 1 <sup>st</sup> offense                 | - One Thousand Pesos (Php1,000.00)  |
| 2 <sup>nd</sup> offense                 | - Two Thousand Pesos (Php2,000.00)  |
| 3 <sup>rd</sup> and succeeding offenses | - Four Thousand Pesos (Php4,000.00) |

GG. For using an unlicensed helper to assist him: Fine of One Thousand Pesos (Php1,000.00)

HH. For failing to control or monitor the conduct of his helper while inside the paddock premises: Fine of One Thousand Pesos (Php1,000.00)

II. For possessing an unauthorized device, apparatus or equipment while on top of his mount:

- |                         |  |
|-------------------------|--|
| 1 <sup>st</sup> offense | - Ten Thousand Pesos (Php10,000.00) and/or One Hundred Fifty-Six (156)-racing day suspension |
| 2 <sup>nd</sup> offense | - Banned   |

JJ. For having in his possession prohibited drug/s and or drug paraphernalia during a race meet: suspended indefinitely while under investigation by the PHILRACOM and/or the proper authorities

KK. For being under the influence of drugs during a race meet or for testing positive for prohibited drug/s: suspended indefinitely while under investigation by the PHILRACOM and/or the proper authorities

LL. For refusing to undergo random drug testing within twenty (24) hours after notice: suspended for One (1) year

MM. For being under the influence of alcohol while inside the restricted area:

- |                               |  |
|-------------------------------|--|
| <b>1<sup>st</sup> offense</b> | - <b>Five Thousand Pesos (Php5,000.00)</b>                                       |
| <b>2<sup>nd</sup> offense</b> | - <b>Suspension of seven (7) racing days (As per Board Resolution No. 22-16)</b> |
| <b>3<sup>rd</sup> offense</b> | - <b>PHILRACOM discretion</b>  |

NN. For failing to settle his fines with the PHILRACOM: Forfeiture of his right to be declared for future races

OO. For participating in competitive racing abroad while under suspension and no clearance has been secured from the PHILRACOM: License will be put on hold until cleared by the PHILRACOM Board

PP. For misleading the PHILRACOM and/or the Board of Stewards at any investigation concerning the conduct of racing:

- |                               |  |
|-------------------------------|--|
| <b>1<sup>st</sup> offense</b> | - <b>Thirty (30)-racing day suspension</b> |
| <b>2<sup>nd</sup> offense</b> | - <b>Sixty (60)-racing day suspension</b>  |
| <b>3<sup>rd</sup> offense</b> | - <b>PHILRACOM discretion</b>              |

QQ. For deliberately losing the race either by easing, pulling and/or stopping his mount without justifiable reason at any point in the race, wherein the Board of Stewards determines that the horse still has a chance of winning the race: One (1)-year suspension and the horse shall be elevated to the next higher group

RR. For easing, pulling and/or stopping his mount at **the last 100 meters without justifiable reason**, wherein the Board of Stewards determines that these may affect the placing of the betting pool:

In ordinary races – **Twelve (12) to Twenty-Four (24)-racing day suspension**

In Stakes Races – **Twenty-Four (24) to Forty-Eight (48)-racing day Suspension**

**SS. (a)** For lack of interest of for not taking all reasonable and permissible measures throughout the race to ensure that his horse is given full opportunity to obtain the best possible place in the race: twenty-four (24) to one hundred fifty-six (156) racing days

**(b)** For restraining his horse to run on its own merit and for not riding it so as to obtain the best possible placing:

1<sup>st</sup> offense – Warning

2<sup>nd</sup> offense – Suspension of at least Six (6) racing days but not more than Eighteen (18) racing days at the discretion of the Board of Stewards

In any case, the handicap weight of horses will stay and shall not be changed. **(As per Board Resolution No. 43-17)**

**TT. FOR CARELESS RIDING:**

1. For not observing the Fifty (50)-meter Rule at the start of the race:

- In ordinary races – Six to Twelve (6-12)-racing day suspension
- In Stakes Races – Six to Twenty-Four (6-24)-racing day suspension

2. For bumping and crowding another runner or crossing its path at any point in the race:

- In ordinary races – Six to Twelve (6-12)-racing day suspension
- In Stakes Races – Six to Twenty-Four (6-24)-racing day suspension

3. For crowding another runner causing it to be checked or to lose ground:

- In ordinary races – Six to Twenty-Four (6-24)-racing day suspension
- In Stakes Races – Eighteen to Thirty-Six (18-36)-racing day suspension

4. For severely interfering one or more runners, causing them to be checked, to lose ground or jockey/s to be unseated:

- In ordinary races – Eighteen to Thirty-Six (18-36)-racing day suspension

- In Stakes Races – Forty-Two to Eighty-Four (42-84)-racing day suspension
- Automatic disqualification of horse when a rider is unseated

#### UU.FOR FOUL RIDING

When a jockey intentionally strikes, pushes, holds or detains another horse or its rider in a manner that will prejudice or interfere that horse's chance of success or causes unseating of the rider: Twenty-Four to One Hundred Fifty-Six (24-156)-racing day suspension

NOTE: A rider is allowed to change lanes only if he is two (2) lengths clear in front of the other runner/s

#### VV. FOR OFFENSES INVOLVING HANDICAP WEIGHT

Helmets, whips, and the number cloth are excluded from the weight. Exception is noted when wet and muddy condition prevails.

Professional Jockey's Weight Allowances (in Kilograms)	
Handicap Weight	Maximum Weight Allowance (optional to include vest)
50.0	2.0
50.5	2.0
51.0	2.0
51.5	2.0
52.0	2.0
52.5	2.0
53.0	2.0
53.5	1.5
54.0	1.0
54.5	1.0
55.0 and up	0.5

Apprentice Jockey's Weight Allowances (in Kilograms)	
Handicap Weight	Maximum Weight Allowance (optional to include vest)
46.0	1.5

46.5	1.5
47.0	1.5
47.5	1.5
48.0	1.5
48.5	1.5
49.0	1.5
49.5	1.0
50.0	1.0
50.5	1.0
51.0	1.0
51.5	1.0
52.0	1.0
52.5	1.0
53.0	1.0
53.5	1.0
54.0	1.0

Note: The weigh out of the jockey shall be publicly announced two (2) races **or one (hour)** before his scheduled run. **(As per Board Resolution Nos. 16-18 & 03-19)**

1. For being overweight, thus leading to a change of jockey, a fine of:
  - 1<sup>st</sup> offense – One Thousand Pesos (Php 1000)
  - 2<sup>nd</sup> offense – Two Thousand Pesos (Php 2000)
  - 3<sup>rd</sup> offense – Four Thousand Pesos (Php 4000)
  - 4<sup>th</sup> offense – PHILRACOM discretion
  
2. For having less weight during the weigh-in – all jockeys found to be **underweight** by 500 grams or less during the weigh-in shall be assessed a fine of One Thousand Pesos (Php 1000) for using any of his riding paraphernalia to complete his assigned handicap weight. If the discrepancy is more than 500 grams, the following shall apply:
  - a. Winning Horse shall be disqualified and shall not be entitled to any prize money
  - b. **Horses** in Second (2<sup>nd</sup>) to Fourth (4<sup>th</sup>) positions and in exotic betting **shall be disqualified and shall not be entitled to any prize money**



c. Unplaced Runners **(As per Board Resolution No. 33-16)**

1. 501 grams to 1 kg.
2. 1.01 kgs. to 1.5 kgs.
3. 1.51 kgs. to 2.0 kgs.
4. Over 2.0 kgs.

Reference	First Offense	Second Offense	Third Offense
76.VV.2.a	52 racing weeks	PHILRACO M discretion	PHILRACOM discretion
76.VV.2.b	26 racing weeks	PHILRACO M discretion	PHILRACOM discretion
76.VV.2.c. 1	Php1,000.00	Php2,000.00	PHILRACOM discretion
76.VV.2.c. 2	Php2,000.00	Php4,000.00	PHILRACOM discretion
76.VV.2.c. 3	Php3,000.00	Php6,000.00	PHILRACOM discretion
76.VV.2.c. 4	Php4,000.00	Php8,000.00	PHILRACOM discretion

3. For having more weight during the weigh-in:

a. Winner:

1. 500 grams or less
2. 501 grams to 1 kg
3. 1.01 kg. to 1.50 kgs.
4. 1.51 kgs. To 2.0 kgs.
5. 2.0 kgs. and more

b. Second (2<sup>nd</sup>) to last position;

1. **500 grams or less**
2. **501 grams to 1kg.**
3. **1.01 kgs. to 1.5 kgs.**
4. **1.51 kgs. to 2.0 kgs.**
5. Over 2.0 kgs.

Reference	First Offense	Second Offense	Third Offense
76.VV.3.a.1	No penalty	No penalty	No penalty
76.VV.3.a.2	Php2,000.00	Php4,000.00	PHILRACO M discretion

76.VV.3.a.3	Php6,000.00	Php12,000.00	PHILRACO M discretion
76.VV.3.a.4	Php10,000.00	Php20,000.00	PHILRACO M discretion
76.VV.3.a.5	PHILRACOM discretion	PHILRACOM discretion	PHILRACO M discretion
<b>76.VV.3.b.1</b>	<b>No penalty</b>	<b>No penalty</b>	<b>No penalty</b>
76.VV.3.b.2	Php1,000.00 and/or 6 racing days	Php 2,000.00 and/or 12 racing days	PHILRACO M discretion
76.VV.3.b.3	Php1,000.00 and/or 6-12 racing days	Php 2,000.00 and/or 12-24 racing days	PHILRACO M discretion
76.VV.3.b.4	Php1,000.00 and/or 36-40 racing days	Php 2,000.00 and/or 60-72 racing days	PHILRACO M discretion
76.VV.3.b.5	156 racing days	156-312 racing days	PHILRACO M discretion

**(As per Board Resolution No. 24-18)**

NOTE: THE COUNT OF OFFENSES REGARDING WEIGHT WILL REVERT TO ZERO (0) AFTER A CLEAN SLATE OF ONE (1) YEAR FROM THE LAST OFFENSE (As per Board Resolution Nos. 33-11 and 23-13)

**WW. For intentionally throwing any object or racing paraphernalia into the race track during the race: Fine in an amount not exceeding Twenty Thousand Pesos (Php20,000.00), at the discretion of the Commission, without prejudice to other penalties that the Commission may impose under the Rules. (Per Board Resolution No. 47-16).**

**CHAPTER 8**

**RULES GOVERNING PROTESTS AND OTHER DISPUTES**

**PR 77. PROTEST**

The owner, trainer, jockey and/or authorized representative can lodge a protest before the declaration of the official results. In case of jockeys, he shall inform the Clerk of Scales of the protest prior to weigh-in. In all cases, a protest form shall be accomplished and signed. Once a protest has been lodged, the Board of Stewards must sound the siren, investigate immediately

and resolve the case presented. The decision of the Board of Stewards is final and executor.

- A. In the event that the protest made under the Rules is deemed by the Board of Stewards to be frivolous, the person who filed the protest shall be penalized.
- B. No person shall prevent or attempt to prevent a person qualified to object from making an objection under this Rule.
- C. No person shall encourage or attempt to encourage a qualified person to lodge a protest.
- D. The penalty for the above cases shall be discretionary to the Board of Stewards.
- E. All protests shall be announced through the public address system and live broadcast media.

#### **PR 78. DISQUALIFICATION**

Before making a decision, the Board of Stewards shall take the following considerations:

- A. There must be an impediment by one entry on another.
- B. The impeded horse still has the “CHANCE OF SUCCESS”.
- C. The impeding horse must have placed in the money and must finish ahead of the impeded horse.
- D. If the impeding horse causes an opposing ride to be unseated, it shall be automatically disqualified and placed in the tail-end position.

#### **PR 78-A. CONSIDERATIONS IN ASSESSING INTERFERENCE AND CHANCE OF SUCCESS**

- 1. What was the distance between the impeding from the impeded horse at the finish line?
- 2. What was the perceived amount of ground lost by the impeded horse as a result of the incident?
- 3. Did the impede horse contribute to the occurrence of the interference?
- 4. Was another horse involved in the incident?
- 5. Did the interference really occur or did the rider pull his reins in anticipation of the supposed interference?

#### **PR 78-B. GROUNDS FOR DISQUALIFICATION**

- 1. If a horse crosses another runner less than the safe distance of two (2) horse lengths, affecting the “chance of success” of the impeded horse.

2. If a horse or rider interferes, jostles or intimidates another runner or rider, affecting the “chance of success” of the impeded horse.
3. If a horse or its rider causes the unseating of another rider at any part of the race, in which case the entry shall be automatically disqualified and placed in the last position.
4. Disqualification due to lack of weight of the jockey during the weigh-in by Five Hundred (500) grams if in the money placing.

## **PR 79. APPEALS**

All decisions handed down by the Board of Stewards are appealable to the PHILRACOM except for those provisions in the Racing Rules that specifically state otherwise.

- A. Cases penalized by one (1) year and above (i.e. banned from the races) shall be subjected to automatic review by the PHILRACOM Board of Commissioners.
- B. Appeals can be made to the PHILRACOM within three (3) working days from the time the suspension is imposed.
- C. A corresponding fee shall be charged to the appellant.

### **PR 79-A. DISMISSAL OF AN ORDINARY APPEAL**

An appeal may be dismissed by the PHILRACOM for any of the following reasons:

1. For non-appearance of the petitioner at any of the scheduled hearings on appeal;
2. If the appeal is patently frivolous or dilatory in nature or purpose;
3. If the appeal is withdrawn;
4. Such other cases as the PHILRACOM may determine sufficient to warrant the dismissal.

### **PR 79-B. EFFECT OF DISMISSAL OR DENIAL**

Upon the dismissal of the appeal or the denial of the petition for review, the decision, order or ruling of the Board of Stewards in controversy shall be considered affirmed and rendered immediately executory.

If the dismissal is based upon a finding that the appeal was patently frivolous or dilatory in nature, the PHILRACOM may, in its discretion, increase the penalty imposed upon the appellant by the Board of Stewards.

#### **PR 80. RECONSIDERATION**

A motion for reconsideration may be filed on all decisions made by the PHILRACOM in the following cases:

- A. Penalties imposed on all licensees by the Board of Stewards that have been affirmed by the Commission.**
- B. Suspension imposed by the Commission on all licensees.**
- C. Monetary penalties imposed by the Commissions on all licensees: A corresponding fee of Three Thousand Pesos (Php3,000.00) or Twenty Percent (20%) of the assessed fine shall be charged, whichever is lower.**
- D. Penalties imposed on horses by the Board of Stewards that have been affirmed by the Commission (may be filed by the horse owner or horse trainer concerned.)**
- E. Suspension imposed by the Commission on horses (may be filed by the horse owner or horse trainer concerned). (As per Board Resolution No. 52-16)**

## UNIT IV

The Philippine Racing Commission (PHILRACOM) has sought to bring horse racing in the Philippines updated on the international standards and procedures relating to prohibited substances in the industry. This Unit comprises the Rules that the Commission has drafted to govern this aspect of the sport, although implementation has not commenced as of the date of this publication.

### **RULES GOVERNING PROHIBITED SUBSTANCES AND THE PROCEDURES FOR DRUG-TESTING**

#### **PR 81. DEFINITION OF PROHIBITED SUBSTANCES**

Any substance declared by these Rules to be a prohibited substance, or which falls within any of the groups of substances declared by these Rules to be prohibited substances, unless it is specifically excepted.

- A. When any horse that has been brought to a racecourse or recognized training track to engage in a trial or test for the purpose of obtaining a permit to start in a race, whether after suspension or otherwise, is found to have had administered to it any prohibited substance as defined in PR 83, the trainer and any other person who was in charge of such horse at any relevant time may be punished, unless he satisfies the Committee of the Club or the Stewards that he had taken all proper precautions to prevent the administration of the prohibited substance.
- B. When any horse that has been brought to a racecourse to engage in a race is found by the Committee of the Club or the Stewards to have had administered to it any prohibited substance as defined in PR 83, the trainer and any other person who was in charge of such horse at any relevant time may be punished, unless he satisfies the Committee of the Club or the Stewards that he had taken all proper precautions to prevent the administration of the prohibited substance.

#### **PR 82. POSSESSION OF PROHIBITED SUBSTANCES**

No person shall have in his possession on a racecourse where a meeting is being conducted any prohibited substance, syringe, needle or other instrument, which could be used to administer a prohibited substance to a horse unless that person shall have obtained the permission of the Stewards to be in such possession,

or satisfies them that such possession is for lawful use. Any person found contravening this rule may be punished and any such substance or items may be confiscated.

### **PR 83. LIST OF PROHIBITED SUBSTANCES**

The following substances are declared as prohibited substances:

1. Substances capable at any time of acting on one of the following mammalian body systems:
  - The central and/or peripheral nervous systems
  - The cardiovascular system
  - The respiratory system
  - The alimentary digestive system
  - The musculo-skeletal system
  - The uro-genital system
  - The endocrine system
2. Substances falling within the following categories of substances:

– acidifying agents	– cholinergic agents
– adrenergic blocking agents	– corticosteroids
– adrenergic stimulants	– depressants
– alkalising agents	– diuretics
– anabolic agents	– general anaesthetics
– analgesics	– haematopoietic agents
– antiangina agents	– hormones (including
– antiarrhythmic agents	trophic hormones) and
– anticholinergic agents	their synthetic
– anticoagulants	counterparts
– antidepressants	– hypnotics
– antihistamines	– local anaesthetics
– antihypertensives	– muscle relaxants
– anti-inflammatory agents	– narcotic analgesics
– blood coagulants	– neuromuscular agents
– bronchodilators	– plasma volume
– bronchospasm relaxants	expanders
– buffering agents	– respiratory stimulants
– sedatives	– tranquilizers
– vasodilators	– vitamins administered
– central nervous system	by injection
stimulants	
3. Metabolites artifacts and isomers of the prohibited substances prescribed by above rules 1 and 2 of PR 90 Prohibited Substances.

#### **PR 84. VALUES OF SUBSTANCES EXEMPTED FROM THE PROVISIONS**

The following prohibited substances when present at or below the concentrations respectively set out are exempted from the provisions of PR 83 – List of Prohibited Substances:

1. Total carbon dioxide (TCO<sub>2</sub>) at a concentration of 36.0 millimoles per liter in plasma.
2. Arsenic at a mass concentration of 0.30 milligrams per liter in urine.
3. Dimethyl sulphoxide at a mass concentration of 15 milligrams per liter in urine or 1.0 milligram per liter in plasma.
4. For nandrolone, free and conjugated 5-estrane-3 $\beta$ , 17-diol at a mass concentration equal to or less than 5(10)-estrone, 17-diol in urine.
5. Salicylic acid at a mass of 750 milligrams per liter in urine.
6. Hydrocortisone at a mass concentration of 1.00 milligrams per liter in urine.
7. Free and conjugated testosterone at a mass concentration of 20 micrograms per liter in urine from geldings.
8. For testosterone in fillies and mares, the mass concentration of free and conjugated testosterone in urine equal to or less than twelve times the mass concentration of epitestosterone in urine.

#### **PR 85. ANALYSIS OF SAMPLES**

Samples taken from horses in pursuance of the powers conferred on the Stewards by PR 5-D.7 shall be analyzed only by an official racing laboratory.

#### **PR 86. NUMBER OF SPECIMENS FOR TESTING**

After every race, test specimens of at least two (2) horses shall be taken from the winner and any other horse(s) selected by the Board of Stewards. In every racing day, a minimum of six (6) samples shall be tested by the PHILRACOM Accredited Laboratory. The Board of Stewards may, in their own discretion, order the testing of other samples.

#### **PR 87. COLLECTION AREA**

The Racing Club concerned shall provide a separate and well-secured area within the club compound, isolated from the view of the betting public, for the actual collection of samples.



Only the duly registered owner, trainer or their duly-licensed representative and the groom of the horse concerned shall be allowed in the collection area.

#### **PR 88. PROCEDURE FOR SAMPLES**

Two (2) sampling containers per selected horse shall be taken before or after the race by the authorized personnel. The samples shall then be sealed and countersigned by and in the presence of the representatives of the Racing Clubs, owner, trainer, or their duly-licensed representative. Failure on the part of the horse owner and/or trainer to attend or to designate an official and licensed representative in writing, shall be taken as a waiver of the right to be present during the procedure. One (1) sample shall be retained by the racing club and placed in its custody. The other sample shall be given to the duly accredited drug-testing laboratory for testing. In case of a challenge, the first sample shall be tested, the results of which would be final and binding on all parties.

#### **PR 89. USE OF SAMPLES**

Testing will be done for identification of prohibited substances based on the list of references provided for in PR 90 herein. The Philracom Accredited Laboratory shall notify the Racing Club of the results of all tests within fifteen (15) working days after the collection of samples if the tests are positive for prohibited substance(s). The Racing Club shall inform Philracom and the horse owner and/or trainer concerned within five (5) working days from receipt of the results.

#### **PR 90. REFERENCE PUBLICATIONS**

The following publications in their current available editions shall be used to identify the classification and groupings of drugs, to wit: Philippine Index of Medical Specialties (PIMS); U.S. Pharmacopoeia; British Pharmacopoeia; Chinese Pharmacopoeia; Drugs Facts and Comparison; Merck Index; Merck Veterinary Manual; Multilingual Dictionary of Narcotic Drugs and Psychotropic Substances Under International Control.

#### **PR 91. PROCEDURES UPON DETECTION OF PROHIBITED SUBSTANCES**

Upon the detection by an official racing laboratory of a prohibited substance in a sample taken from a horse, such laboratory shall:

(j) Notify the Stewards of its findings, and they shall thereupon notify the trainer of the horse of such findings; and (k)

(l) Nominate another official racing laboratory and refer to it the reserve portion of the same sample and, except in the case of blood sample, the control of the same sample, together with advice as to the nature of the prohibited substance detected.

#### **PR 92. CORROBORATION BY OTHER OFFICIAL RACING LABORATORY**

In case the other official racing laboratory detects the same prohibited substance, or metabolites, isomers or artifacts of the same prohibited substance, in the reserve portion of the sample and not in the referred portion of the control, the certified findings of both official racing laboratories shall be prima facie evidence upon which the Stewards may find that a prohibited substance had been administered to the horse from which the sample was taken.

#### **PR 93. REFERRAL TO GOVERNMENT AUTHORITIES**

Any dangerous drug(s) as defined and penalized in the Dangerous Drugs Law that are detected in the samples shall be referred by the PHILRACOM to the National Bureau of Investigation (NBI) and all other government agencies concerned for further testing and possible prosecution.

#### **PR 94. PROCEDURES DURING CHALLENGE**

The retained sample of the Racing Club shall be used for testing during challenge. The testing shall be done in the Philracom Accredited Laboratory. The owner and/or trainer at his option may request the presence of the NBI and/or Dangerous Drug Board (DDB) chemists thereat. Representatives of the Racing Club, Philracom, owner, and/or trainer must also be present during the procedure. The failure of the owner and/or trainer or their authorized representative to attend shall be taken as a waiver of their right to be present during the procedure.

#### **PR 95. RESULTS OF CHALLENGE**

The owner and/or trainer of the horse whose challenged test yielded positive results in the use of prohibited substances shall have his challenge fee of Ten Thousand Pesos (Php 10,000.00)

forfeited in favor of the Racing Club. He shall also pay the Sixty Thousand Pesos (Php 60,000.00) deposit in favor of Philracom as penalty. The owners and/or trainers whose challenge tests yielded negative results shall be refunded accordingly.

## **UNIT V**

With the issuance of EO 177 on February 15, 2003, the National Stud Book was transferred from the Department of Agriculture to the Office of the President, and reassigned to the Philippine Racing Commission (PHILRACOM). The Commission thus oversees its mandated functions, i.e. improving the breed of Philippine horses and preventing the illegal importation of race horses. It carries international commitment and responsibilities as a member of the Asian Stud Book Committee.

### **CONDITIONS OF ENTRY TO THE PHILIPPINE STUD BOOK**

**GENERAL PRINCIPLES GOVERNING ELIGIBILITY** for INCLUSION in the PHILIPPINE STUD BOOK of the SBA of the PHILIPPINES The Stud Book Authority of the Philippines, in strict compliance with Article 12 Chapter II of the International Agreement on Breeding and Racing; and, in conformity to the requirements set forth by the International Stud Book Committee (ISBC) for a Stud Book to be approved, shall adopt and implement the following policies regarding entry of horses to the Philippine Stud Book.

A horse or mare may be considered as eligible for inclusion or admission to the Philippine Stud Book provided that it must completely satisfy the qualification required in I below; and, it must follow the conditions and procedures contained in II to III.

### **I. PEDIGREE QUALIFICATION**

- A. To be eligible to be registered by the Philippine Stud Book, a horse must be able either:
  - 1. To be traced down all lines of its pedigree to horses registered before 1st January 1980 in
    - a. The Philippine Stud Book, and/or
    - b. Any of the following Stud Books: the General Stud Book, the American Stud Book, the French Stud Book, the Stud Books of Argentina, Austria, Australia, Bahrain, Barbados, Belgium and Luxembourg, Bulgaria, Brazil, Chile, China, Colombia, Costa Rica, Cyprus, Czech Republic,

Denmark, Dominican Republic, Ecuador, Germany, Greece, Guatemala, Hungary, India, Israel, Italy, Jamaica, Japan, Kenya, Korea, Malaysia, Mexico, Morocco, New Zealand, Netherlands, Norway, Panama, Paraguay, Peru, Poland, Portugal, Qatar, Romania, Russia, Saudi Arabia, Slovak Republic, Slovenia South Africa, Spain, Sweden (A-Register), Switzerland, Thailand, Trinidad and Tobago, Tunisia, Turkey, United Arab Emirates, Uruguay and Venezuela.

OR

2. To prove satisfactorily (8) eight recorded crosses consecutively with horses qualified as in the category 1 above, including the cross of which it is the progeny, and to have satisfied the performance and approval conditions as set out for foals in sections B. 2 and 3 below.

For the purposes of the Philippine Stud Book, horses in categories 1 and 2 above are designated "Thoroughbred."

- B. In addition, a foal may be promoted from the Non-Stud Book Register and registered in the Appendix to the Philippine Stud Book when the following conditions are all satisfied.
  1. The foal can prove satisfactorily eight recorded crosses consecutively with "Thoroughbreds" (as designated above) including the cross of which it is the progeny.
  2. The foal can show in both the "Thoroughbred" and Non Thoroughbred sections of its pedigree, such performances in races open to "Thoroughbreds" as to warrant its assimilation with "Thoroughbreds."
  3. The promotion is approved by the unanimous agreement of the International Stud Book Committee.

## **II. CONDITIONS FOR ELIGIBILITY FOR REGISTRATION OF FOALS BY THE SBAP**

No horse may be considered as eligible for foal registration and eligible for inclusion in the PSB unless the following conditions set forth by the SBA of the Philippines (SBAP) have all been duly satisfied.

### **A. DAM AND SIRE REGISTRATION**

No foal may be considered as eligible for registration as such unless both its sire and dam have been previously accepted and registered for the purpose of breeding (stallion, broodmare) with the SBAP. The only exception to this condition is a foal which was imported-inutero, (known also as "island-born foals") whose dam was imported in-foal or with covering abroad and has been properly registered with the SBAP; and, whose sire was not imported but is duly registered in an ISBC-approved Stud Book. Identity documents (Certificates of Registration/ Passports) shall be issued by the SBAP with respect to each broodmare or stallion registered.

**B. SERVICE TO PRODUCE AN ELIGIBLE FOAL**

No foal may be considered as eligible for registration as such unless: (1) it is a product of a 'natural service;' or covering which is the physical mounting of a mare by a stallion. This natural service can, as authorized by the Stud Book Authority of the Philippines, include the immediate reinforcement of the stallion's service or cover by a portion of the ejaculate produced by that stallion during that service or cover of that same mare) and, (2) a natural gestation took place in and delivery was from the body of the mare in which the foal was conceived. The use of artificial insemination and/or embryo transplant is absolutely forbidden and no foal conceived as such may be registered by the SBAP.

**C. REPORT OF COVERING / CERTIFICATES OF COVERING**

No foal may be considered as eligible for registration as such unless the official covering reports/returns (Monthly Stallion Covering Report, Annual Stallion Return, Certificate of Covering) have been properly lodged or duly registered in accordance with the rules, regulations, requirements and procedures prescribed by the SBAP. No foal which was imported-in-utero may be considered as eligible for registration as such unless the official Covering Certificate issued by the SBA of the country of covering has been duly received by or directly forwarded to the SBAP. Particulars of the covering of a mare by a stallion should be certified by the stallion proprietor on the official Certificate of Covering, certifying to the SBAP and to the mare owner:

1. the first and last dates on which a mare was covered;

2. that the identity of the mare was verified against her identity documents (Certificate of Broodmare Registration or Passport);
3. That the service was natural and that artificial insemination was not used.

D. D. STUD BOOK RETURN / REPORT OF BIRTH OF LIVE FOAL

No foal may be considered as eligible for registration as such unless the Stud Book Return (SBAP Form No. 2), officially showing or reporting the birth of a live foal, has duly been lodged with the SBAP in the year of foaling. Particulars of the birth of a foal should be certified by the mare owner to the SBAP on the official form, showing:

1. the name of the mare which produced the foal,
2. the name of the covering stallion responsible for the foal;
3. the date of birth, color and sex of the foal;

E. SUBMISSION OF FOALING SLIPS / FOAL IDENTIFICATION CERTIFICATES

No foal may be considered as eligible for registration as such unless the narrative (written) and diagrammatical (graphical) description of the foal has been submitted to the SBAP on the official form (Foaling Slip, SBAP Form No. 4) within a period of five (5) months from the date of birth. Foaling Slips submitted beyond a period of five (5) months from the date of foaling but not more than six (6) months from the date of foaling may be accepted; provided, that, the special conditions and requirements prescribed by the SBAP have been completely satisfied and duly complied with. Particulars of the birth of a foal should be certified by the mare owner to the SBAP on the official form, showing a written and graphical description of the foal, which should be taken before weaning by a person authorized by the SBAP.

F. IDENTIFICATION, BLOODTYPING, PARENTAGE VERIFICATION/ VALIDATION

No foal may be considered as eligible for registration as such unless it has been inspected and its identity has been duly established, verified or confirmed by the SBAP or its official representative. In addition, no foal may be considered as eligible for registration as such unless its blood type has been determined/recorded and its

parentage has been verified through testing procedures and methods conducted or performed by a duly qualified and internationally recognized laboratory contracted/designated by the SBAP.

1. A horse is not eligible to be recorded in the PSB unless it has been properly 'identified' (narrative and diagrammatical markings/ description taken); or, unless its identity has been verified or confirmed by the SBAP.
2. All existing breeding stock (Mares, Stallions) and Produce must be bloodtyped and/or parentage validated before they can be eligible for acceptance in the PSB.

**G. FOAL REGISTRATION RULES AND REQUIREMENTS**

No foal may be considered as eligible for registration as such unless the rules, requirements and procedures prescribed by the SBAP for the registration of foals have completely been satisfied.

**H. OTHER CONDITIONS**

The SBAP may require or prescribe other conditions not included or stated herein as it may deem necessary for foal registration.

**Sec. 1 – SBAP RULES, TERMS AND CONDITIONS**

1. The provisions of these rules shall apply to all Philippine-bred horses that are intended to be registered for the purpose of either racing and/or breeding in the Philippines. A horse is considered as "REGISTERED" in accordance with the rules and regulations of the SBAP after the owner/representative has been issued a Certificate of Registration.
2. These rules shall be construed or interpreted in accordance with the International standards, requirements and provisions for approval of a Thoroughbred Stud Book.
3. Any amendments, revisions or changes to these rules, requirements and procedures that shall be inconsistent with Internationally-prescribed standards, requirements and provisions shall not be valid.

4. All existing articles, resolutions, rules, regulations or orders inconsistent with the provisions of these rules are amended, repealed or revoked.
5. These rules, requirements and procedures shall take effect immediately upon approval of the same.
6. The SBAP may prescribe such and all other rules and regulations, procedures and requirements not inconsistent herewith and in accordance with International standards, requirements or provisions for the accomplishment of its functions.
7. The SBAP shall provide for the fair, strict and accurate implementation of these rules, requirements and procedures.
8. The SBAP shall be the single juridical body and deciding authority with regard to questions, inquiries and doubts arising from the implementation and exercise of these rules. In such cases, the final discretion shall remain with the SBAP.
9. Owners, breeders and representatives shall be responsible for familiarization of these rules, requirements and procedures and the availment of information on related matters in general.
10. Notwithstanding any other provisions in these Rules, it is the responsibility of each applicant to obtain from the SBAP all the necessary and prescribed documents and to submit all completed forms and other requirements by the applicable deadlines.

## **Sec. 2 – FOAL REGISTRATION RULES, REQUIREMENTS & PROCEDURES**

1. All horses that are born/bred in the Philippines and that are intended to be used for purposes of racing under the rules and regulations of the PHILRACOM or any other recognized Turf Authority must initially be registered in accordance with the rules and regulations set forth by the SBAP prior to the commencement of any racing activity.
2. No horse may be considered as eligible for foal registration and for inclusion in either the PSB unless the conditions set forth by the SBAP have all been duly satisfied.
3. The breeder/owner/representative must report and certify the particulars of the birth of a foal by



- submitting a duly accomplished, signed and notarized Stud Book Return Form (SBAP Form No. 2), together with the corresponding fee/s, not later than December 31st of the year/season in which the foal was born (See section on Stud Book Returns).
4. The SBR Form must be completed as described/prescribed therein; and, the following information must be supplied when reporting the birth of a live foal:
    - a. a) Name of the (registered) mare that produced the foal and said mare's Certificate of Broodmare Registration Number (if applicable);
    - b. b) Name of the stallion/s that covered the mare in the previous year/season (covering stallion/s responsible for the foal); or, the sire of the foal;
    - c. the date of birth, color and sex of the foal, in the Live Foal Report Section, SBRs must be lodged in accordance with the rules and regulations set forth by the SBAP.
  5. The breeder/owner/his representative must submit to the SBAP the following requirements within a period of five (5) months from the date of birth of a foal:
    - a. a duly accomplished Foaling Slip or Foal Identification Certificate (SBAP Form No. 4);
    - b. a set of three (3) recent colored pictures/photographs of the foal (front, right and left sides) clearly showing the color and the markings on the head, legs and body; together with its dam in the background;
    - c. Certificate/s of Covering or Mare Owner's Copy of Covering/ Service Certificate (SBAP Form No. 3-C) – duly accomplished and signed by the stallion owner/ representative; or, in case of a foal that was imported-inutero, the pre-printed/pre-accomplished copy of the Certificate of Covering, issued by the SBAP for a mare that was imported in-foal or imported with covering abroad.
  6. The Foaling Slip must be completed as prescribed therein; and, the narrative (written) and graphical (diagrammatic) descriptions of the foal must be accurately and clearly provided. The markings/description of the foal should be taken

- before weaning, preferably by a veterinary surgeon or a person/representative authorized by the SBAP who must sign the form (See section on Identification).
7. The Certificate of Covering or Mare Owner's copy of the Covering/Service Certificate (Pink Copy) (SBAP Form No. 3-C) must have been duly accomplished as prescribed therein; and, the covering details/particulars of a stallion (in the previous season/year) on the mare that produced the foal must be provided and duly certified (See section on Covering/Service Certificates).
  8. Upon receipt of the above, the SBAP shall issue the breeder/ owner/representative a duly certified and received photocopy/ facsimile of the Foaling Slip which must be retained by the breeder/owner/representative until such time when an application for foal registration shall have been lodged for the said horse.
  9. Each foal must be fire-branded or freeze-branded with the municipal brand (where its ownership is registered) on the left shoulder and the owner/breeder's brand on the right shoulder as a requirement for application for foal registration.
  10. In addition to the above, each foal/horse intended to be registered in accordance with the rules and regulation of the SBAP must also be fire-branded or freeze-branded with distinguishing numbers consisting of the following:
    - a. a reference number indicating the serial number of the foal born (number corresponding to order of a foal's birth) to a particular breeder/owner for a particular year/season; located one (1) inch over the owner's brand;
    - b. a year number corresponding to the last figure/digit of the year of foaling; located one (1) inch below the owner's brand.
  11. Upon satisfactory completion of the above stages of registration including branding, the owner/representative must submit to the SBAP a duly accomplished, signed and notarized Application (form) for Foal Registration (SBAP Form No. 1-A),

- together with the following requirements, not later than two (2) years from the date of foaling:
- a. Breeder/owner's duly certified and received facsimile or photocopy of the Foaling Slip as described in the section on the submission of Foaling Slips;
  - b. A set of three (3) recent colored pictures/photographs of the horse (front, right, and left sides) clearly showing the color and the markings on the head, legs, and body;
  - c. Identification Certificate (reverse side of application form) showing a recently obtained written and graphical description and/or markings of the horse duly accomplished, dated and signed by the person who took the animal's description;
  - d. application and/or processing fee;
  - e. loodtyping and/or Parentage Validation/Verification related fees (blood collection, shipping, testing, etc.), when applicable;
  - f. Other requirements that may be prescribed by the SBAP.
12. The Application (Form) for Foal Registration must be completed as described/prescribed therein; and, the following information must be supplied:
- a. List of Proposed Names, three (3) names in order of preference consistent with the rules governing the availability of names;
  - b. Color, sex and date of foaling of the horse for which the application for registration is being sought;
  - c. Sire and dam (or two generation pedigree, if known);
  - d. Name of breeder/s or owner/s of the mare at the time of foaling;
  - e. Complete name and address of the owner/s;
  - f. Location/address where the horse is stabled;
  - g. Applicant information – Printed name, complete mailing address, contact telephone no/s., Residence Certificate/ Community Tax Certificate information – number, place and date of issue (for notarization purposes), and signature of person

- who accomplished the application (form) for registration or applicant filing the form;
- h. Identification Certificate, reverse side of Application form (See above).
13. Upon satisfactory completion of the application process and submission of all of the necessary requirements as prescribed above, the SBAP shall issue the owner/representative a notice or schedule of inspection (Inspection Slip) in which the date, place and time of inspection by the SBAP Veterinarian shall be indicated; and, based upon which, the owner/representative must make the necessary arrangements to present the animal for inspection as scheduled.
  14. During the scheduled date of inspection, the owner/representative must present the Inspection Slip to the SBAP Veterinarian who shall take the description/markings of the animal on the official Identification Certificate/Inspection Report (SBAP Form No. 1-D) (or take the necessary blood samples from it).
  15. After completion of the inspection (and/or blood collection) process the SBAP Veterinarian shall complete and issue the owner/ representative a Verification Slip (lower half/section of the Inspection Slip) as proof of completion of the inspection (and/or blood collection) process; and, in which the date to verify/follow-up the application for registration shall be supplied. The Verification Slip must be retained until the application for foal registration has been approved. The Verification Slip is required and must be presented for release/ issuance of the Certificate of Foal Registration.
  16. Upon completion of the above requirements and procedures, after the SBAP has satisfied itself as to the accuracy and correctness of the foal's/horse's identity (and parentage), and a name has been duly approved or registered for the said animal, a Certificate of Foal Registration (i.e. Passport) shall be prepared and issued to the owner/representative upon payment of the corresponding Certificate fee and upon presentation of the Verification Slip.

### **Sec. 3 – BROODMARE & STALLION REGISTRATION RULES, REQUIREMENTS & PROCEDURES**

1. All horses intended to be used for purposes of breeding/ producing racing foals must be initially registered in accordance with the rules and regulations set forth by the SBAP prior to the commencement of any breeding/stud activity, regardless of its previous registration as a foal/race horse and notwithstanding prior issuance of a Certificate of Foal or Imported Race horse Registration by the SBAP.
2. A return/report may be rejected, notwithstanding its previous receipt by the SBAP, if it is later discovered that such mare/ stallion had not been initially and appropriately registered (Broodmare/Stallion Registration). Further, no foal or produce of such mare/stallion that had not been first registered for breeding in accordance with the rules and regulation of the SBAP may be considered as eligible or qualified to apply for Foal Registration.
3. The SBAP reserves the sole right to decide for Philippine-bred horses what shall or shall not be included/recorded in the PSB; and, to reject any horse/s and/or return/s which had already been previously accepted, in accordance with the Conditions of Entry to the NSBR/PSB and as prescribed by the registration rules, requirements and procedures.
4. The different horses that may be registered for breeding purposes with the SBAP may be classified accordingly (or described as follows):
  - a. ORIGIN
    - Local
    - Imported
    - Imported-in-utero
  - b. BREED
    - Thoroughbreds
  - c. SBAP REGISTRATION STATUS
    - Registered – has been previously issued a Certificate of Registration (i.e. Foal, Imp. RH) by the SBAP
    - Unregistered – has never been issued a Certificate of Registration by the SBAP

- d. PEDIGREE QUALIFICATION / REGISTER / SECTION  
Stud Book  
Non-Stud Book
- 5. The owner/representative must submit to the SBAP a duly accomplished, signed and notarized Application (form) for Broodmare or Stallion Registration (SBAP Form No.1-B) together with the following requirements:
  - a. a set of three (3) recent colored pictures/photographs of the horse (front, right and left sides) clearly showing the color and the markings on the head, legs and body;
  - b. Identification Certificate (reverse side of application form) showing a recently obtained written and graphical description and/or markings of the horse duly accomplished, dated and signed by the person who took the animal's description;
  - c. Application and/or processing fee;
  - d. For horses previously REGISTERED or previously issued a Certificate of Registration (i.e. Race horse, Foal, Imported RH) by the SBAP, the original Certificate of Registration;
  - e. For IMPORTED Horses, the official Export Certificate issued by the country of birth or duly endorsed by the SBA or Racing Authority of the exporting country; the official Passport or Document of Description or such other official identity papers that may be accepted and recognized by the SBAP; and, the Identification Certificate issued by the SBA of the country of foaling;
  - f. For mares imported with covering/service abroad or imported in-foal/pregnant to a stallion standing abroad, the official Covering Certificate duly issued and certified by the SBA of the country of covering;
  - g. DNA/ DNA/ Blood-Typing and/or Parentage Validation/ Verification and related fees (blood collection, shipping, testing, etc.), when applicable;
  - h. Other requirements that may be prescribed by the SBAP.

6. The Application (Form) for Broodmare/Stallion Registration must be completed as described/prescribed therein; and, the following information must be supplied:
  - a. Name (if any); or, if unnamed, List of Proposed Names, three (3) names in order of preference, registered in accordance with the rules on Name Registration; and, suffix of the country of origin;
  - b. Color, sex and date of foaling of the horse being applied;
  - c. Sire and dam (or two generation pedigree, if known);
  - d. Name of breeder/s;
  - e. Complete name and address of the owner/s;
  - f. Location/address where the horse is stabled;
  - g. For IMPORTED horses NOT previously REGISTERED with the SBAP, the date of arrival and exporting country;
  - h. For horses previously REGISTERED (issued a Cert. of Foal/ Imported RH Registration by the SBAP), the Certificate of Registration No.;
  - i. Applicant information – Printed name, complete mailing address, contact telephone no/s., Residence Certificate/ Community Tax Certificate information – number, place and date of issue (for notarization purposes), and signature of person who accomplished the application (form) for registration or applicant filing the form;
  - j. Identification Certificate (see above).
7. Upon satisfactory completion of the application process and submission of all of the necessary requirements as provided above, the SBAP shall issue the owner/representative a notice or schedule of inspection (Inspection Slip) in which the date, place and time of inspection by the SBAP Veterinarian shall be provided; and, based upon which, the owner/representative must make the necessary arrangements to present the animal for inspection as specified.
8. During the scheduled date of inspection, the owner/representative must present the Inspection Slip to the SBAP Veterinarian who shall take the description/markings of the animal on the official

Identification Certificate/Inspection Report (SBAP Form No. 1-D) (or take the necessary blood samples from it).

9. After completion of the inspection (and/or blood collection) process, the SBAP Veterinarian shall complete and issue the owner/ representative a Verification Slip (lower half/section of the Inspection Slip) as proof of completion of the inspection (and/or blood collection) process; and, in which the date to verify/follow-up the application for registration shall be supplied. The Verification Slip must be retained until the application for registration has been approved; and, it is required and must be presented for release/issuance of the Certificate of Broodmare/ Stallion Registration.
10. Upon completion of the above requirements and procedures; after the SBAP has satisfied itself as to the accuracy and correctness of the horse's identity (and parentage); and, a name has been duly approved or registered for the said animal; a Certificate of Registration shall be prepared and issued to the owner/representative upon payment of the corresponding Certificate Fee and upon presentation of the Verification Slip.
11. Upon completion of the registration process for stallions, the SBAP shall issue a Covering/Service Certificate booklet and official record book (logbook) to the stallion owner/representative in which the details/particulars of the covering activities must be recorded, together with the Certificate of Stallion Registration.

#### **Sec. 4 – REGISTRATION RULES, REQUIREMENTS & PROCEDURES FOR IMPORTED HORSES**

1. All horses born outside and imported into Philippines, and that are intended to be used for either purposes of racing or breeding, must satisfy the rules and regulations for imported horses set forth by the SBAP prior to the commencement of any local racing and/or breeding activity.
2. The owner/representative responsible for the importation of a horse that is intended to be used for racing or breeding purposes must lodge an



- appropriate application for registration with the SBAP; namely:
- a. Racing – Application for Foal/Imported Race Horse Registration (SBAP Form No.1-A);
  - b. Breeding – Application for Broodmare/Stallion Registration (SBAP Form No.1-B); together with the other requirements within a period of (or not later than) sixty (60) days from the date of the horse's arrival into the country (from abroad).
3. The owner/representative must submit a duly accomplished, signed and notarized Application (Form) for Registration (SBAP Form No.1-A or 1-B) to the SBAP; together with the following requirements:
- a. a set of three (3) recent colored pictures/photographs of the horse (front, right and left sides) clearly showing the color and the markings on the head, legs and body;
  - b. Identification Certificate (reverse side of application form) showing a recently obtained written and graphical description and/or markings of the horse, duly accomplished, dated and signed by the person who took the animal's description;
  - c. Application and/or processing fee;
  - d. DNA/ Blood-Typing and/or Parentage Validation/Verification and related fees (blood collection, shipping, testing, etc.) when applicable;
  - e. Other requirements that may be prescribed by the SBAP.
4. In addition to the above, the owner/agent/broker must cause the exporting country's Stud Book Authority (SBA)/Registry to submit or directly forward to the SBAP the following requirements within sixty (60) days of the horse's arrival in the Philippines (or cause receipt of the following by the SBAP):
- a. The original Export Certificate and identification certificate containing the written and diagrammatical descriptions of the horse duly endorsed and/or issued by the exporting SBA or SBA of the country of origin;

- b. For a mare that was imported with stud service or covering abroad, or that was imported in-foal/pregnant to a stallion standing overseas, the official Covering Certificate duly issued and certified by the SBA of the country of covering.
- 5. The Application (Form) for Registration (SBAP Form No. 1-A or 1-B) must be completed as prescribed/described therein; and, the following information must be supplied:
  - a. Name, if any; or, if horse is unnamed, list of proposed names – three (3) names in order of preference registered in accordance with the Rules on Name Registration; and, the suffix of the country of origin;
  - b. Color, sex and date of foaling of the horse;
  - c. Sire and dam of the horse (or two generation pedigree, if known);
  - d. Name/s of the breeder, if known;
  - e. Complete name, address and phone no. of the owner/s;
  - f. Location/Place where the horse is stabled;
  - g. Date of arrival and exporting country;
  - h. Applicant information – printed name, complete mailing address, contact tel.no/s., Residence Certificate or Community Tax Certificate information (number, place and date of issue) for notarial purposes, and signature;
  - i. Identification Certificate (see 2.b above).
- 6. Upon satisfactory completion of the application process and submission of all of the necessary requirements as prescribed above, the SBAP shall issue the owner/representative a notice or schedule of inspection (Inspection Slip) in which the date, place and time of inspection by the SBAP Veterinarian shall be provided; and, based upon which, the owner/representative must make the necessary arrangements to present the animal for inspection as specified.
- 7. During the scheduled date of inspection, the owner/representative must present the Inspection Slip to the SBAP Veterinarian who shall take the description/markings of the animal on the official Identification Certificate/Inspection Report (SBAP

Form No. 1-D) (or take the necessary blood samples from it).

8. After completion of the inspection (and/or blood collection) process, the SBAP Veterinarian shall complete and issue the owner/representative a Verification Slip (lower half/section of the Inspection Slip) as proof of completion of the inspection process; and, in which the date to verify/follow-up the application for registration shall be supplied. The Verification Slip must be retained until the application for registration has been approved; and, it is required and must be presented for release/ issuance of the Certificate of Registration.
9. Upon completion of the above requirements and procedures; after the SBAP has satisfied itself as to the accuracy and correctness of the horse's identity (and parentage); and, a name has been duly approved or registered for the said animal; a Certificate of Registration shall be prepared and issued to the owner/ representative upon payment of the corresponding Certificate fee and upon presentation of the Verification Slip.
10. For a mare that was imported with stud service or covering abroad, or that was imported while in-foal/pregnant to a stallion standing overseas, the SBAP shall issue the breeder/owner/ representative a pre-printed/duly accomplished Certificate of Covering/Mare Owner's Copy (pink) of the Covering/Service Certificate (SBAP Form No. 3-C), together with the Certificate of Broodmare Registration, after the former has received the official Covering Certificate from the exporting SBA where the mare was covered and has duly verified or confirmed the accuracy and correctness of the covering details provided therein (See section on Covering/Service Certificates).
11. The breeder/owner/representative must submit the pre-printed/ duly accomplished Certificate of Covering/Mare Owner's Copy (pink) of the Covering/Service Certificate (SBAP Form No.3-C) to the SBAP as prescribed in Rule 5 of the Foal Registration Rules, Requirements, and Procedures when the said covering has resulted in the birth of a

live foal (See section on Covering/ Service Certificates).

**Sec. 5 – EXPORT REGISTRATION RULES,  
REQUIREMENTS & PROCEDURES**

1. All horses that are intended to be exported from the Philippines must initially be registered in accordance with the rules and regulations set forth by the SBAP prior to the date of export/ departure from the country.
2. The owner/representative of a horse that is intended to be exported must submit a duly accomplished, signed and notarized Application (Form) for Export (SBAP Form No.1-C) to the SBAP within sixty (60) days of exportation; together with the following requirements:
  - a. a set of three (3) recent colored pictures/photographs of the horse (front, right and left sides) clearly showing the color and the markings on the head, legs and body;
  - b. Identification Certificate (reverse side of application form) showing a recently obtained written and graphical description and/or markings of the horse, duly accomplished, dated and signed by the person who took the animal's description;
  - c. Certificate of Registration (Foal, Imported Race horse, Broodmare, Stallion) previously issued by the SBAP;
  - d. Application and/or processing fee;
  - e. DNA/ Blood-Typing and/or Parentage Validation/Verification and related fees (blood collection, shipping, testing, etc.) when applicable;
  - f. In case of pregnant broodmares or mares that have been covered, the Certificate of Covering (Mare Owner's copy of the Covering/Service Certificate, Pink Copy, SBAP Form No. 3-C) issued to the mare owner/representative by the Stallion owner/representative after the last date of service;
  - g. In case of a stallion, the Covering/Service Certificate (booklet) and official logbook;

- h. Other requirements that may be prescribed by the SBAP.
- 3. The Application (Form) for Export (SBAP Form No. 1-C) must be accomplished/completed as prescribed/described therein; and, the following information must be supplied:
  - a. Name of the horse; and, the suffix of the country of origin;
  - b. Color, sex and date of foaling of the horse;
  - c. Sire and dam of the horse;
  - d. Name of the breeder/s, if known;
  - e. Complete name, address and phone no. of the owner/s;
  - f. Location/Place where the horse is stabled;
  - g. Certificate of Registration type and no.;
  - h. Country of destination;
  - i. Date of export/shipment;
  - j. Broker information;
  - k. Covering information (if any, for broodmares) – Name of covering stallion/s, date/s of last service;
  - l. Applicant information – printed name, complete mailing address, contact tel.no/s., Residence Certificate or Community Tax Cert. information (number, place and date of issue) for notarial purposes, and signature;
  - m. Identification Certificate (see 2.b above).
- 4. Upon satisfactory completion of the application process and submission of all of the necessary requirements as prescribed above, the SBAP shall issue the owner/representative a notice or schedule of inspection (Inspection Slip) in which the date, place and time of inspection by the SBAP Veterinarian shall be provided; and, based upon which, the owner/representative must make the necessary arrangements to present the animal for inspection as specified.
- 5. During the scheduled date of inspection, the owner/representative must present the Inspection Slip to the SBAP Veterinarian who shall take the description/markings of the animal on the official Identification Certificate/Inspection Report (SBAP Form No. 1-D) (or take the necessary blood samples from it).

6. After completion of the inspection (and/or blood collection) process, the SBAP Veterinarian shall complete and issue the owner/ representative a Verification Slip (lower half/section of the Inspection Slip) as proof of completion of the inspection process; and, in which the date to verify/follow-up the application for export shall be supplied. The Verification Slip must be retained until the application for export has been approved; and, it is required and must be presented for release/issuance of the endorsed Certificate of Registration or Document of Description.
7. Upon completion of the above requirements and procedures; and, after the SBAP has satisfied itself as to the accuracy and correctness of the horse's identity (and parentage); the SBAP shall issue the owner/representative a duly endorsed Certificate of Registration, Passport or Document of Description upon payment of the corresponding Certificate fee and upon presentation of the Verification Slip.
8. In addition, the SBAP shall prepare and issue a Philippine Stud Book Certificate or endorse a Stud Book Certificate previously issued for the horse which shall be sent directly to the SBA of the importing country (country of destination).

#### **Sec. 6 – OFFICIAL REPORTS / RETURNS**

1. A report/declaration from the breeder on an official form for a specific season. These are documentary evidences that must be lodged with the SBAP and that details stud book/breeding activities of broodmares and stallions like covering reports, covering results, traSBAPers of ownership, deaths, etc.
2. The official reports/return of the SBAP include:
  - a. Covering Returns/Reports
    - i. Covering/Service Certificates (booklet)  
Report of Covering or Monthly Mare/Stallion Covering Report – white copy (SBAP Form No. 3 – B)  
Certificate of Covering or Mare Owner's Copy of Covering/ Service Certificate – pink copy (SBAP Form No. 3 – C)

- Record of Covering or Stallion Owner's  
Copy of Covering/Service Certificate  
– blue copy (SBAP Form No. 3 – D)
  - ii. Annual Stallion Return (SBAP Form No. 3  
– A)
  - b. Stud Book Returns (SBAP Form No. 2)
  - c. Death Reports (SBAP Form No. 6)
  - d. Notice of Transfer/Change of Ownership (SBAP  
Form No. 5)
- 3. For the produce to be eligible for registration in  
accordance with the rules and regulations of the  
SBAP and to be eligible for inclusion in (or admission  
to) the Philippine Stud Book or Non-Stud Book  
Register, the prescribed or official return/report  
documents, duly accomplished and signed by the  
owner/ breeder/representative, recording the  
activities of their sire and dam must be lodged with  
the SBAP.
- 4. Breeders/owners are required to lodge returns in  
accordance with the rules and regulations prescribed  
by the SBAP.
- 5. A return will not be considered unless it is  
accompanied by the required fee. The payment of  
any fee/s due on a return is the responsibility of the  
person/owner who lodged that return. The fee  
structure has been incorporated/established into the  
reporting system to encourage prompt lodgement of  
these forms.
- 6. A return may be refused acceptance if not properly  
completed, signed or submitted, in accordance with  
the requirements, procedures, rules and regulations  
set forth by the SBA of the Philippines An incomplete  
or otherwise incorrect report entry shall not be  
recognized/considered; unless the person  
responsible for lodging that report submits an  
amended report and agrees (conditions/terms) to:
  - a. submit to the SBAP for examination, all books,  
records, receipts or other documents which may  
be requested by them;
  - b. submit written explanation and/or sworn  
statement regarding such occurrence or clarifying  
the facts in question;
  - c. permit inspection of any animal;

- d. provide whatever blood samples may be required;
  - e. pay a fee/penalty as may be required.
7. Any person taking part in any matter coming within the Rules, Terms and Conditions and the other requirements specified by the SBA of the Philippines or returning any information thereat:
- a. agrees to be bound by these Rules, Terms and Conditions, and such other requirements herein set out;
  - b. acknowledges that the SBA has jurisdiction to enforce the above;
8. The SBA of the Philippines reserves the sole right for Philippine-bred horses to:
- a. decide what shall, or shall not, be included in the Philippine Stud Book or Non-Stud Book Register and to reject any horses;
  - b. reject any return which has already been lodged with or accepted for inclusion in the Philippines Stud Book or Non-Stud Book Register;
  - c. alter, cancel or waive without notice any or all of the procedures outlined hereat.
9. A person declares that he has complied with all the rules of the SBA of the Philippines when he signs the official documentation.
10. An official report/return cannot be retained by the person in charge of a stallion or mare for the enforcement of any alleged civil right or any other purpose. Philippine Stud Book Policy is that these documents must not be used to support or enforce any commercial transaction.

#### **Sec. 7 – COVERING / SERVICE CERTIFICATES**

1. Owners of registered stallions must record the monthly covering activities or particulars on each registered broodmare bred by their stallion/s on the Covering/Service Certificates; and, the following information should be provided:
- a. Covering Stallion – name, Certificate of Stallion Registration No. (if any);
  - b. Broodmare Covered – name, color, Certificate of Broodmare Registration No. (if any), mare owner's name and address;



- c. Date/s of Covering or Stud Service (first, last, other) in a particular month;
  - d. Stallion Owner/Representative – name, signature and address.
2. In addition, the stallion owner/representative must certify or confirm the following statements by signing the Covering or Service Certificates:
  - a. that the identity of the mare covered was verified against her identity documents (Cert. of Registration); and, that the stallion owner/representative was satisfied as to the correctness of the mare's identity;
  - b. that the covering/s on the mare was a 'Natural Service'; and, artificial insemination was not used; and
  - c. that the other items specified therein are true and correct.
3. The prescribed forms/certificates shall be provided by the SBAP to the stallion owner/representative upon completion of registration of a horse as a stallion (issuance of the Certificate of Stallion Registration) and upon payment of the corresponding fee/s. Additional forms/sheets (new booklet) may be obtained without charge from the SBAP Registry upon filling or consumption of the current booklet.
4. The official Covering/Service Certificate Booklet for a particular stallion must be transferred, by the stallion owner/representative, together with the Certificate of Stallion Registration, to the new owner of the stallion should the said stallion be sold.
5. The official Covering/Service Certificate Booklet includes several sets of Covering/Service Certificates; each individual set comprises three (3) different copies of Covering/Service Certificates, namely:
  - a. White Copy – Monthly Stallion Covering Report or Report of Covering (SBAP Form No. 3-B)
  - b. Pink Copy – Mare Owner's Copy of Covering/Service Certificate or Certificate of Covering (SBAP Form No. 3-C)
  - c. Blue Copy – Stallion Owner's Copy of Covering/Service Certificate or Record of Covering (SBAP Form No. 3-D) The

Covering/Service Certificates must be accomplished in triplicate copies.

6. Stallion owners must report the monthly covering activities or particulars to the SBAP by submitting the duly accomplished and signed white copy of the Covering/Service Certificate within the first five (5) working days of a particular month for reporting covering particulars of the preceding month during which the coverings had taken place (at the end of each month).
7. In addition, stallion owners must record the monthly covering activities or particulars on the official record book (logbook) provided by the SBAP; and, this must be presented to the SBAP for authentication within the first five (5) working days of each month.
8. Stallion owners must certify the covering activities or particulars to mare owners by transferring or issuing the duly accomplished and signed pink copy of the Covering/Service Certificate to the mare owners/representatives immediately after the last date of service/covering in a particular month.
9. The stallion owner/representative and the mare owner/ representative shall both be responsible for transferring and obtaining the Pink copy of the Covering/Service Certificate, respectively, after the last date of service/covering.
10. The mare owner/representative must examine the completeness, accuracy and correctness of the covering details/particulars/ information recorded in the Pink Certificate (Certificate of Covering or Mare Owner's Copy of Covering/Service Certificate); and, should immediately notify or inform the stallion owner and the SBAP of any discrepancies, errors, omissions, corrections or inadequacies thereat. The Stallion owner/representative and the SBAP, after having been both satisfied as to the defectiveness of an entry shall make the necessary amendments in the Pink and Blue Copies and White Copy, respectively.
11. The Pink Copy of the Covering/Service Certificate must be retained by the mare owner/representative until such time that the mare covered (referred to therein) has given birth to a live foal. This certificate

must be submitted to the SBAP by the mare owner/representative together with the Foaling Slip (and other requirements) within a period of five (5) months following the date of foaling. All Certificates of Covering issued (for a mare covered during a particular year/season) to the mare owner must be submitted to the SBAP.

12. For a mare on whose covering/s by a stallion/s did not produce or result in the birth of a live foal, the respective Pink Copies of the Covering/Service Certificate issued need not be submitted to the SBAP by the mare owner/representative.
13. When a mare had been covered by two (2) or more stallions in a particular covering season/year; OR, when it had been covered by a particular stallion on more than one occasion (repeat breeding/return service) within the same covering season/year (e.g. different months) – in which case, more than one (1) Pink copy of the Covering/Service Certificate had been issued for the same mare – AND; said mare produces a live foal; all pink copies must be submitted by the breeder/owner to the SBAP upon lodging or submission of the Foaling Slip.
14. The Certificate of Covering must be transferred by the mare owner/representative to the new owner of the mare should the said mare be sold prior to foaling.
15. When the Pink Copy of the Covering/Service Certificate has been lost or misplaced by the mare owner, he must immediately contact and inform both the stallion owner/representative and the SBAP; and, request for a pre-accomplished duplicate copy from the latter which must be signed by the former.
16. When a mare that is in-foal or that has been covered or bred is intended to be exported from the Philippines or sent abroad, the mare owner/representative must submit the Pink copy of the Covering/Service Certificate to the SBAP upon lodging an Application for Export (SBAP Form No. 1 – C) for the said mare.
17. For a mare that was imported with stud service or covering abroad; or, that was imported in-foal/pregnant to a stallion standing overseas; the

- SBAP shall issue the breeder/owner/ representative a pre-printed/pre-accomplished Certificate of Covering/Mare Owner's Copy (pink) of the Covering/Service Certificate (SBAP Form No. 3-C), together with the Certificate of Broodmare Registration upon completion of the broodmare registration process; and, after the former has received the official Covering Certificate from the exporting SBA where the mare was covered and has duly verified or confirmed the accuracy and correctness of the covering details provided therein.
18. The breeder/owner/representative must submit the pre-printed/ duly accomplished Certificate of Covering/Mare Owner's Copy (pink) of the Covering/Service Certificate (SBAP Form No.3-C) to the SBAP as prescribed in the Foal Registration Rules, Requirements, and Procedures when the said covering has resulted in the birth of a live foal.
  19. The Blue copy of the Covering/Service Certificate must be retained by the stallion owner/representative as a record or reference and additional proof of service.
  20. When the mare owner/representative has notified or informed the stallion owner and the SBAP of any discrepancies, errors, omissions, corrections or inadequacies discovered in the Pink Copy of the Covering/Service Certificate, the necessary amendments must be made by the stallion owner/representative on both the pink and blue copies of the Covering/Service Certificates. Similarly, in case a mare owner/ representative has lost or misplaced the Pink Copy, he should submit an Affidavit of Loss to the SBAP, who shall, after having confirmed the accuracy and existence of such covering report; prepare and issue a duplicate Pink Copy for the mare. The mare owner/ representative must then present the duplicate Pink Copy to the stallion owner/representative for verification and signature.
  21. Upon compilation and summary of all the Blue copies of the Covering/Service Certificates of a particular stallion for an entire year or covering season, the stallion owner/representative must consolidate,

record and report to the SBAP all the required information (covering particulars/details on mares) gathered from the said certificates on the Annual Stallion Return Form (SBAP Form No. 3-A) which must be lodged in accordance with the rules and regulations prescribed by the SBAP.

#### **Sec. 8 – ANNUAL STALLION RETURN**

1. All owners of registered stallions must report annually the covering activities and details of their stallions to the SBA of the Philippines by submitting a duly accomplished and signed ANNUAL STALLION RETURN Form (SBAP Form No. 3-A), together with the corresponding fee/s, not later than December 31 of each year/season; regardless of whether or not it had covered any mares in a particular season/year.
2. ASRs must be lodged for a stallion beginning the year it was registered for breeding (Certificate of Stallion Registration issued); and, every year thereafter. An ASR should also be lodged in the year of the stallion's death. An ASR must be lodged for a stallion which has died in the preceding twelve (12) months together with the Report of Death (SBAP Form No.6). An ASR must be lodged for a newly registered stallion for the year it was registered.
3. A separate ASR Form must be accomplished, signed and submitted for each registered stallion for each covering year/ breeding season; and, the following information must be provided:
  - a. Stallion – Name, Color, Certificate of Stallion Registration No. (if any), Location
  - b. Owner – Stallion Owner's Name, Address and Phone No/s.
  - c. Applicant (person who accomplished and signed report) – Name, Address, Phone, Signature, Date
  - d. Covering Information/Details (for entire year/season from Jan. 1 to Dec. 31)

#### **NO COVERING/STUD SERVICE SECTION**

List of Mare/s Bred and covering details – name/s of mare/s covered, color, Certificate of BM Registration No. (if any), mare owner's name and address, first and last dates of cover/service for a particular year, pasture breeding information Prescribed forms may

- be obtained without charge from the SBAP Registry office. Additional forms may be used or attached when necessary.
4. In addition, the stallion owner/representative must certify or confirm the following statements by signing the Annual Stallion Return:
    - a. that the identity of the mare covered was verified against her identity documents (Certificate of Registration); and, that the stallion owner/representative was satisfied as to the correctness of the mare's identity;
    - b. that the covering/s on the mare was a 'Natural Service'; and, artificial insemination was not used; and
    - c. other items specified therein.
  5. An owner whose stallion did not cover any mare/s in a particular year/season must complete the NIL Report Section of the ASR Form lodged for that year/season.
  6. An owner of a stallion that ran along with mares (pasture breeding or paddock served) in a particular year/season must indicate such by checking the box provided; and, supply the date the mare/s first ran with the stallion and the date the mare/s were separated from the stallion.

### **Sec. 9 – STUD BOOK RETURNS**

1. All owners of registered broodmares must report annually the breeding activities/status (covering results/ returns) of each of their mares to the SBAP by submitting a duly accomplished, signed and notarized Stud Book Return Form (SBAP Form No. 2), together with the corresponding fee/s, not later than December 31 of each year/season; regardless of whether a mare had produced a live foal or not; and/or, irrespective of whether or not she was covered in the year/season for which the return is being lodged.
2. An SBR must be lodged for a mare beginning the year it was registered for breeding (Certificate of BM Registration issued); and, every year thereafter. Any mare for which returns have not been lodged for three (3) consecutive years shall automatically be

- excluded or dropped from the official records (PSB) of the SBAP.
3. A separate SBR Form must be accomplished, signed and submitted by each mare owner/breeder for each year/season; and, the following information must be provided:
    - a. Mare owner's name, mailing address, phone nos.;
    - b. List of (names) all registered mares owned by him and the mares' Broodmare Certificate Registration No. (if available);
    - c. Names of stallions that covered the mares in the previous year/season, or, the sires of foals
    - d. Applicant's name, contact address, phone nos., signature (person who accomplished the return).
    - e. Broodmare returns, activities or status. Additional forms may be used or attached when necessary. Prescribed forms may be obtained without charge from the SBAP Registry Office.
  4. Mare owners/representatives must report and certify the details of the breeding activities/status (returns) and covering particulars of each of his mare/s for a particular season/year on the appropriate sections of the SBR Form, more particularly described below:
    - a. Live Foal Report Section – for reporting particulars of the birth of live foals
      - date of foaling
      - color of foal
      - sex of foal
    - b. No Live Foal Report Section – for reporting/returning nonproductive mare returns not covered the previous yr./season
      - barren/missed
      - aborted/slipped
      - foal dead at birth
      - foal died after birth
      - twins (aborted, dead, died)
    - c. Covering Report Section – for reporting covering particulars in the present yr./season for which the return is being lodged
      - name of covering stallion/s
      - last date/s of service
      - not covered

5. Mare owners must also include in the SBR Form, mares whose status may be described as follows:
  - a. mares served or entering stud for the first time (in the year or season completed) or newly registered mares – in which case, covering report information must be supplied;
  - b. mares that died in the season/yr. and which produced a live foal (or left a surviving foal) in that year – in which case, the live foal report information must be supplied together with a Report of Death (SBAP Form No. 6);
  - c. mares that were paddock served or pasture bred – in which case, the date the mares were removed or separated from the stallion must be supplied;
  - d. mares that were recently acquired or whose ownership had changed – in which case, a Notice of Transfer of Ownership (SBAP Form No. 5) must be accomplished and submitted.
6. When reporting a mare whose no live foal information reports either an abortion, a dead foal or a foal that died after birth; the appropriate boxes/columns must be checked; and, the live foal report section must be accomplished giving the date of foaling/ abortion, color and sex of the lost foal (if available).
7. The SBAP shall not accept a return for a mare which has a missing return for the previous season and which has not been out of the Philippines during that period; unless the said missing return has been lodged for that mare together with the corresponding late fee or penalty.

#### **Sec. 10 – REPORT OF TRANSFER OF OWNERSHIP**

1. The owner/representative must report the transfer of ownership of a registered horse to the SBAP by submitting a duly accomplished and signed Notice of Change of Ownership Form (SBAP Form No. 5), together with the Certificate of Registration of the said horse, within a period of ten (10) days from the date of such transfer. No fee shall be charged upon lodging this report/notice.



2. A separate Notice of Change of Ownership Form must be accomplished, signed and submitted for each horse whose ownership was changed or transferred by means of sale, donation, etc.; and, the following information must be provided:
  - a. Horse information – Name (if any), color, sex, date foaled, Certificate of Registration No., etc.
  - b. Previous owner information – printed name and signature
  - c. New owner information – printed name and signature
  - d. Date of transfer of ownershipPrescribed forms may be obtained without charge from the SBAP Registry.
3. The Transfer of Ownership section of the Certificate of Registration must also be accomplished by recording the details on transfers of ownership (name of new owner, date of transfer) each time the ownership of a horse is changed; and, the appropriate column must be signed by the previous owner. The Certificate of Registration must also be presented to the SBAP after the Transfer of Ownership section has been accomplished and signed for endorsement.
4. The ownership of a registered animal shall only be considered/ recognized by the SBAP as transferred when the Certificate of Registration of the said horse and the Notice of Change of Ownership Form have been both lodged and reported to the SBAP.

#### **Sec. 11 – REPORT OF DEATH**

1. Owners must report the death of all registered horses owned by them by submitting a duly accomplished and signed Death Report Form (SBAP Form No. 6), together with the Certificate of Registration, to the SBAP within a period of thirty (30) days from the date of the horse's death.
2. A separate Death Report Form must be accomplished, signed and submitted for each animal that had died; and, the following information must be provided:
  - a. Owner's name, address, phone nos.;

- b. Name of the deceased animal (if any), color, sex, Cert. of Reg'n No.;
- c. Date of death;
- d. d) Applicant's name, contact address, phone nos., signature. Prescribed forms may be obtained without charge from the SBAP Registry.

## **Sec. 12 – REGISTRATION OF NAMES AND SUFFIX USAGE**

1. The SBAP shall be solely responsible for the screening, approval and registration of names for all horses intended to be used for racing and/or breeding purposes. Names shall be granted, approved and registered in accordance with the rules of SBAP on name registration; and, no horse may be considered as registered unless a name has been duly accepted and registered by the SBAP.
2. Owners/Breeders/representatives of unnamed horses must provide a list of three (3) proposed names, in order of their preference, upon the submission of an application for registration to the SBAP.
3. In order that the rules on Name Registration may be standardized, the SBAP shall comply with Art. 14 of the International Agreement on Breeding and Racing (IABR).
4. The following restrictions shall apply in the registration of a name for a horse:
  - a. Use of names of stallions which have been used for covering, unless a period of twenty-five (25) years has elapsed since their death;
  - b. Use of names of registered Race horses and/or broodmares, unless a period of ten (10) years has elapsed since their death;Likewise, the following cannot be accepted in the registration of a name:
  - c. Names on the International List of Protected Names;
  - d. Names of more than eighteen (18) characters signs or spaces;
  - e. The name of a public person, unless with their or their families' permission;

- f. The name whose spelling or pronunciation is close to a name already registered;
  - g. Names followed by initials or figures;
  - h. Names made up of initials or figures;
  - i. Names whose meaning, pronunciation or spelling may be thought obscene or insulting;
  - j. Names with religious significance;
  - k. Names with first, second, third and last;
  - l. Use of names essentially belonging to a particular gender for a horse of the opposite sex.
  - m. A name whose spelling or pronunciation is unacceptably close to a name on the International List of Protected Names or to a name registered for a horse whose year of foaling is within ten (10) years of that of the horse in question.
  - n. Names that would act as an advertisement for a Company or product except with the written approval of the Company or Body associated with the name.
  - o. Names of the winners of any leg of the PHILRACOM Triple Crown and the PCSO Presidential Gold Cup. (As per Board Resolution No. 02-18)**
- 5. For unnamed horses imported into the Philippines, the SBAP shall make an inquiry/check with the Registrar of Names or SBA of the horse's country of origin/foaling regarding the availability of names requested/submitted. The registered name shall have an official suffix added to it, showing the country of foaling.
  - 6. The change of name of an imported horse whose name has already been registered by the SBA of the country of origin is prohibited.
  - 7. The SBAP shall satisfy itself as to a horse's identity prior to the approval and registration of a name.
  - 8. The SBAP shall endorse the name of a horse in the Certificate of Registration. Once a name for a horse has been duly approved and registered by the SBAP, it must be exactly spelled, recorded and published as it appears on the Certificate of Registration.
  - 9. The names of all imported horses registered in accordance with the rules and regulations of the SBAP shall have the corresponding official suffix

added to it, showing the country of foaling/origin. The suffix, between parentheses ( ), shall be taken from the International Code of Suffixes and shall constitute part of the horse's registered name.

### **Sec. 13 – COAT COLOR GUIDE & LAWS OF HEREDITY**

1. 1. The SBA of the Philippines accepts and recognizes six (6) coat colors for a Thoroughbred or Stud Book Horse, namely:

- a. Black
- b. Brown
- c. Bay
- d. Grey
- e. White
- f. Chestnut

The SBA of the Philippines accepts all other coat colors for a Non-Stud Book Horse (Native breeds, Non-TB, TB crosses, TB grades, etc.). Some examples are:

- a. Appaloosa
- b. Cream
- c. Dun
- d. Palomino
- e. Pied
- f. Pinto or Painted

- g. Roan – Note: Genes for true roan are not present in the TB. TB described elsewhere/in some countries as roans have been either transitional greys or simply had extensive evenly dispersed white hairs or ticking.

2. In the process of investigation of a foal's pedigree, examination of its identity and documentation, and analysis of the authenticity of its claimed parentage; established genetic rules concerning coat color and the principles of two-coat color inheritance shall be applied and observed by the SBAP as a condition of foal registration before its acceptance as a true produce recorded under its dam and/or sire. Thus, the SBA can only accept into its official records:
  - a. chestnut progeny from a mating of a chestnut to chestnut foal.

- b. a grey horse only if it has at least one grey parent; – ‘A grey or roan foal must have at least one grey or roan – parent.’
3. The progeny of any broodmare excluded by the requirements in 2. above is entered in the official records as ‘Produce not accepted.’

#### **Sec. 14 – IDENTIFICATION**

1. All horses must be accurately and correctly identified as a condition/requirement of registration (foals, stallion, broodmare, imported, export) and prior to acceptance in the PSBs of the SBAP. No horse may be registered for the purpose of racing, breeding or export unless the SBAP has satisfied itself as to the accuracy and correctness of the horse’s identity; or, until the SBAP or its duly authorized or designated representative has verified, confirmed, recorded or established the identity of the horse for which registration is being sought. All horses shall be inspected/identified upon importation, prior to acceptance of registration for racing or breeding purposes and before exportation.
2. Inspection/identification of a horse conducted as part of or during the process of application for registration, as prescribed by the rules and regulations of the SBAP, shall be undertaken by the duly authorized/designated Veterinary officers/inspectors who shall take/record the description of a horse’s markings by completing Identification Certificate/Inspection Forms (SBAP Form No. 1-D).
3. The SBAP and its representatives shall conform or observe to internationally accepted standards/methods of identification (lexicon/dictionary of terms) when taking/recording descriptions or markings or during the completion and preparation of identification certificates. These certificates shall be the official documents upon which the identity of a horse shall be confirmed, verified, checked or established.
4. Breeders/Owners/Representatives/Veterinarians shall be responsible for obtaining the necessary knowledge, skill and expertise required for the completion of Identification Certificates; and, should

- seek proper guidance in taking/recording a horse's description from the SBAP.
5. Identification Certificates submitted as a requirement in accordance with the rules of registration should be properly completed, duly accomplished as prescribed therein, signed by the person who took the animal's description; and should:
    - a. incorporate both narrative (written) and graphical (diagrammatical) descriptions;
    - b. include minimum number of identifying features;
    - c. provide the following information – Name (if any), date of foaling, color, sex, sire, dam, printed name and signature of the person who took the description, date of identification, place of identification, place of foaling (Foaling Slips), breeder's name and address (Foaling Slips)
  6. As a condition of foal registration, owners/breeders/representatives must submit a duly accomplished Foaling Slip/ Foal Identification Certificates, preferably completed by a Veterinarian, showing the markings of a foal which was taken while under its dam (prior to weaning) not later than a period of five (5) months from the date of birth of the foal, together with the other requirements. This form should be completed as prescribed therein and in similar fashion to that of Identification Certificates. Blank forms may be obtained without charge from the SBAP Registry.
  7. The following guidelines/standards must be followed/observed when completing Identification Certificates/Foaling Slips:
    - a. Narrative descriptions must be completed using BLOCK CAPITAL LETTERS. Descriptions should be clear, nonjargonistic and helpful with the avoidance of commentary such as 'NO MARKINGS.'
    - b. All white markings (including fleshmarks) must be shown/ drawn in ink exactly as they appear on the horse (left, right and rear) on the diagrams provided.
    - c. All brands, lip tattoos and other acquired markings must be shown and described.

- d. All cowlicks/whorls must be shown/drawn as an (X) mark on the exact location in the diagrams and their positions must be described.
- e. Scars, accidental or otherwise, must be shown/drawn as (— — -).
- 8. For purposes of identification of the registration, the SBAP shall the following official papers upon completion of the registration process:
  - a. Certificate of Foal Registration (SBAP Form No. 8-A)
  - b. Certificate of Broodmare/Stallion Registration (SBAP Form No. 8-B)
  - c. Certificate of Exportation (Philippines Stud Book Cert. (SBAP Form No.8-C)

The Certificate of Registration issued by the SBAP shall include the following information:

- a. Certificate Registration No.
- b. Name & suffix (if any)
- c. Color, sex, date of foaling
- d. Pedigree (sire & dam)
- e. Breeder
- f. Owner's name & address
- g. Date of registration
- h. Signature of Registrar & Keeper of the PSB
- i. Identification Certificate (written & drawn description), veterinarian/inspector and other details
- j. Transfer of ownership section/information
- k. Other.

And, the Certificate of Registration is issued on the basis of information submitted/supplied to the SBAP by the applicant and is subject to revocation if further information is received indicating improper issuance.

- 9. The Certificate of Registration must remain with (or accompany) the animal throughout its entire carrier, so that it may be identified at all times and so that persons are aware that the horse has been registered in accordance with the rules and regulations of the SBAP.
- 10. The identity/markings of the horse must be checked against the identification certificate. It must be presented to concerned person/s or authorities

particularly during attendance at race meetings, prior to covering/ breeders, export, consignment to sales, transport and such other occasions in which identification or checking of the identity is warranted. Discrepancies should be reported immediately to the SBAP.

11. The Certificate of Registration is not a deed of ownership and it is the property of the SBAP which may be recalled anytime.
12. The Certificate of Registration must be returned to the SBAP when the horse is exported, dies or is destroyed and as prescribed by the rules and regulations of the SBAP. If it is lost, the SBAP must be notified immediately and a duplicate copy must be obtained.
13. The Certificate of Registration must be transferred to the new owner when the horse is sold, donated, etc.; and, such transfer should be recorded in the appropriate section, reported to and endorsed by the SBAP.
14. The Certificate of Registration is issued strictly for the purpose described thereat and is not valid for use other than that for which it is intended stated. Likewise, any alteration, omission, correction, etc. not officially endorsed by the SBAP automatically invalidates the Cert. of Registration.
15. The Certificate of Registration is not valid without the signatures of both the Registrar and Keeper of the Philippines Stud Book and without the official seal of the SBAP.

#### **Sec. 15 – DNA/BLOOD-TYPING & PARENTAGE VALIDATION OR VERIFICATION**

1. As an IABR condition of entry into a 'Thoroughbred' Stud Book and a major ISBC requirement for international approval of a Stud Book; a policy of DNA/ Blood-Typing and Parentage Validation/ Verification horses shall be adopted by the SBA of the Philippines for all horses prior to their inclusion in the Philippines Stud Book (official records).
2. For this purpose, a DNA/BT/PV strategy or program shall be implemented which would involve the following:



- a. TRANSITION PERIOD / INITIAL PHASE – DNA/BloodTyping of all existing Breeding Animals (bloodstock) that have been registered with the SBA of the Philippines; to include:
  - All local(ly)-bred Stallions and Broodmares that are registered with the SBA of the Philippines and that are eligible for inclusion in the PSB Breeders/Owners of eligible horses shall be informed by the SBA of the Philippines).
  - All imported horses that are registered with the SBA of the Philippines for purposes of breeding (Stallions and Broodmares) and that have NOT been BT'd and/or PV/ V'd abroad prior to their export to the Philippines.
- b. REGULAR PERIOD / ROUTINE IMPLEMENTATION PHASE – After such period determined by the SBA of the Philippines, when more than half the existing breeding population of horses that are eligible for inclusion in the PSB; and, concurrent with the implementation of the revised/ amended rules of the SBA of the Philippines, DNA/ BloodTyping and/or Parentage Validation/Verification Regulations shall take effect and compulsory testing shall be a routine requirement during the registration procedure for all horses that are eligible for inclusion in the Stud Book (PSB) official records; to include:
  - All Stud Book eligible Foals that are registered with the SBA of the Philippines during the Foal Registration process.
  - All other Stud Book eligible horses that are registered with the SBA of the Philippines for the purpose of breeding (Stallion and/or Broodmare Registration process).
3. All existing Stallions born in the Philippines must be DNA'd.
4. Unless otherwise decided by the ISBC, the SBA of the Philippines reserves the exclusive right to determine which horses are eligible for inclusion into the PSB official records based on the compliance with the BT/PV/V Rules and Regulations; and, is the proper authority to accept/reject any horses in the

- PSB. Such may only be appealable for final decision to the ISBC.
5. During the Transition Period/Initial Phase of the DNA/BT/PV/V program, the SBA of the Philippines shall make every effort to inform breeders/owners which horses must be BT'd (list of names of horses and respective owners).
  6. It shall be the responsibility of the breeder/owner to submit an application for Inspection and DNA/Blood-Typing together with the corresponding fees with the SBA of the Philippines.
  7. The application for Inspection and DNA/Blood-Typing is also a contract of agreement.
  8. The SBA of the Philippines shall advise the breeder/owner/his representative when his horse/s have been scheduled for inspection and blood sampling/collection; during which the breeder/owner/his representative must make the necessary preparations and arrangements for the SBA Veterinarian's visit.
  9. Upon completion of the Inspection and Blood Sampling process, the SBA Vet shall issue the Owner/Breeder/his representative a copy of the Identification Certificate and DNA/BT'g Form which must be presented to the SBA of the Philippines, after testing has been completed and/or the horse qualifies as an offspring of its claimed parents, in order that the Certificate of Registration for the said horse may be issued showing the fact that it had been DNA/BT'd/PV/V'd.
  10. All stud book eligible foals must be parentage validated/verified before they are finally accepted into the PSB official records.
  11. All Stud Book eligible Foals whose analysis of the DNA/BT'g result has shown that the declared sire and dam cannot be excluded as the parents, the SBA of the Philippines shall issue a Certificate of Foal Registration with the fact that the foal has qualified based on DNA/PV/V and/or has been DNA/BT'd and these foals shall be recorded in the PSB under their dams with the entry 'Produce Accepted.'

12. During the Regular Period/Routine Implementation Phase of the DNA/BT/PV/V program, returns cannot be accepted from a stallion/ broodmare which has not been blood typed. During such period as shall be determined by the SBAP said stallions/ broodmares which have not been applied for BT'g shall be dropped out or excluded from the PSB official records and entered into the Non-Stud Book Register.

#### **Sec. 16 – MICROCHIP**

1. Microchip will be used as an additional tool for horse identification and will not in any way replace the standard procedure for identification (in agreement with the International Stud Book Committee decision at the 3rd Asian Stud Book Conference on January 27, 1999 held at Landmark, Macau). This will be an additional requirement for registration.
2. The microchips should meet ISO 11784/11785 standards, which are the accepted international standards.
3. Initially, all foals born starting 2005 are included in the first phase of the Microchip Program and will be implemented upon the application for registration in 2007. An application form for microchip implantation will be filled-up by the owner or his representative. Once filed and fees are settled, the horse will be scheduled for implantation on or before sample collection for Horse Identification and Parentage Validation Program.
4. Horse breeders/owners are required to have their horses properly branded before inspection and implantation for foals born in 2005.
5. As the Program goes to its second, third and pre-weaning phases of implementation (foals born in 2006, 2007 and 2008 respectively) branding will be required later in foal registration.
6. PHILRACOM staff (Veterinarians) will be utilized during implantation of the microchip, as all identifying marks will be noted. This will coincide with taking the marks, coat color, sex, dam and sire in the Identification Certificate. The microchip number will then be included in the Identification Certificate.

7. A test scan will be done before and after implantation of the microchip to verify whether the chip (as well as the scanner) is working properly.
8. Implantation of the microchip will be done in the presence of the owner or his representative at left mid-crest of the nuchal ligament using a syringe-like dispenser (included in the kit). Sedation may be necessary especially with hyperactive horses to avoid trauma and proper implantation of the microchip.
9. When implantation coincides with the hair/blood collection for DNA and/or BT-PV Program, inspection will be done as follows: (a) the neck will be scanned to double check whether the horse has microchip or not; (b) if the horse has no microchip, rules from 3-8 will apply.
10. No horse will be allowed to be registered without a microchip implanted.
11. Microchip details will be included on the passport, registration, and export certificates.